

Combinatorics of exclusion processes with open boundaries

Sylvie Corteel (CNRS Paris 7)

GGI, Florence, May 19th 2015

Koornwinder moments and the two species ASEP

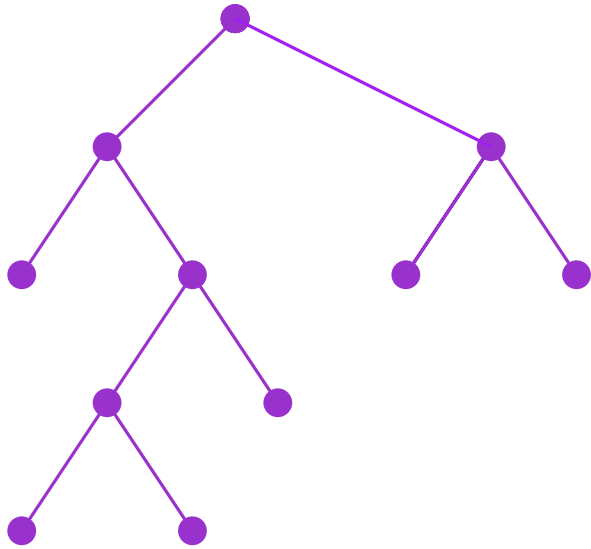
Sylvie Corteel (CNRS Paris 7)

Lauren Williams (Berkeley)

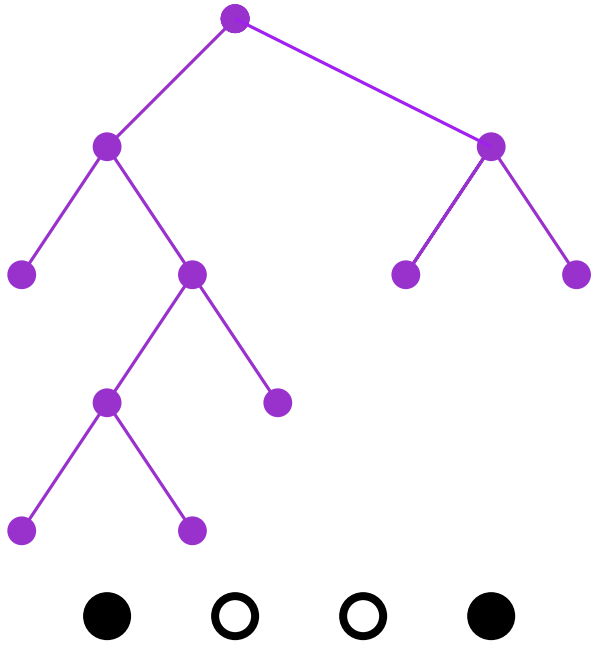
Triangular staircase tableaux

Sylvie Corteel, Olya Mandelshtam (Berkeley) and Lauren
Williams (Berkeley)

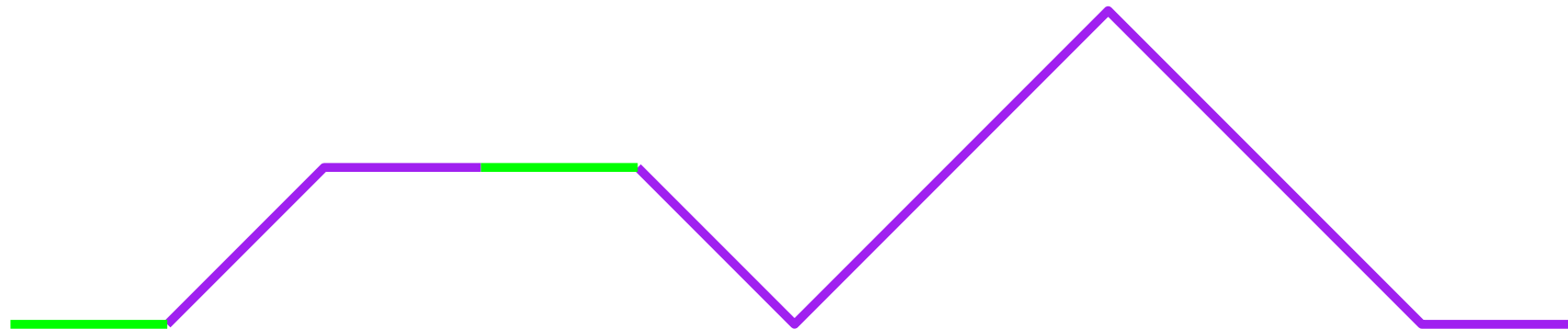
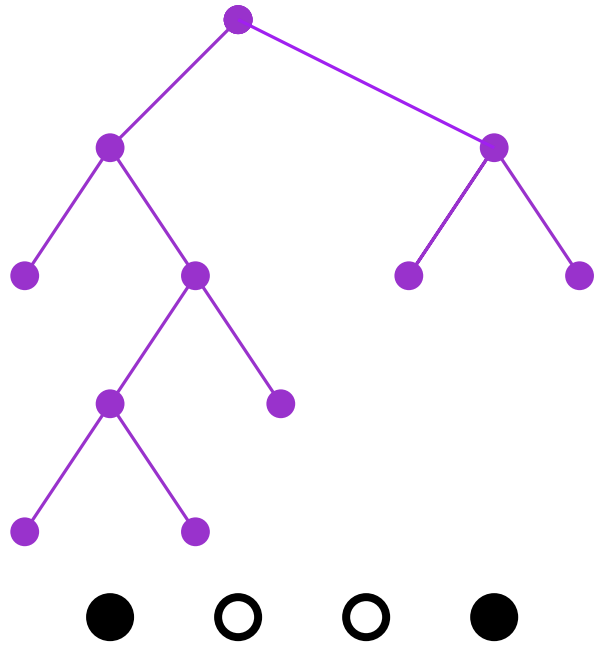
Binary trees, Paths and tableaux



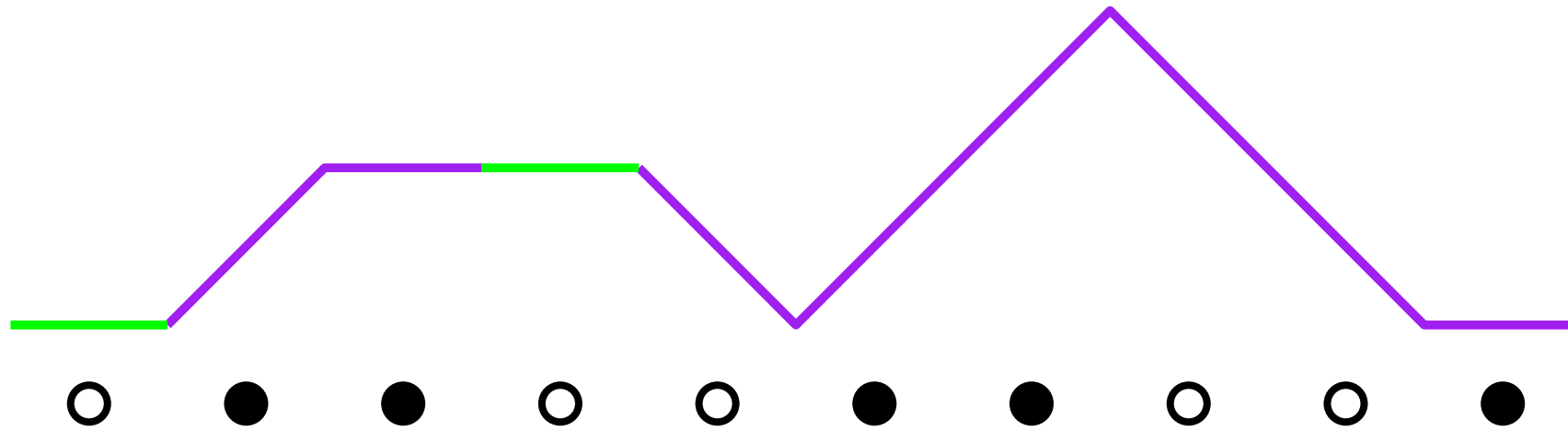
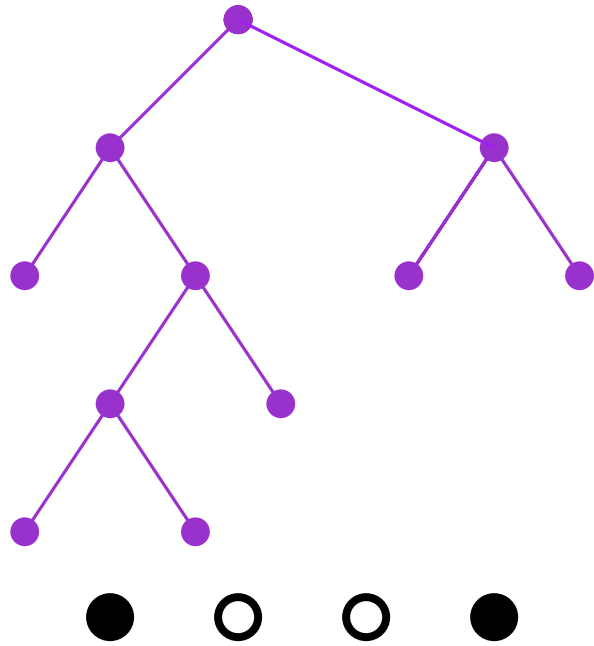
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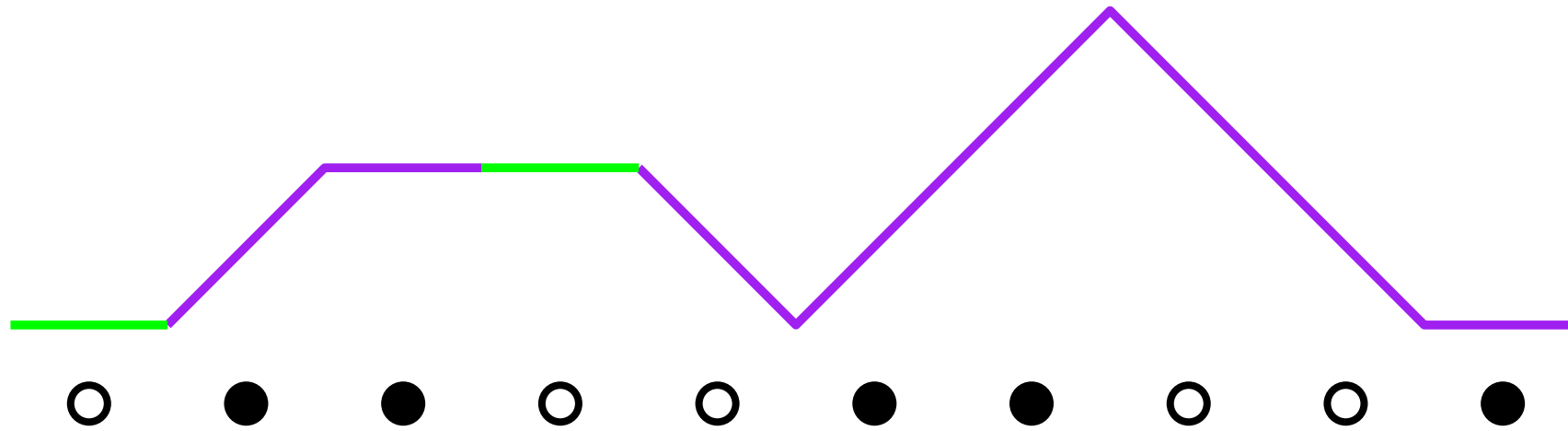
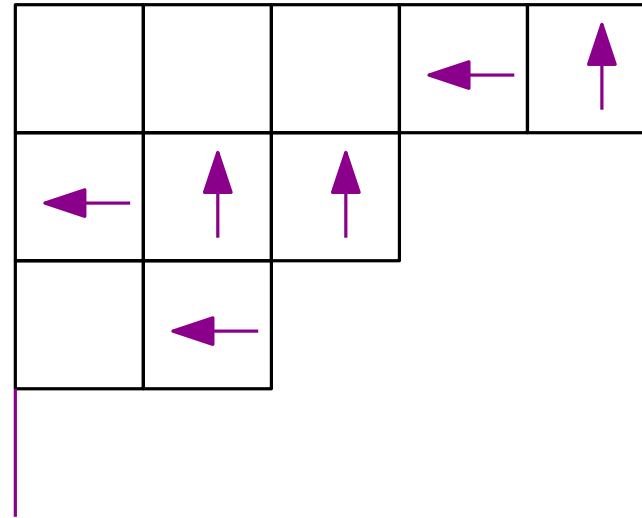
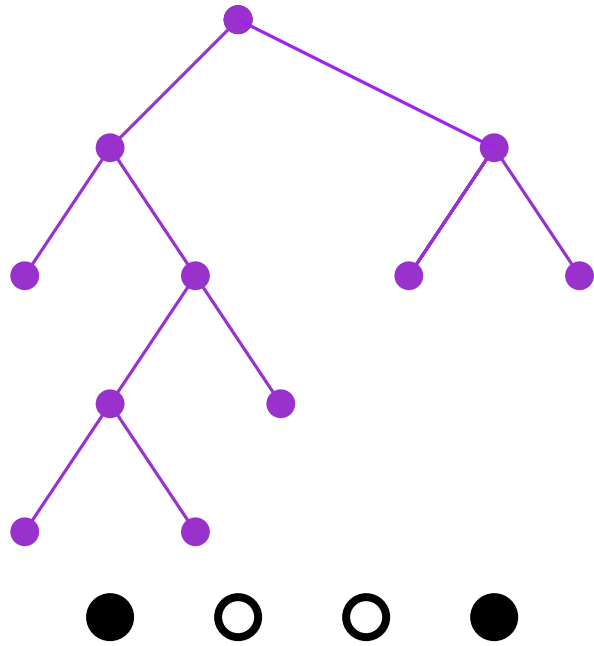
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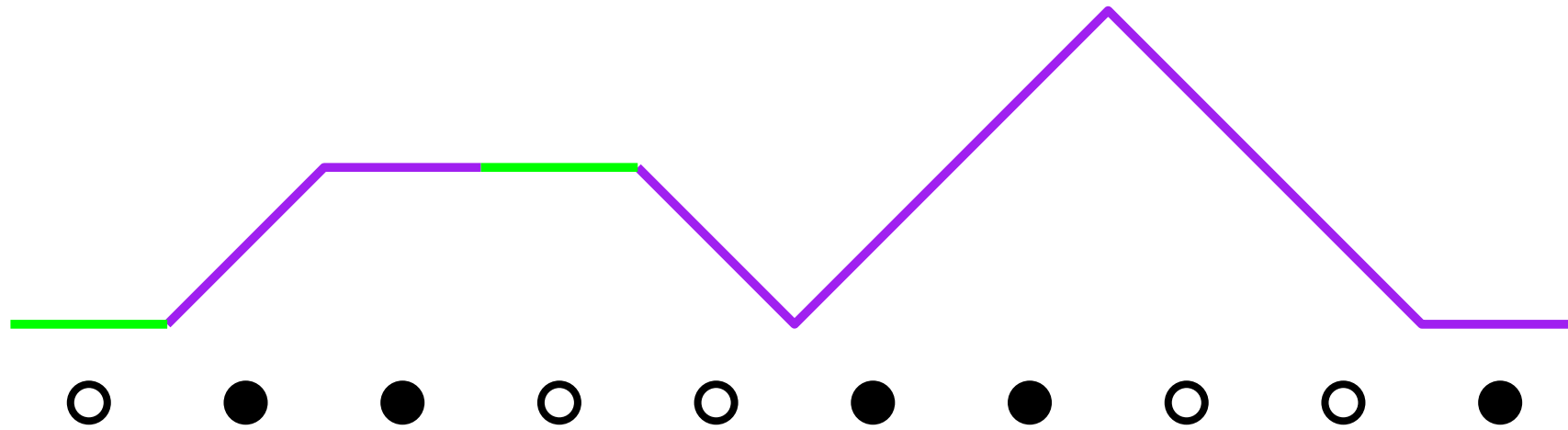
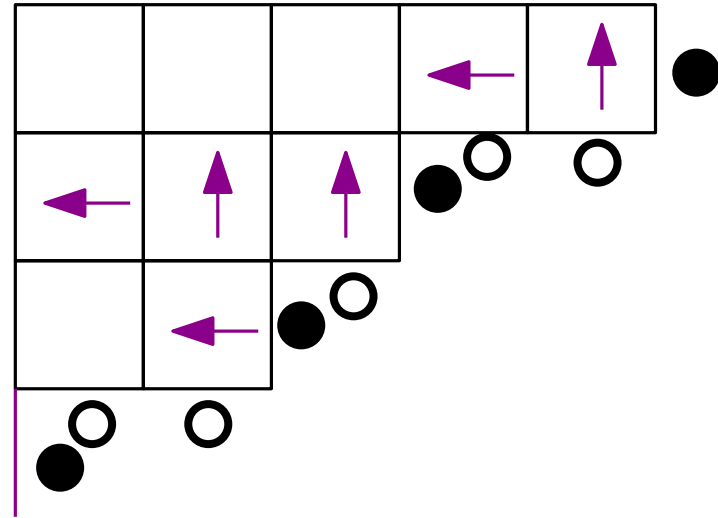
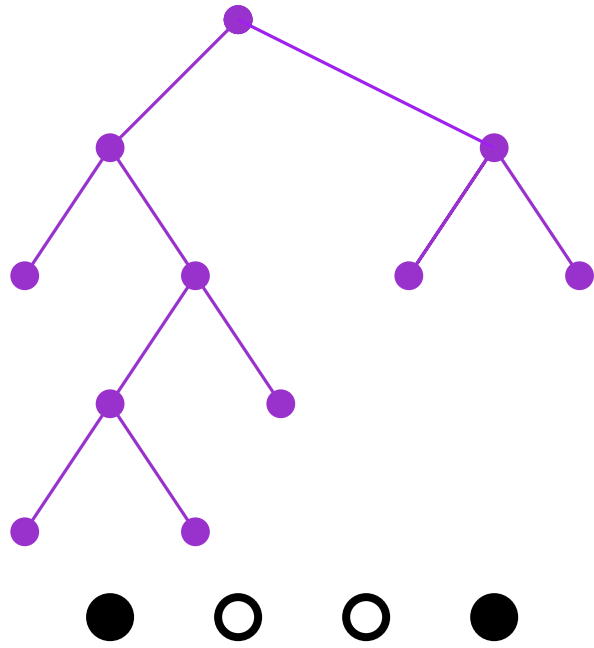
Binary trees, Paths and tableaux



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Binary trees, Paths and tableaux



Binary trees, Paths and tableaux

$$\tau \in \{o, \bullet\}^N$$

$B(\tau)$ number of trees of canopy τ

$M(\tau)$ number of paths of shape τ

$C(\tau)$ number of tableaux of shape τ

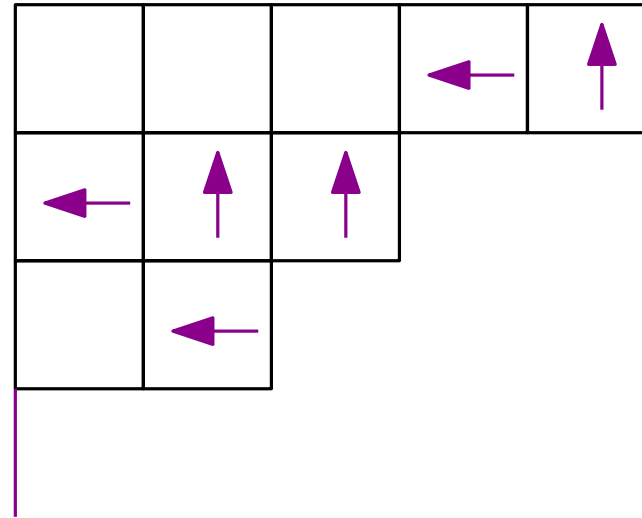
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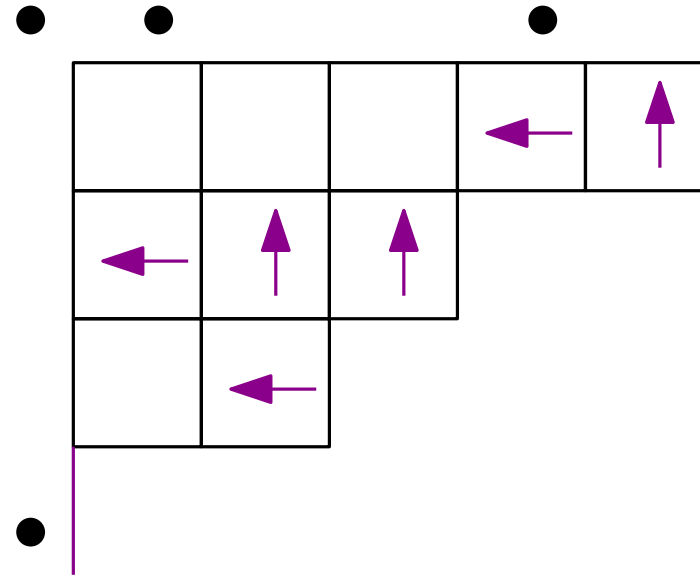
$C(\tau)$ number of tableaux of shape τ



$$B(\tau) = M(\tau) = C(\tau)$$

$$\sum_{\tau} C(\tau) = C_{n+1} \text{ Catalan numbers}$$

Binary trees, Paths and tableaux



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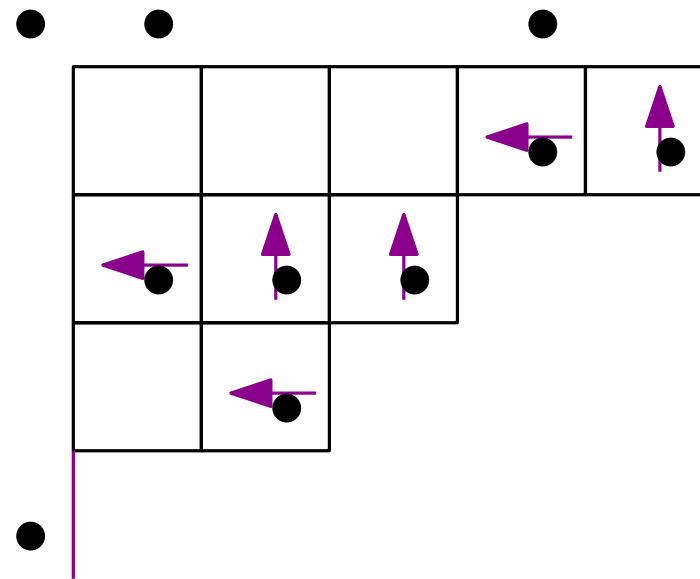
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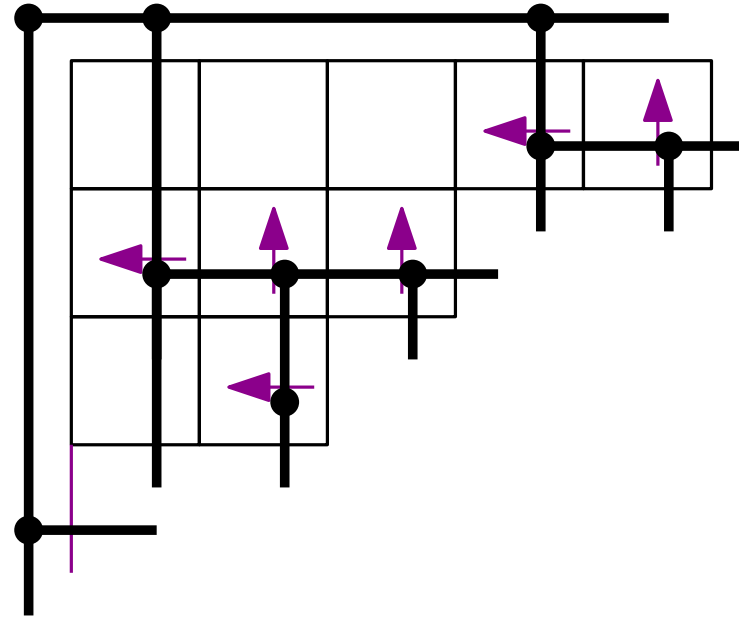
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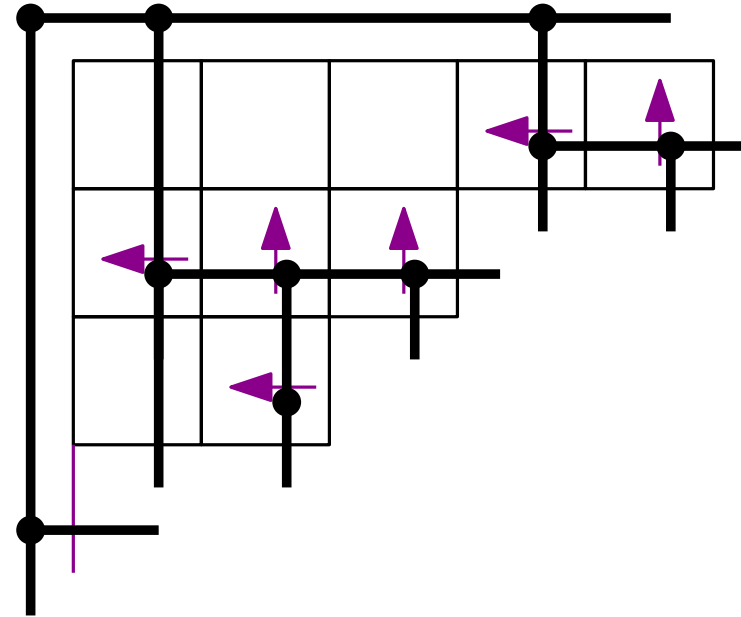
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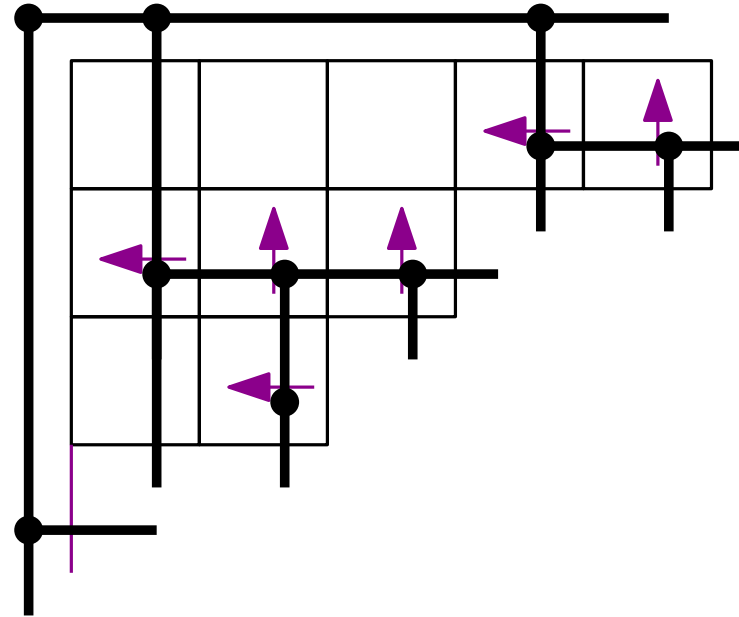
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$B(\tau)/C_{n+1}$ is the probability to be in state τ of the TASEP with open boundaries and N sites

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Binary trees, Paths and tableaux



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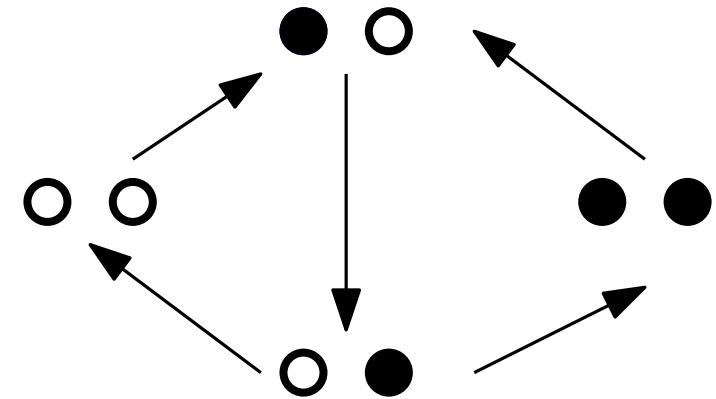
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Matrix Ansatz [Derrida et al 93]

Matrices D and E , and vectors $\langle W|$ and $|V\rangle$

- $\langle W|E = \langle W|$
- $D|V\rangle = |V\rangle$
- $DE = D + E$

$$Z_N = \langle W|(D + E)^N|V\rangle.$$

Steady state $\tau \in \{\circ, \bullet\} = \{0, 1\}^N$

$$P(\tau) = \frac{\langle W|\prod_{i=1}^N[\tau_i D + (1-\tau_i)E]|V\rangle}{Z_N}.$$

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Solution: $\langle W| = (1, 0, \dots)$, $|V\rangle = (1, 0, \dots)^T$

$$D = \begin{pmatrix} 1 & 1 & 0 & 0 & \dots \\ 0 & 1 & 1 & 0 & \dots \\ 0 & 0 & 1 & 1 & \dots \\ 0 & 0 & 0 & 1 & \dots \\ \vdots & & & & \ddots \end{pmatrix}$$

$$E = \begin{pmatrix} 1 & 0 & 0 & 0 & \dots \\ 1 & 1 & 0 & 0 & \dots \\ 0 & 1 & 1 & 0 & \dots \\ 0 & 0 & 1 & 1 & \dots \\ \vdots & & & & \ddots \end{pmatrix}$$

Motzkin paths [Zeilberger, Duchi and Schaeffer, Brak and Essam]

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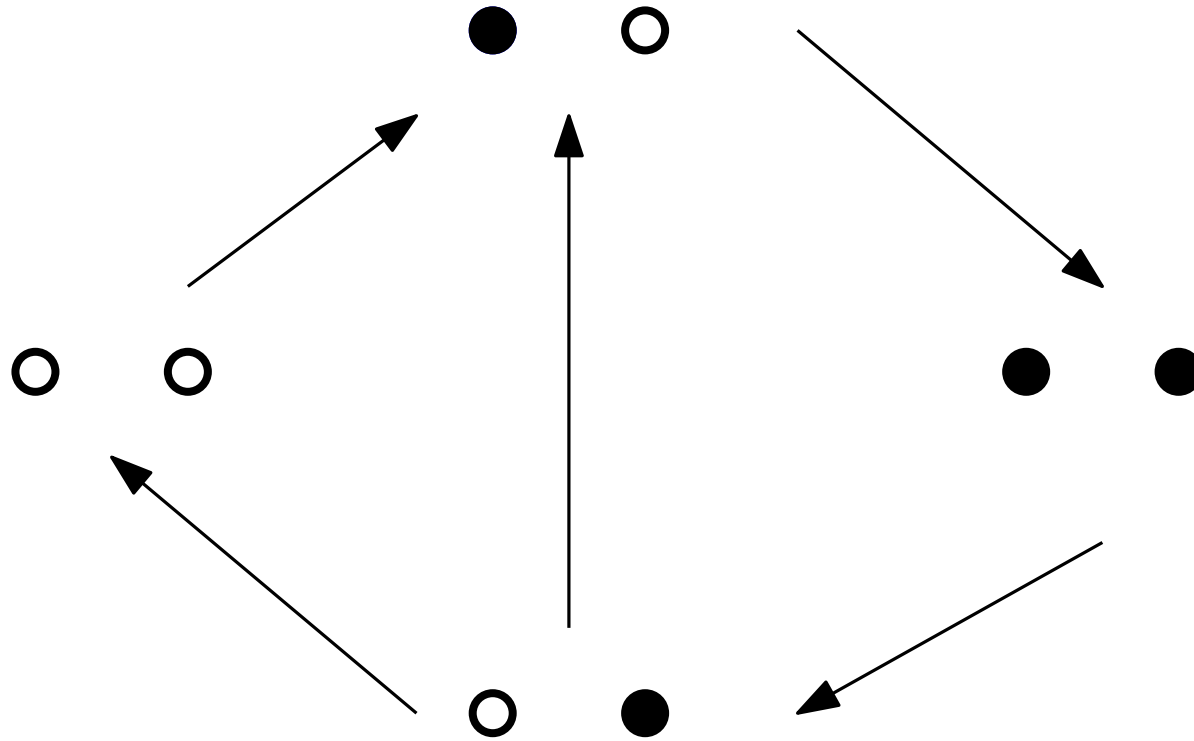
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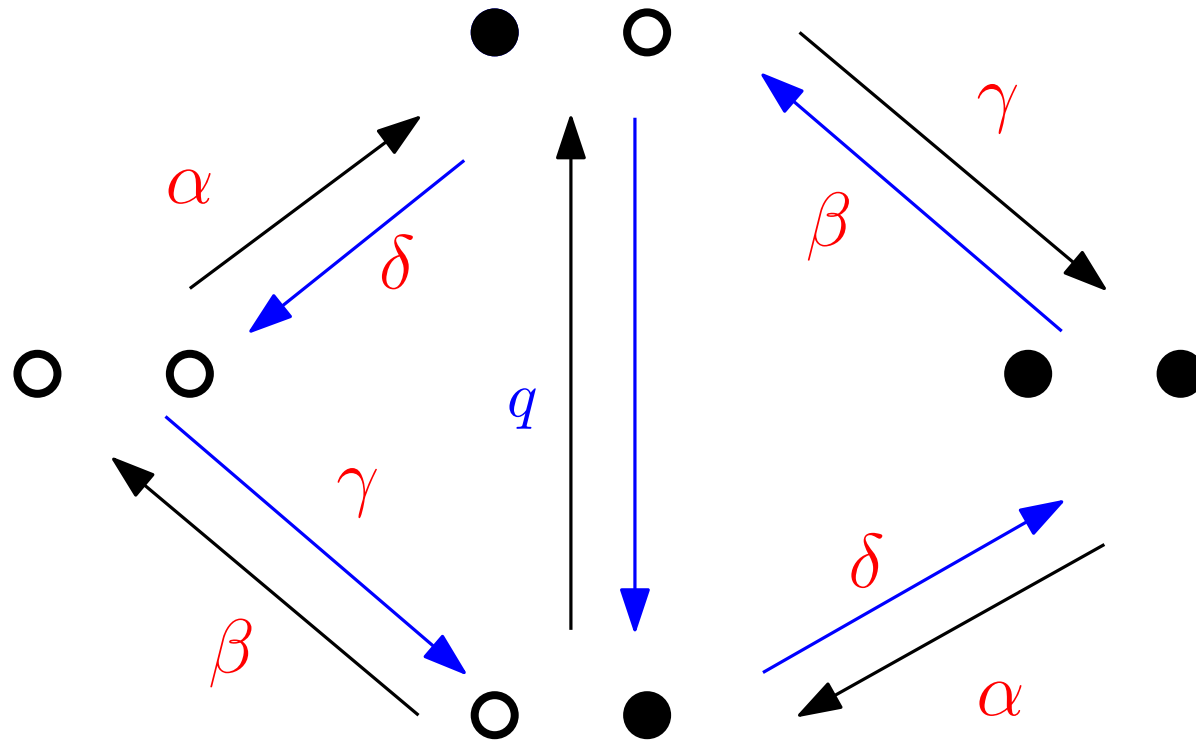
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Lukasiewicz paths, Catalan tableaux

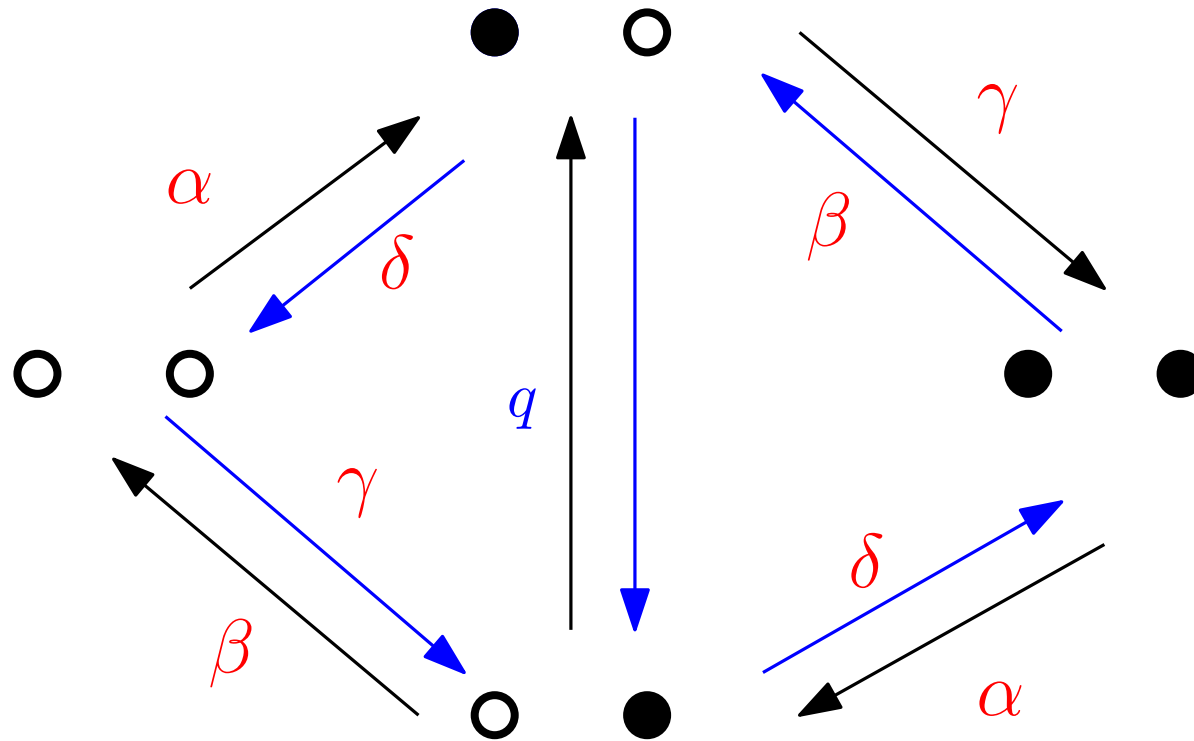
Asymmetric exclusion process with 5 parameters



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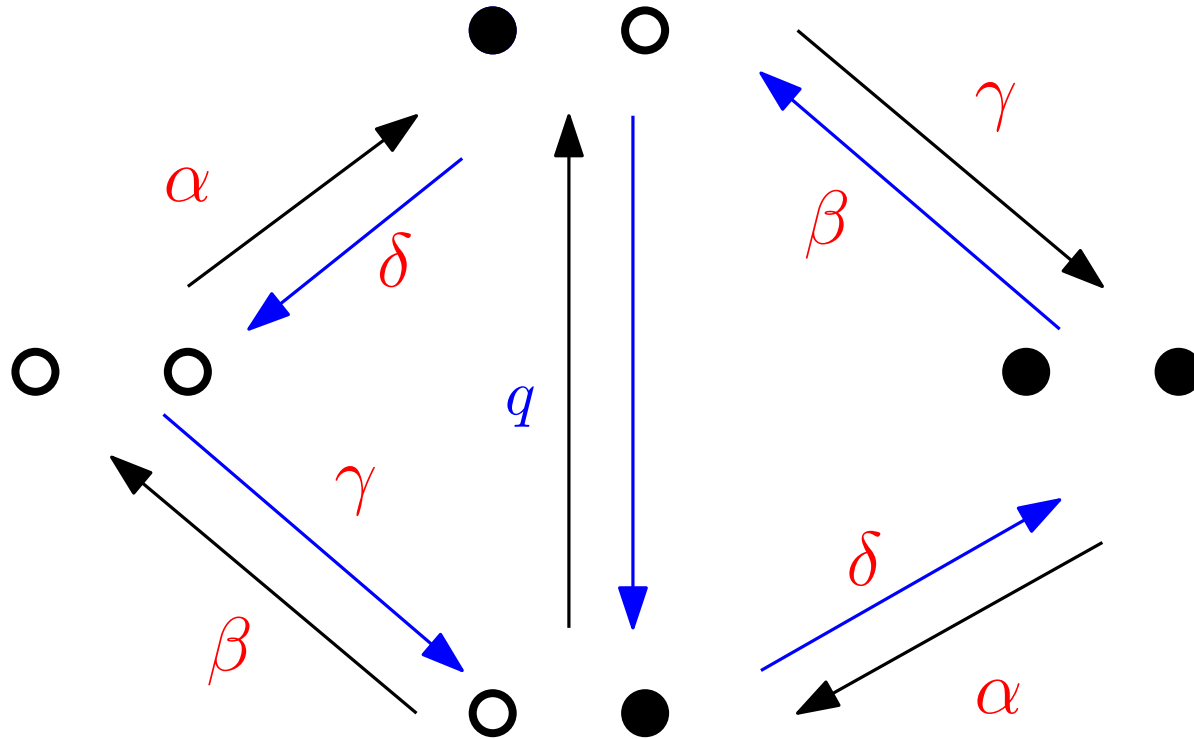
Asymmetric exclusion process with 5 parameters



Matrix Ansatz

- $\langle W | (\alpha E - \gamma D) = \langle W |$
- $(\beta D - \delta E) |V\rangle = |V\rangle$
- $DE = qED + D + E$

Asymmetric exclusion process with 5 parameters



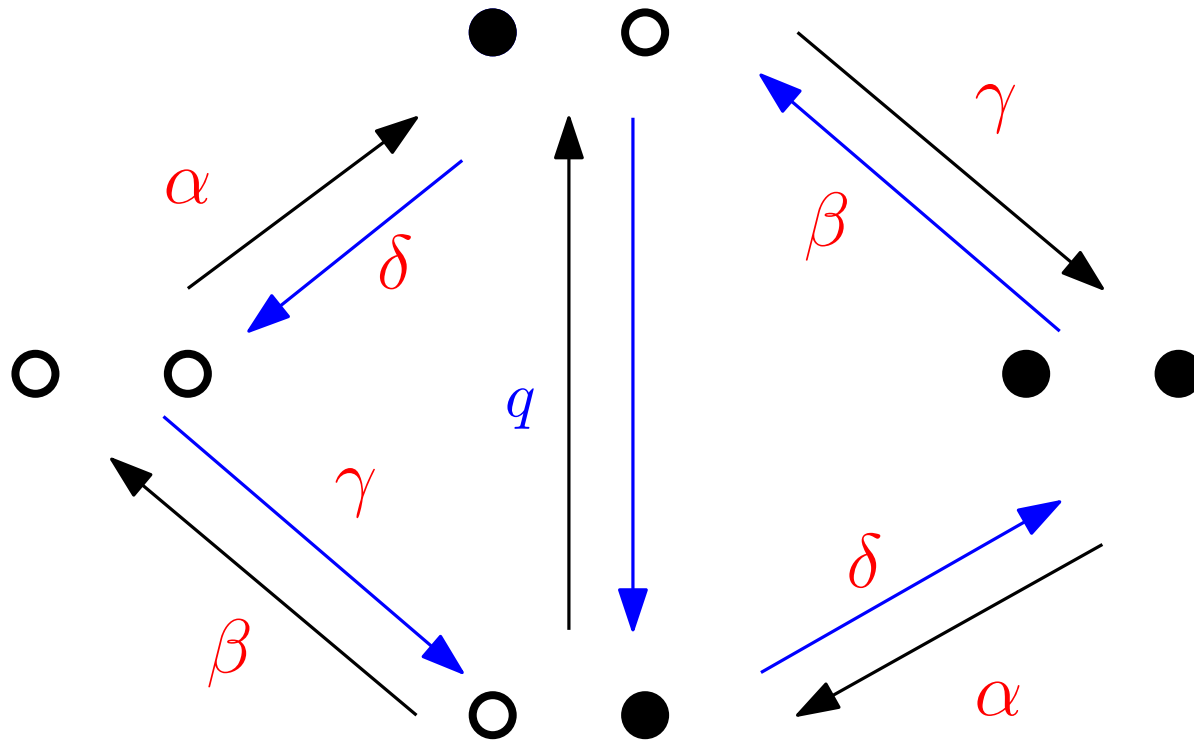
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$$\gamma = \delta = 0$$

- Trees \Rightarrow tree like tableaux
- Paths \Rightarrow moments of AlSalam-Chihara Polynomials
- Tableaux \Rightarrow Permutation tableaux, Alternative tableaux

Asymmetric exclusion process with 5 parameters



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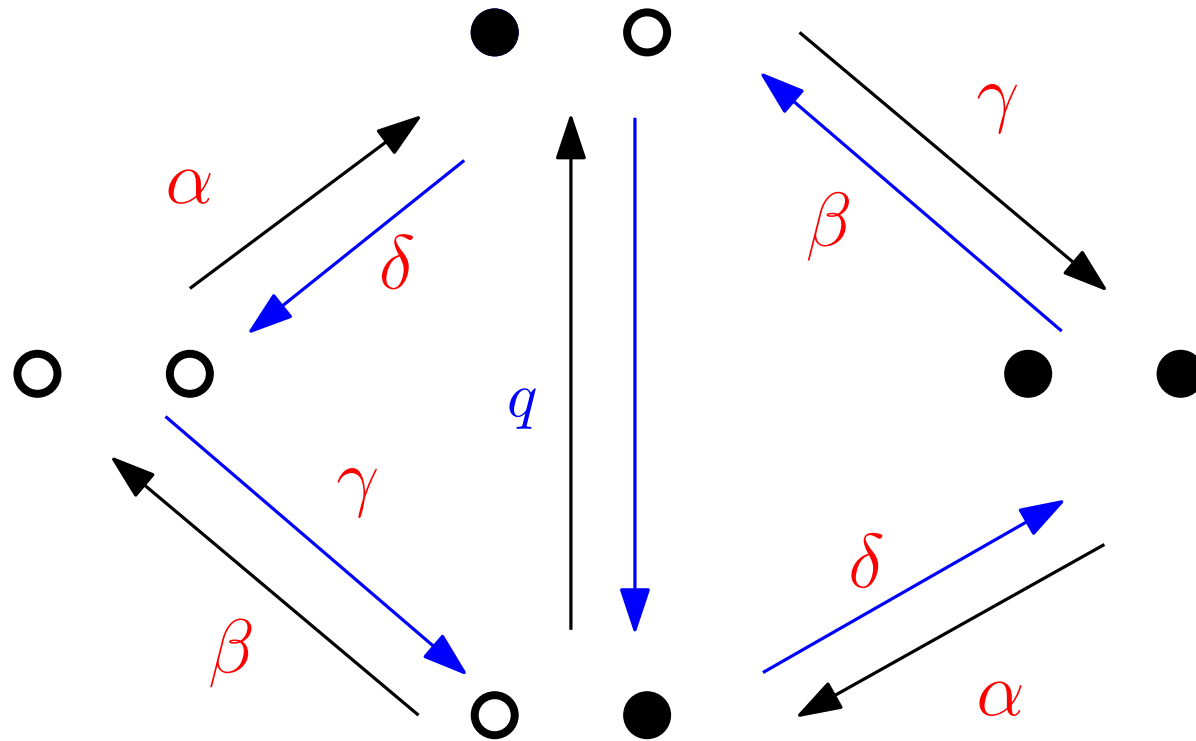
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[Aval, Boussicault, C. Josuat-Vergès, Nadeau, Viennot, Williams...]

Asymmetric exclusion process with 5 parameters



General model

- Moments of Askey Wilson polynomials [Uchiyama, Sasamoto, Wadati 04]
- Staircase tableaux [C., Williams 10]

Askey Wilson polynomials

$$P_{n+1}(x) = (x - b_n)P_n(x) - \lambda_n P_{n-1}(x)$$

$$b_n = 1/2(a + 1/a - A_n - C_n) \quad \lambda_n = A_{n-1}C_n/4$$

$$A_n = \frac{(1-abq^n)(1-acq^n)(1-adq^n)(1-abcdq^{n-1})}{a(1-abcdq^{2n})(1-abcdq^{2n-1})}$$

symmetric in a, b, c, d

$$C_n = \frac{(1-abq^{n-1})(1-bcq^{n-1})(1-bdq^{n-1})(1-q^n)}{a(1-abcdq^{2n-2})(1-abcdq^{2n-1})}$$

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orthogonal

$$\oint_C \frac{dz}{4\pi iz} w\left(\frac{z+z^{-1}}{2}\right) P_m\left(\frac{z+z^{-1}}{2}\right) P_n\left(\frac{z+z^{-1}}{2}\right) = h_n \delta_{mn},$$

$$w(x) = \frac{(z^2, z^{-2}; q)_\infty}{(az, a/z, bz, b/z, cz, c/z, dz, d/z; q)_\infty}, \quad x = (z + z^{-1})/2$$

$$h_n = \frac{(1-q^{n-1}abcd)(q, ab, ac, ad, bc, bd, cd; q)_n}{(1-q^{2n-1}abcd)(abcd; q)_n}$$

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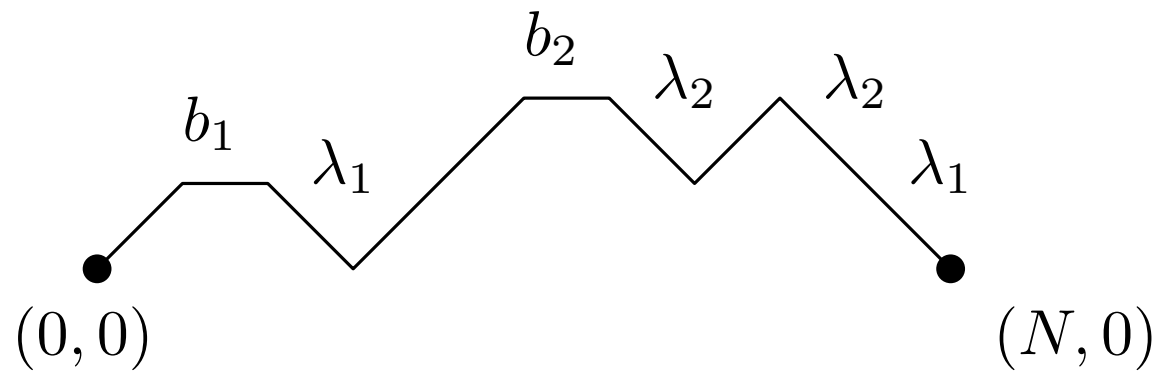
Moments

$$\mu_N^{AW} = \oint_C \frac{dz}{4\pi iz} w\left(\frac{z+z^{-1}}{2}\right) \left(\frac{z+z^{-1}}{2}\right)^N$$

Combinatorics of moments

[Flajolet, Viennot 80s]

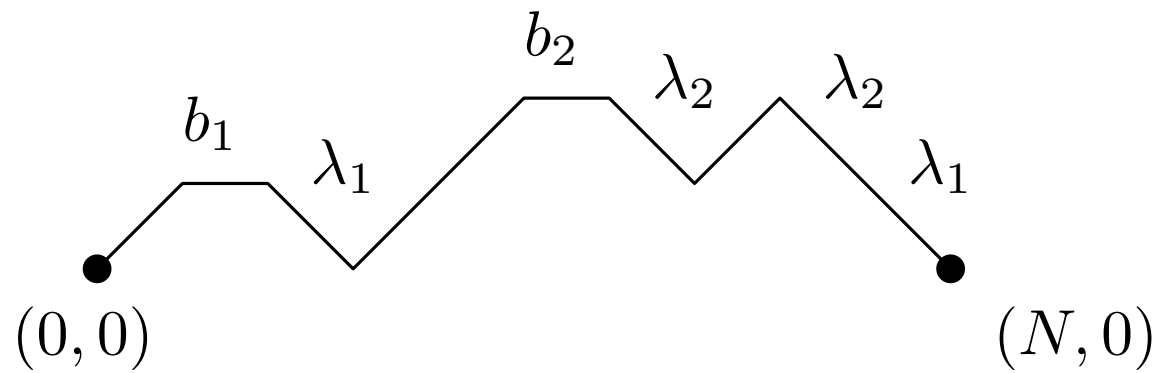
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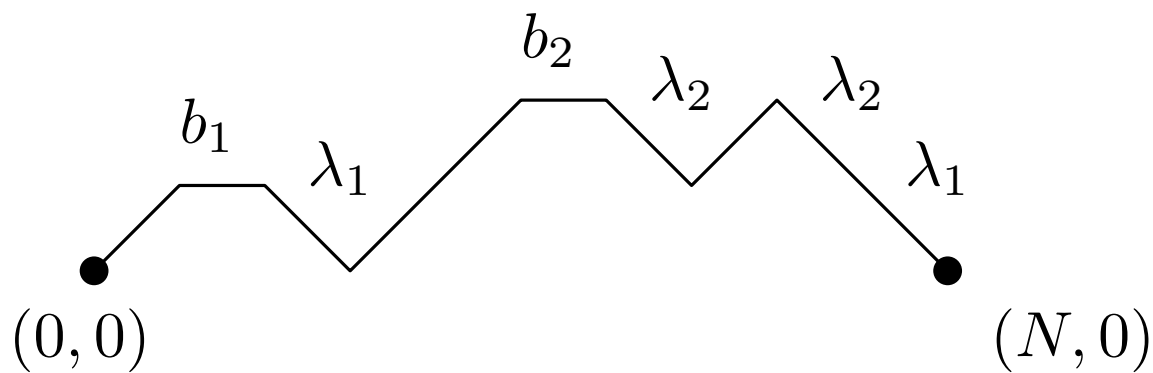
$$\mu_N = \sum_P W(p)$$

$$W(P) = b_1 b_2 \lambda_1 \lambda_2^2$$

Combinatorics of moments

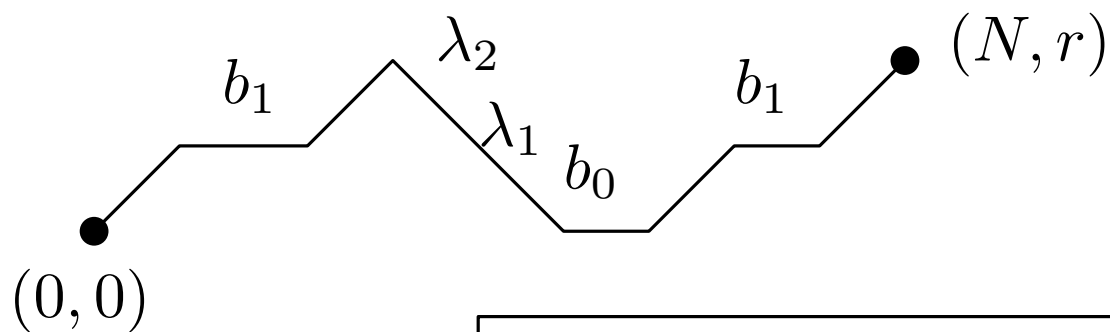
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Solution of the 5 parameter model [USW 04]

$$d = \begin{pmatrix} d_0^\sharp & d_0^\sharp & 0 & \cdots \\ d_0^b & d_1^\sharp & d_1^\sharp & \\ 0 & d_1^b & d_2^\sharp & \ddots \\ \vdots & & \ddots & \ddots \end{pmatrix}$$

$$d_n^b = -\frac{q^n bd}{(1-q^n ac)(1-q^n bd)} \lambda_n \quad e_n^b = \frac{1}{(1-q^n ac)(1-q^n bd)} \lambda_n \quad d_n^\sharp = 1 \quad e_n^\sharp = -q^n ac$$

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$$a = \frac{1-q-\alpha+\gamma+\sqrt{(1-q-\alpha+\gamma)^2+4\alpha\gamma}}{2\alpha} \quad b = \frac{1-q-\beta+\delta+\sqrt{(1-q-\beta+\delta)^2+4\beta\delta}}{2\beta}$$

$$D = \frac{1+d}{1-q}, \quad E = \frac{1+e}{1-q}$$

$$Z_N = \langle W | (D + E)^N |V\rangle$$

Koorwinder polynomials

Multivariate version of the AW polynomials $P_\lambda(z_1, \dots, z_m; a, b, c, d|q, t)$

at $q = t$

$$P_\lambda(z; a, b, c, d|q, q) = \text{const} \cdot \frac{\det(p_{m-j+\lambda_j}(z_i; a, b, c, d|q))_{i,j=1}^m}{\det(p_{m-j}(z_i; a, b, c, d|q))_{i,j=1}^m}$$

Density


$$\prod_{1 \leq i < j \leq m} (1 - z_i z_j)(1 - z_i/z_j)(1 - z_j/z_i)(1 - 1/z_i z_j) \prod_{1 \leq i \leq m} w \left(\frac{z_i + z_i^{-1}}{2} \right)$$

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AW-polynomials 

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AW-density 

Possible definition of moments


$$M_\lambda = I_k(s_\lambda(x_1, \dots, x_m); a, b, c, d; q, q).$$

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AW-density 

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Schur functions 


Integrate with respect to the Koorwinder density 

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AW-polynomials 

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AW-density 

Possible definition of moments

$$M_\lambda = I_k(s_\lambda(x_1, \dots, x_m); a, b, c, d; q, q).$$

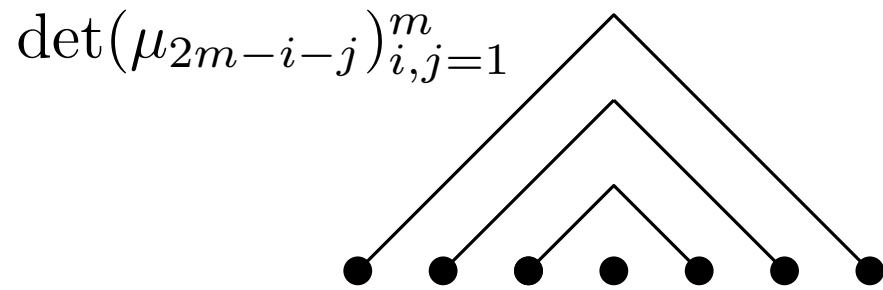
Lemma
Rains

$$M_\lambda = \frac{\det(\mu_{\lambda_i + m - i + m - j})_{i,j=1}^m}{\det(\mu_{2m - i - j})_{i,j=1}^m}$$

Koorwinder moments

$$M_\lambda = \frac{\det(\mu_{\lambda_i+m-i+m-j})_{i,j=1}^m}{\det(\mu_{2m-i-j})_{i,j=1}^m}$$

Path interpretation



Lindström, Gessel, Viennot

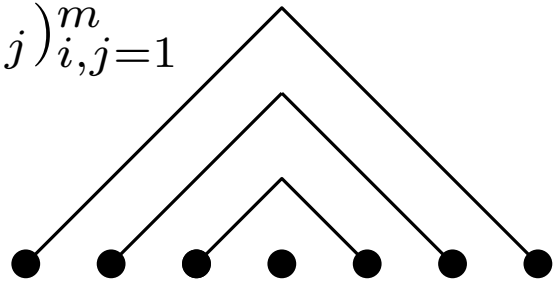
$$\prod_{i=1}^m \lambda_i^{m-i}$$

Koorwinder moments

$$M_\lambda = \frac{\det(\mu_{\lambda_i + m - i + m - j})_{i,j=1}^m}{\det(\mu_{2m - i - j})_{i,j=1}^m}$$

Path interpretation

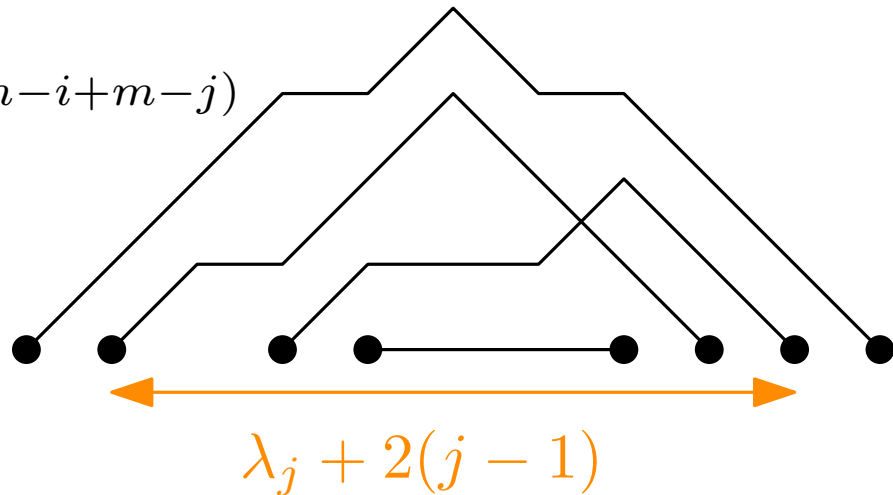
$$\det(\mu_{2m - i - j})_{i,j=1}^m$$



Lindström, Gessel, Viennot

$$\prod_{i=1}^m \lambda_i^{m-i}$$

$$\det(\mu_{\lambda_i + m - i + m - j})$$

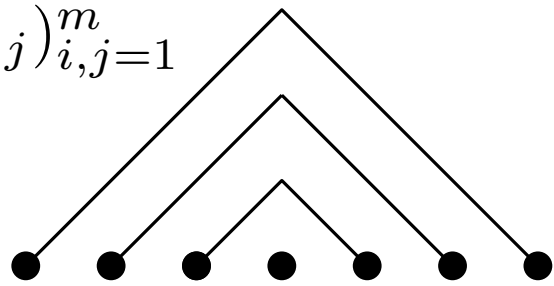


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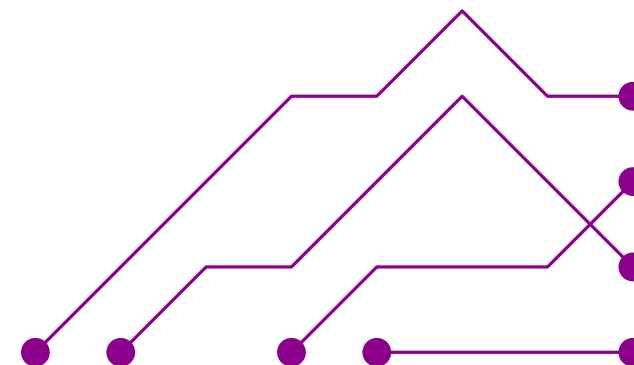
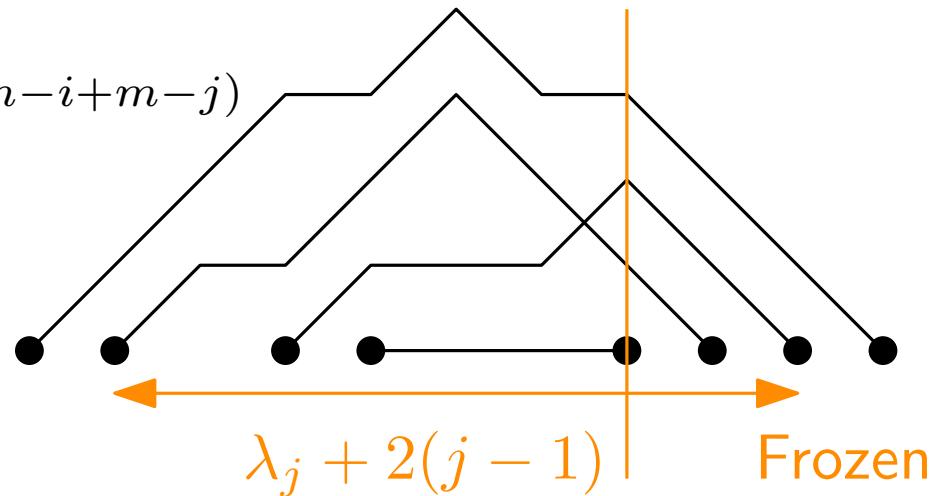
$$\det(\mu_{2m - i - j})_{i,j=1}^m$$



Lindström, Gessel, Viennot

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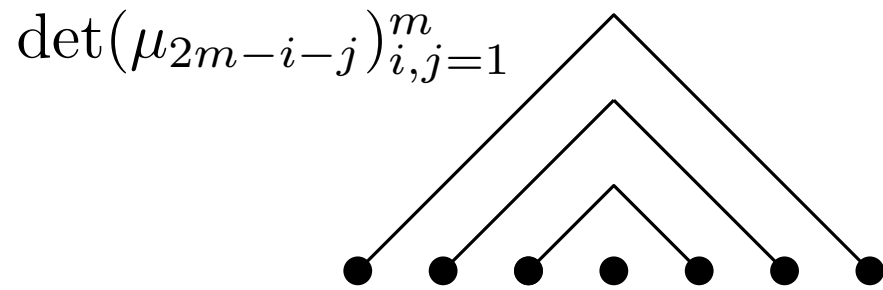
$$\det(\mu_{\lambda_i + m - i + m - j})$$



Koorwinder moments

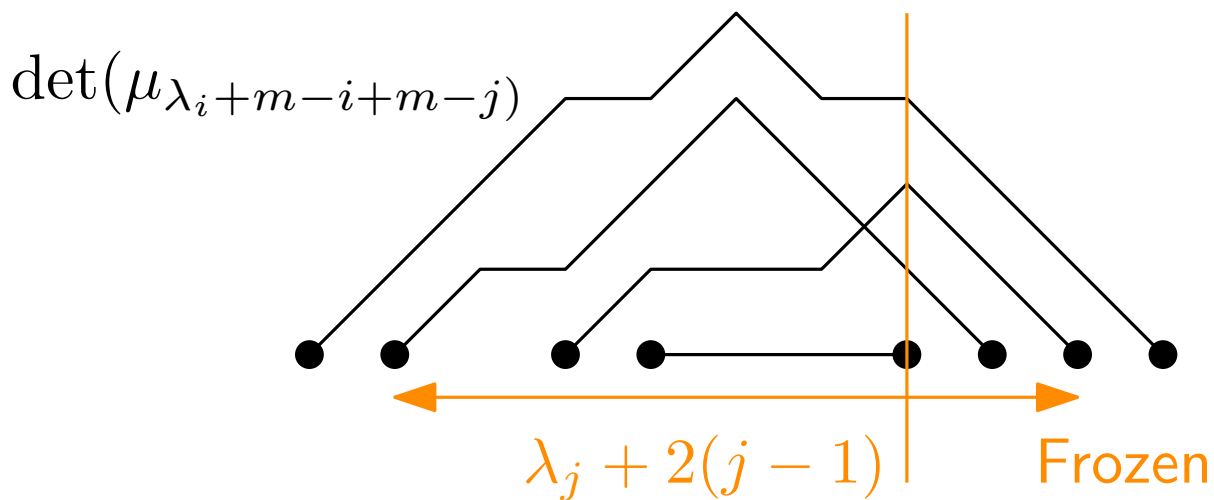
$$M_\lambda = \frac{\det(\mu_{\lambda_i + m - i + m - j})_{i,j=1}^m}{\det(\mu_{2m - i - j})_{i,j=1}^m}$$

Path interpretation

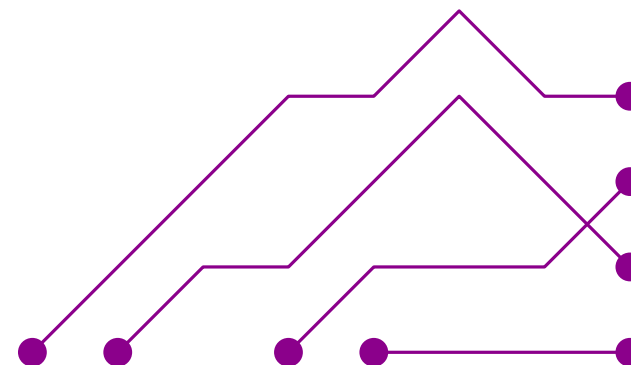


Lindström, Gessel, Viennot

$$\prod_{i=1}^m \lambda_i^{m-i}$$



$$M_\lambda = \det(\mu_{\lambda_i + n - i + m - j, j})$$



More Koornwinder moments

$$\lambda_1 \geq \dots \geq \lambda_m \geq 0$$

$$K_\lambda = \frac{\det(Z_{\lambda_i+m-i+m-j})_{i,j=1}^m}{\det(Z_{2m-i-j})_{i,j=1}^m}$$

$$K_\lambda = \det(K_{(\lambda_i+j-i,0,0,\dots,0)})_{i,j=1}^n$$

Conjecture [C., Rains, Williams 14]

The Koornwinder moment K_λ is a polynomial in $\alpha, \beta, \gamma, \delta, q$ with positive coefficients (up to a normalizing factor).

More Koornwinder moments

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Conjecture [C., Rains, Williams 14]

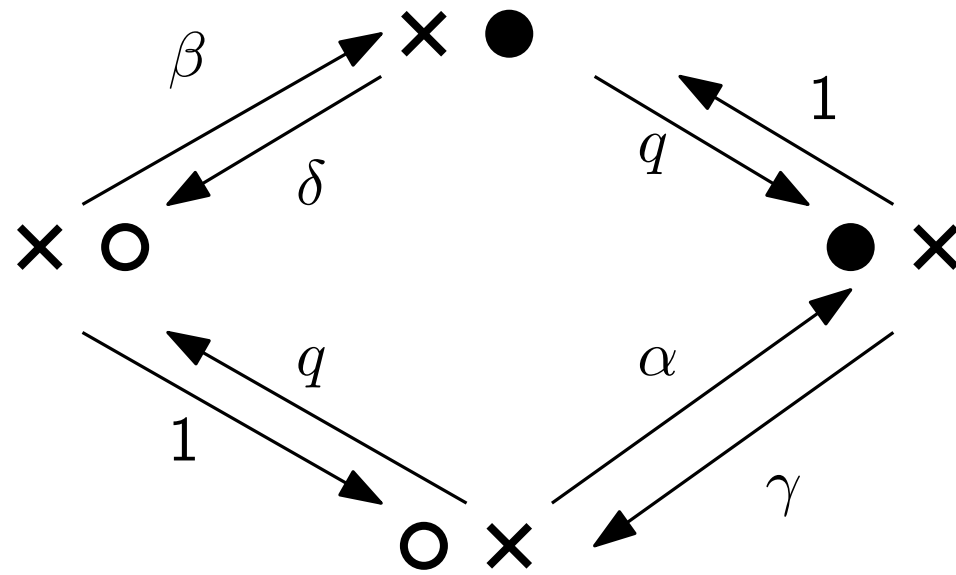
The Koornwinder moment K_λ is a polynomial in $\alpha, \beta, \gamma, \delta, q$ with positive coefficients (up to a normalizing factor).

True for $\lambda = (N - r, \underbrace{0, \dots, 0}_r)$

Theorem [C., Williams 15; Cantini 15]

$K_{(N-r,0,\dots,0)}$ Partition function of the two species ASEP

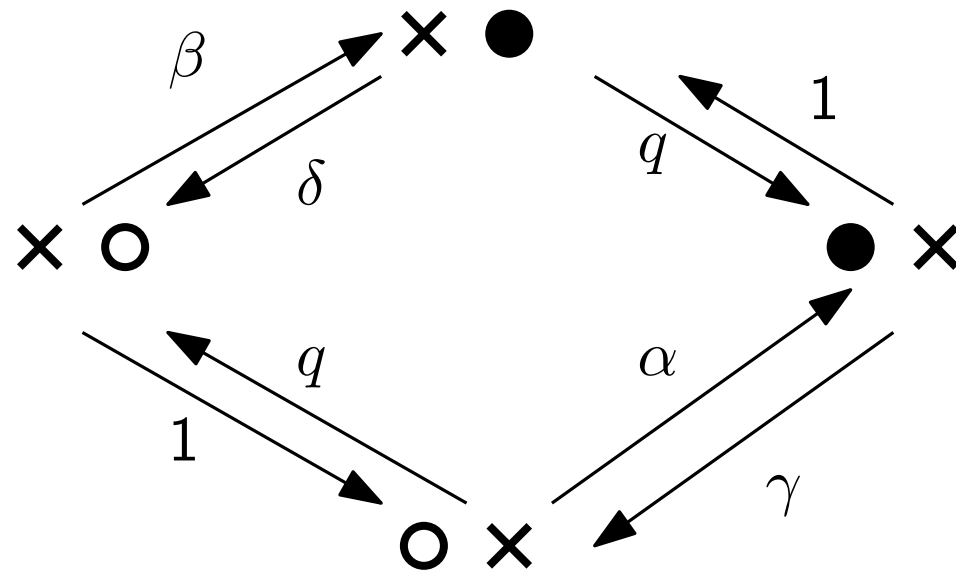
Two species ASEP



N sites

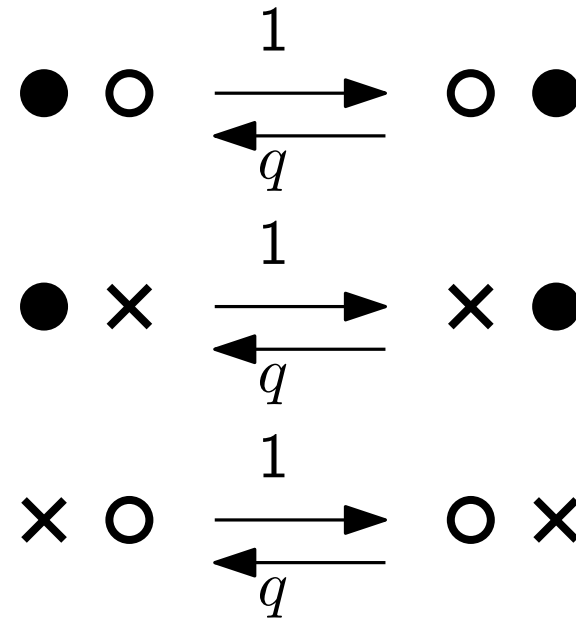
r particles equal to \times

Two species ASEP

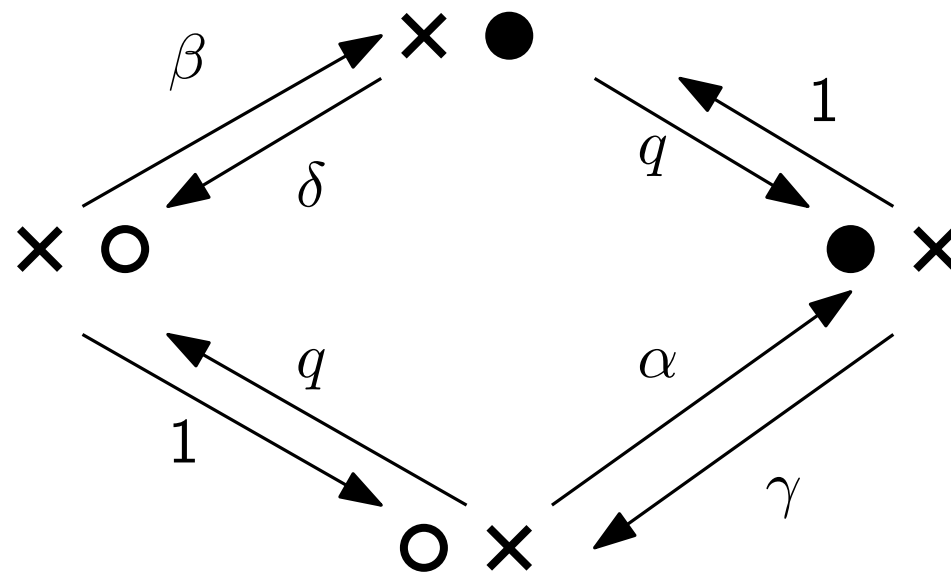


N sites

r particles equal to \times



Two species ASEP



N sites

r particles equal to \times

Matrix Ansatz [Uchiyama 08]

- $\langle W | (\alpha E - \gamma D) = \langle W |$
- $(\beta D - \delta E) | V \rangle = | V \rangle$
- $DE - qED = D + E$
- $DA = qAD + A$
- $AE = qEA + A.$

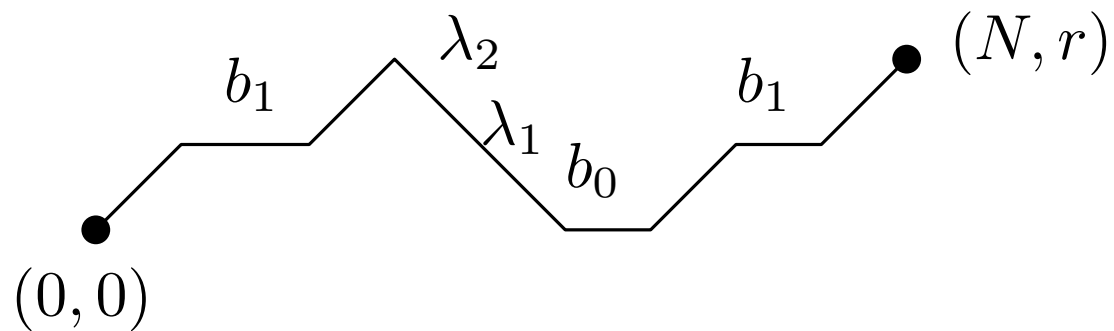
Partition function

$$Z_{N,r} = [y^r] \frac{\langle W | (D + E + yA)^N | V \rangle}{\langle W | A^r | V \rangle}.$$

Two species ASEP

$$Z_{N,r} = [y^r] \frac{\langle W | (D + E + yA)^N | V \rangle}{\langle W | A^r | V \rangle}.$$

$$K_{(N-r,0,\dots,0)} = \langle W | (D + E)^N | V^r \rangle \quad |V^r\rangle = (0, \dots, 0, 1, 0, \dots)^T$$



$$K_{(N-r,0,\dots,0)} = \mu_{N,r} = \oint_C \frac{dz}{4\pi iz} w \left(\frac{z+z^{-1}}{2} \right) P_r \left(\frac{z+z^{-1}}{2} \right) \left(\frac{z+z^{-1}}{2} \right)^N$$

Theorem. $Z_{N,r} = \frac{\alpha^r (1-q)^r}{\alpha + q^i \gamma} \times K_{(n-r,0,\dots,0)}$

Sketch of proof

Lemma. The theorem is true if $\langle W|D^N|V^r\rangle\alpha^r(1-q)^r = [y^r] \frac{\langle W|(D+yA)^N|V\rangle}{\langle W|A^r|V\rangle}$.

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Proof. Matrix Ansatz

$$D = (1+d)/(1-q)$$

Lemma. The theorem is true if $\langle W|d^N|V^r\rangle = \left[\begin{array}{c} N \\ r \end{array} \right]_q \frac{\langle W|A^r d^{N-r}|V\rangle}{\langle W|A^r|V\rangle}$.

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Lemma. The theorem is true if $\langle W|D^N|V^r\rangle\alpha^r(1-q)^r = [y^r] \frac{\langle W|(D+yA)^N|V\rangle}{\langle W|A^r|V\rangle}$.

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Lemma. The theorem is true if $\langle W|d^N|V^r\rangle = \begin{bmatrix} N \\ r \end{bmatrix}_q \frac{\langle W|A^r d^{N-r}|V\rangle}{\langle W|A^r|V\rangle}$.

Proof. Matrix Ansatz

"Guess and check"

Proposition

$$\frac{\langle W|A^r d^{N-r}|V\rangle}{\langle W|A^r|V\rangle} = \frac{\sum_{i=0}^{N-r} (-1)^i \begin{bmatrix} N-r \\ i \end{bmatrix}_q q^{\binom{i}{2}} (bdq^r)^i B_{N-r-i}(b,d,q) B_i(a,c,1/q)}{\prod_{i=0}^{N-r-1} (1-abcdq^{2r+i})}$$

$$B_m(b,d,q) = \left(\sum_{j=0}^m \begin{bmatrix} m \\ j \end{bmatrix}_q b^j d^{m-j} \right)$$

Enumeration formula

Theorem. [Stanton 15]

$$Z_{N,r} = \sum_{k=0}^N \sum_{j=0}^k F_{k,r} q^k \frac{q^{-j^2} a^{-2j}}{(q, q^{1-2j}/a^2; q)_j (q, a^2 q^{1+2j}; q)_{k-j}} (1 + aq^j + 1/(aq^j))^N / 2^N$$

$$F_{k,r} = (-a)^r \begin{bmatrix} k \\ r \end{bmatrix}_q \frac{(abq^r, acq^r, adq^r, q)_{k-r}}{(abcdq^{2r}, q)_{k-r}} \frac{(q; q)_r}{(abcd; q)_{2r}} (ab, ac, ad, bc, bd, cd; q)_r q^{\binom{r}{2}}$$

$$a = \frac{1-q-\alpha+\gamma+\sqrt{(1-q-\alpha+\gamma)^2+4\alpha\gamma}}{2\alpha}, \quad b = \frac{1-q-\beta+\delta+\sqrt{(1-q-\beta+\delta)^2+4\beta\delta}}{2\beta}$$

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Remark. $Z_{N,r}$ is a polynomial with positive coefficients in $\alpha, \beta, \gamma, \delta$ and q with $4^{N-r} (n-r)! \binom{n}{r}^2$ terms

Can we extract the combinatorics of the two species ASEP?

Triangular alternative tableaux

$$q = 0 \text{ [Mandelstam 14]}$$

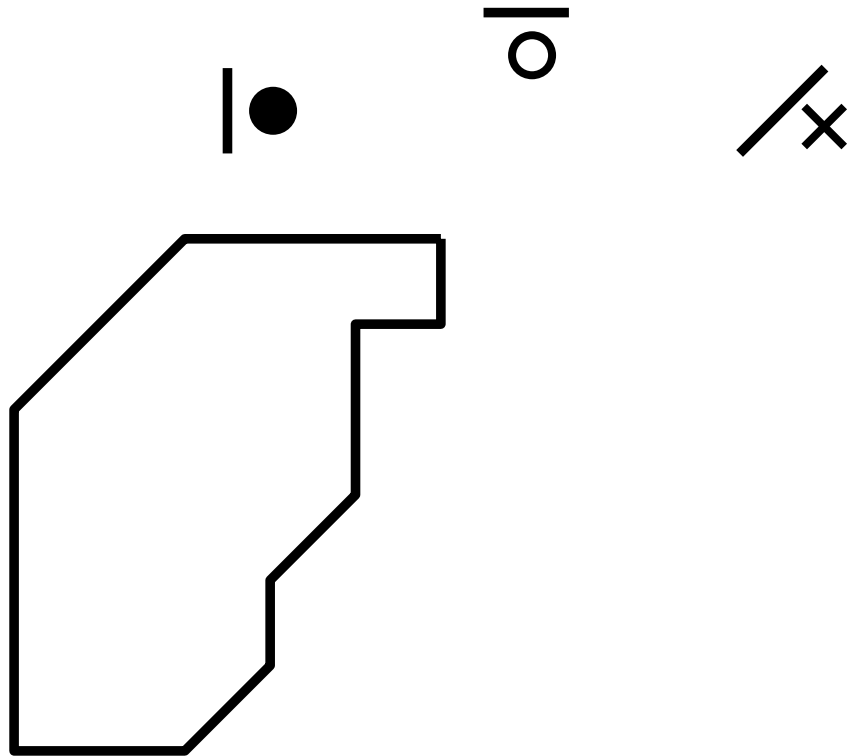
$$\gamma = \delta = 0 \quad \text{[Viennot, Mandelstam 2015]}$$



Triangular alternative tableaux

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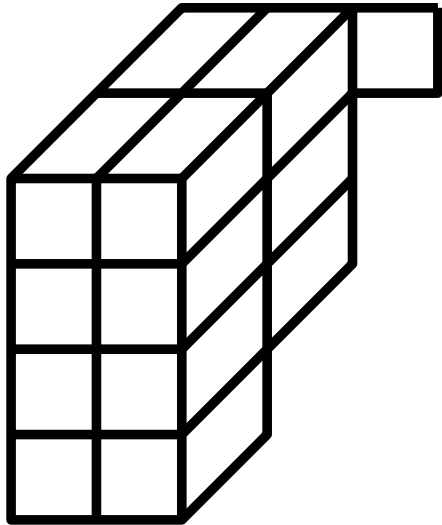
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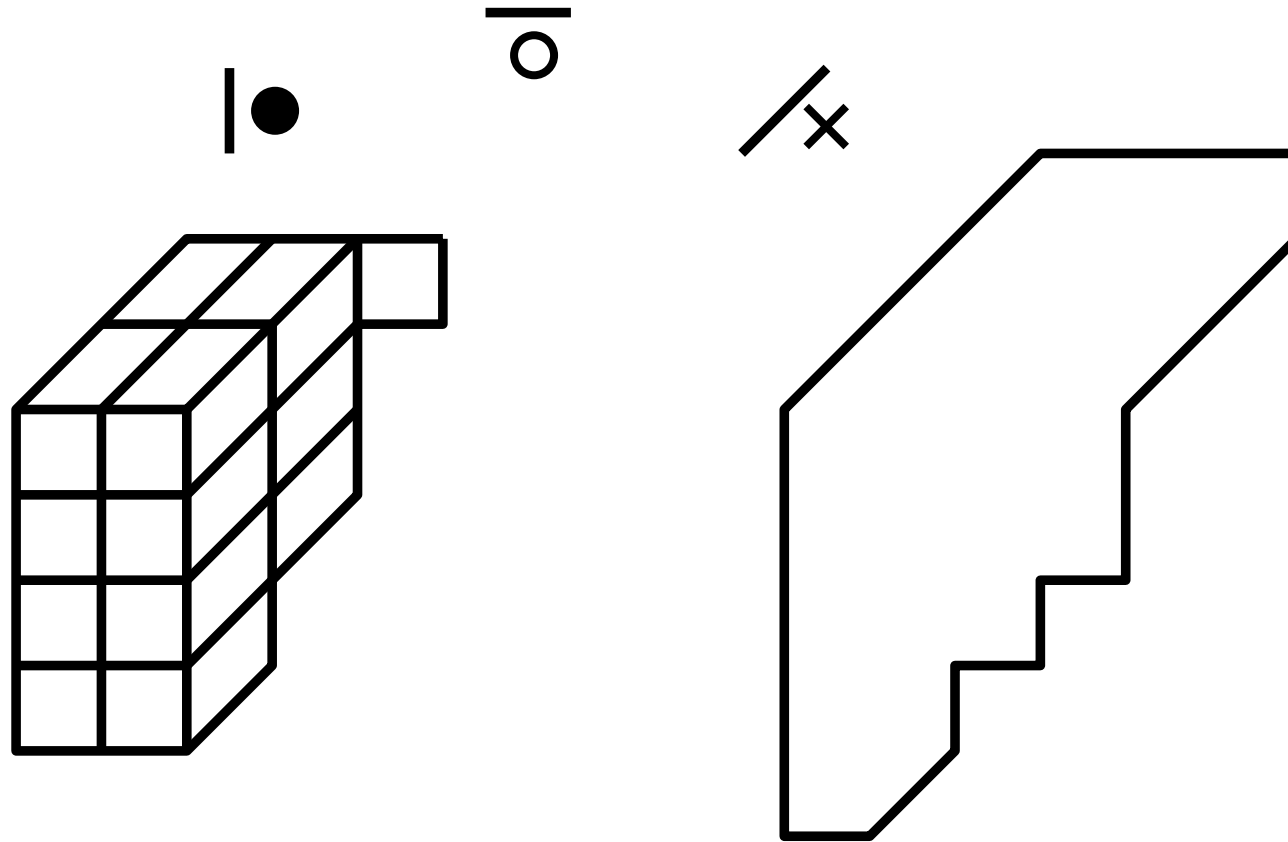


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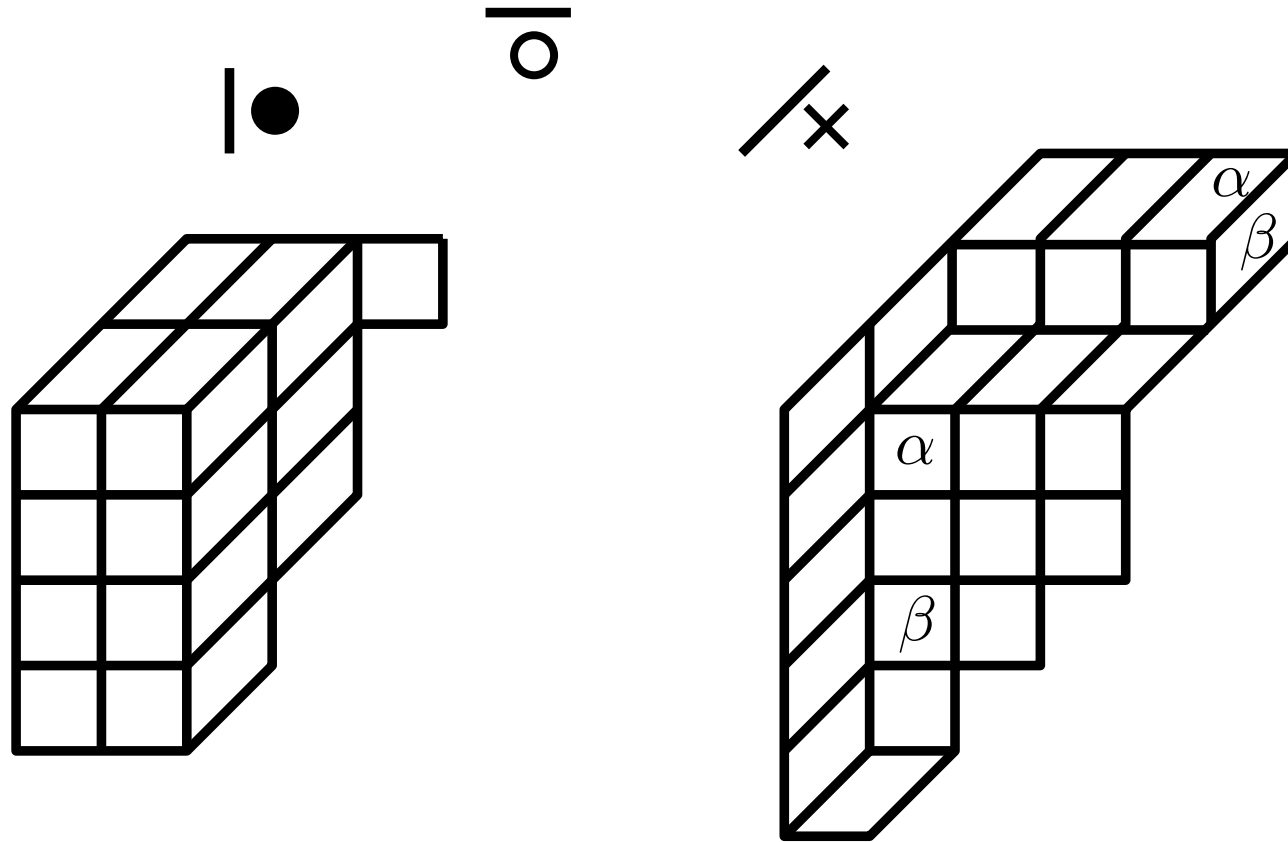


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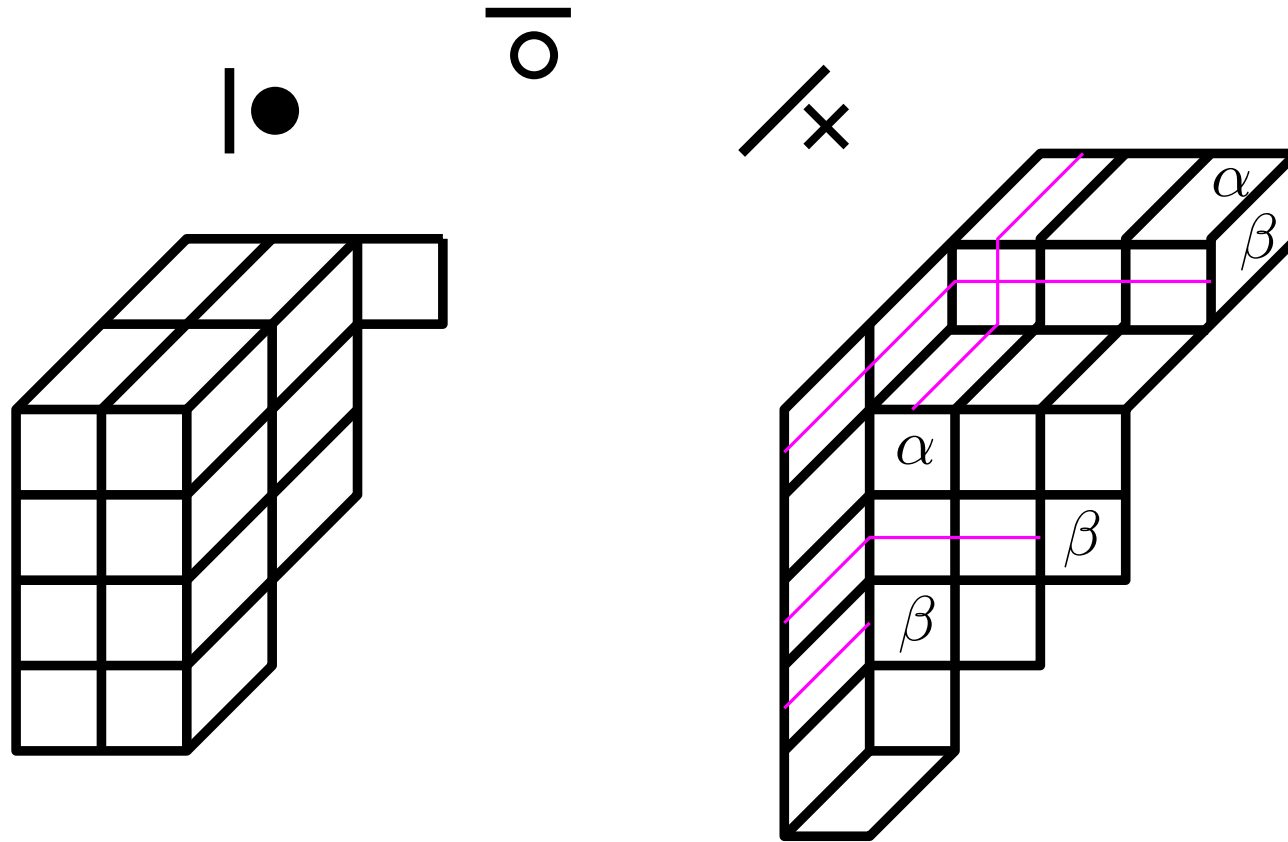


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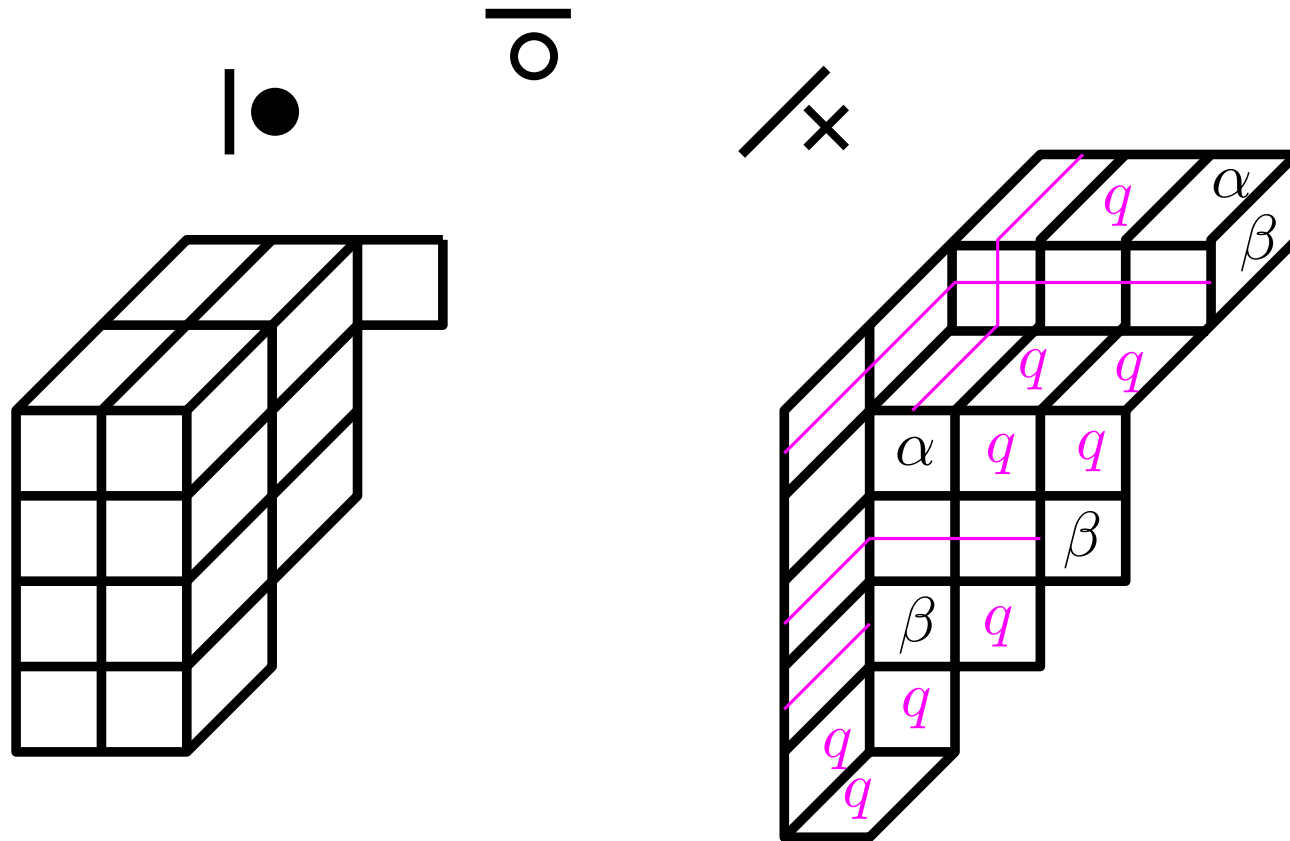
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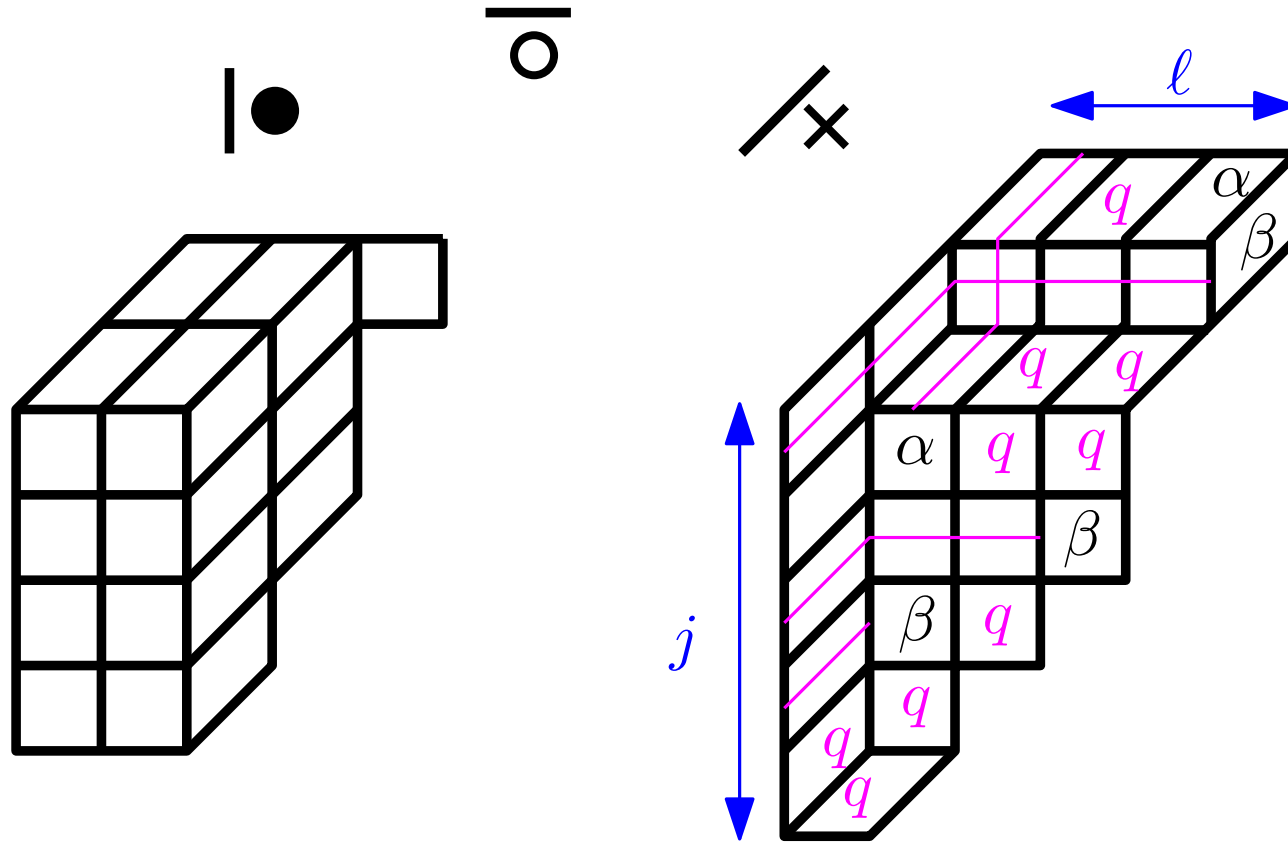


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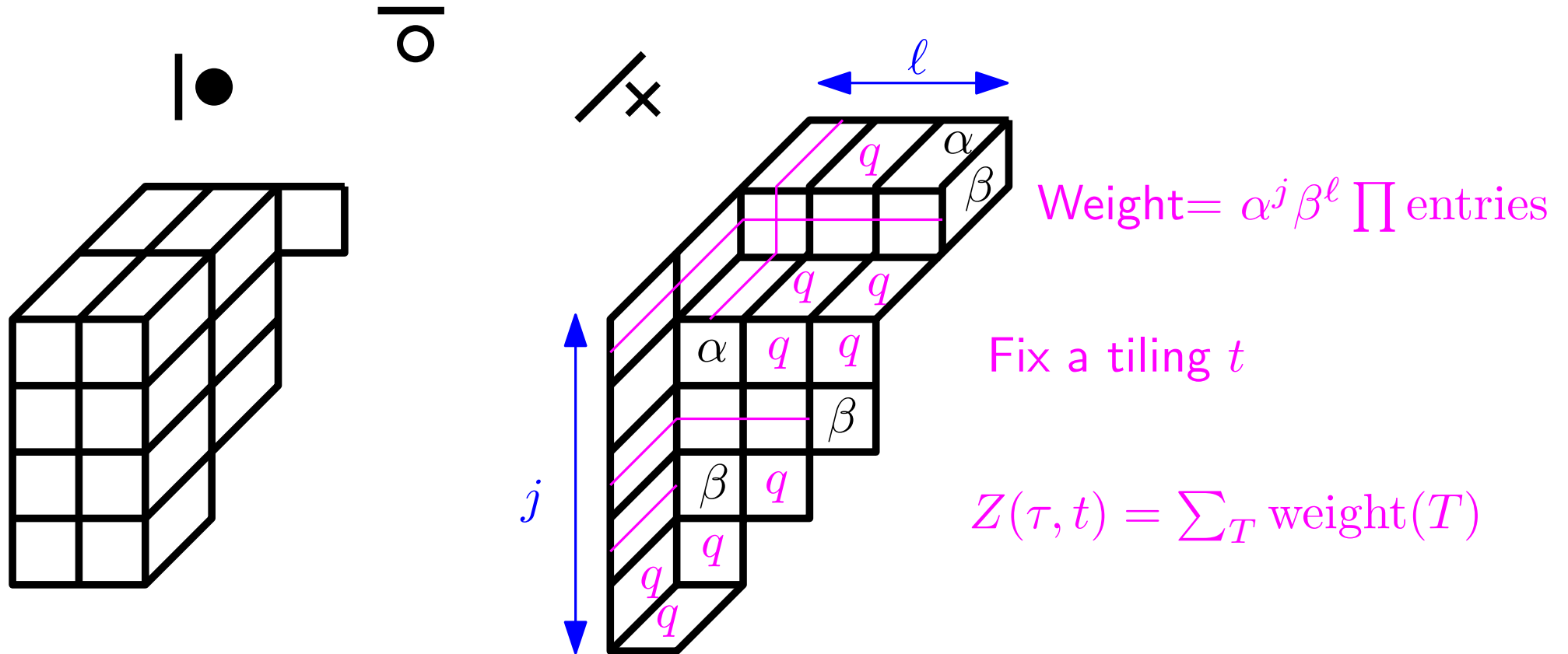


$$\text{Weight} = \alpha^j \beta^l \prod \text{entries}$$

Triangular alternative tableaux

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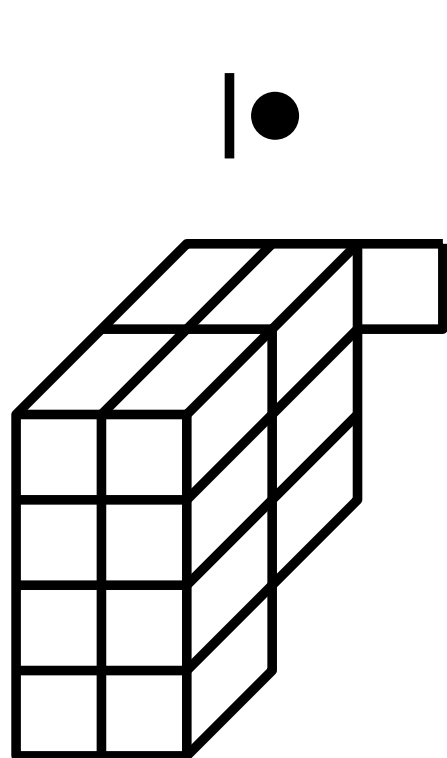


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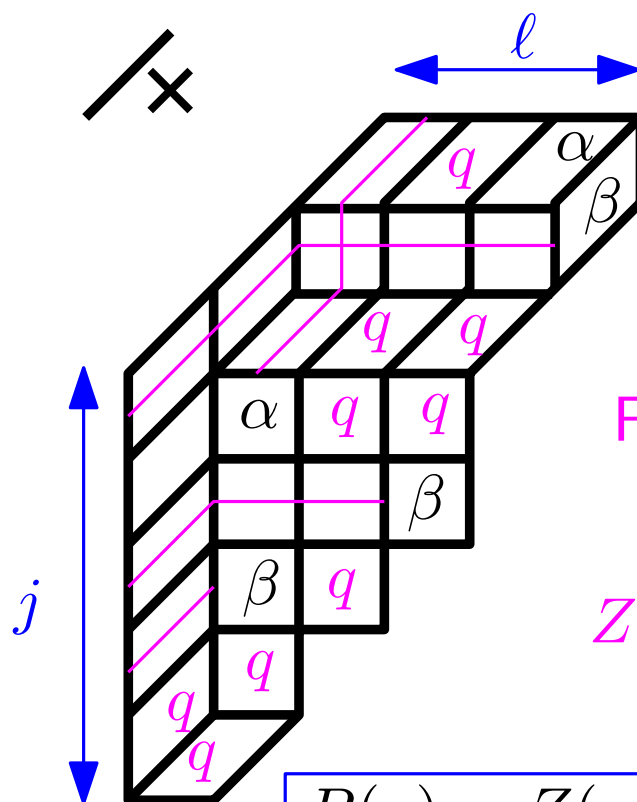
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[Viennot, Mandelstam 2015]



$\overline{\circ}$

$\diagdown \times$



Weight = $\alpha^j \beta^l \prod \text{entries}$

Fix a tiling t

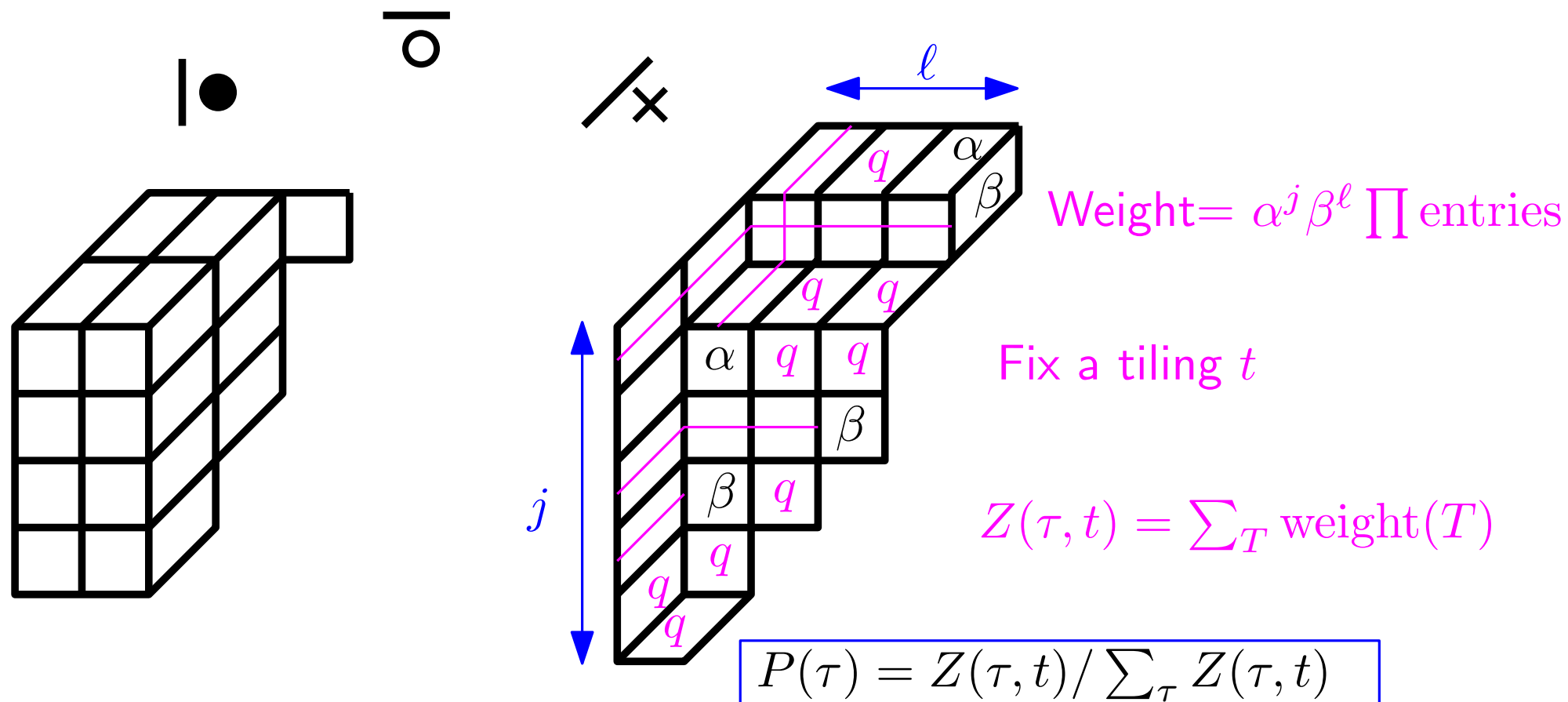
$$Z(\tau, t) = \sum_T \text{weight}(T)$$

$$P(\tau) = Z(\tau, t) / \sum_{\tau} Z(\tau, t)$$

Triangular alternative tableaux

$$q = 0 \text{ [Mandelstam 14]}$$

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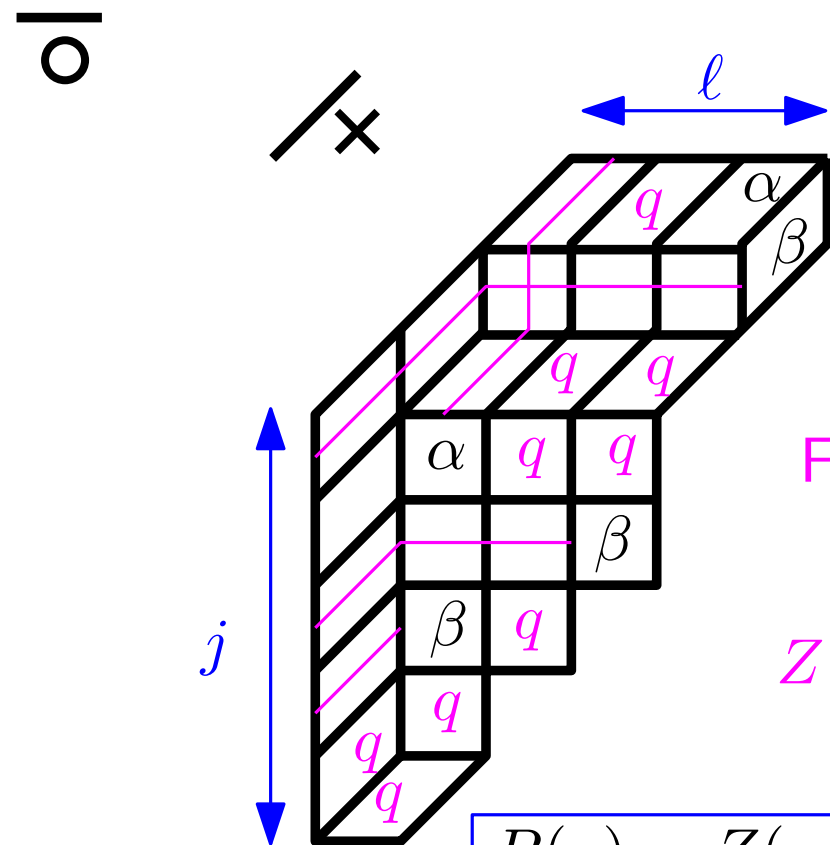
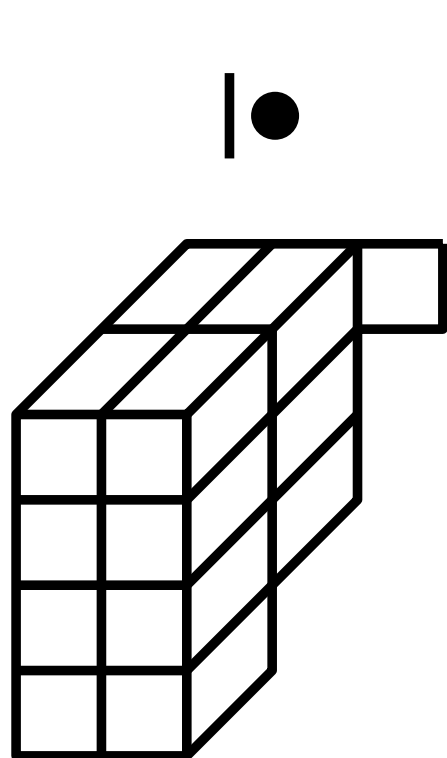


For t and t' tilings, $Z(\tau, t) = Z(\tau, t')$

Triangular alternative tableaux

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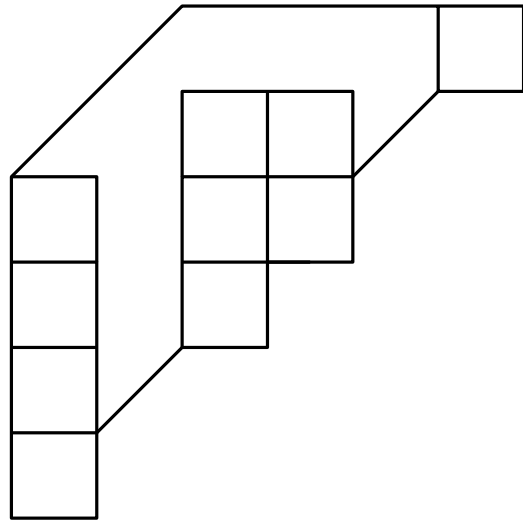
Fix a tiling t

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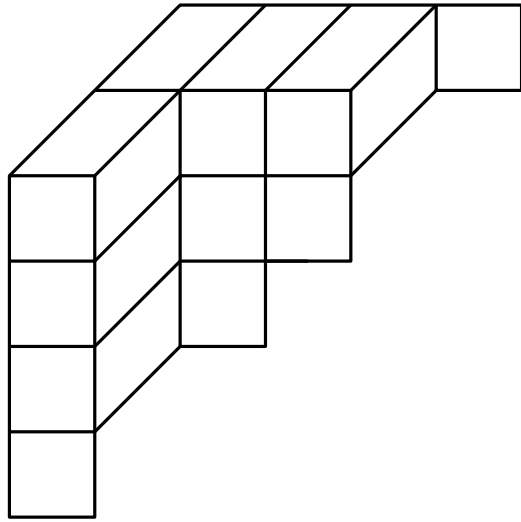
$$P(\tau) = Z(\tau, t) / \sum_{\tau} Z(\tau, t)$$

$$\binom{N}{r} \frac{(N+1)!}{(r+1)!} \text{ tableaux}$$

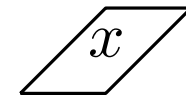
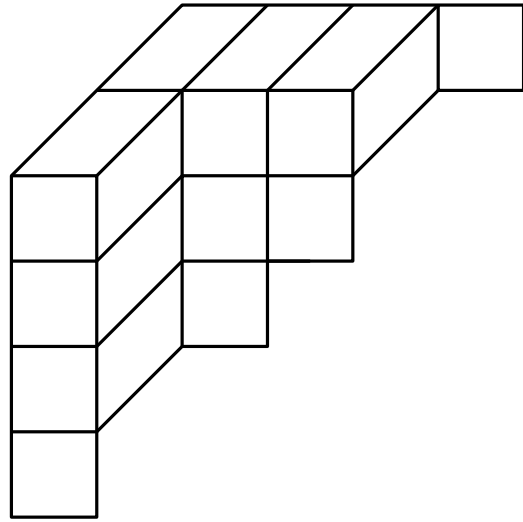
Triangular staircase tableaux [C., Mandelshtam, Williams 15]



Triangular staircase tableaux [C., Mandelshtam, Williams 15]

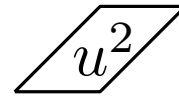


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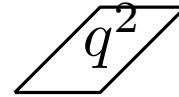


$$x = uq, \alpha u \text{ or } \gamma q$$

β or δ

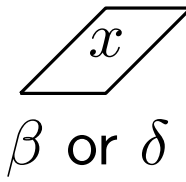
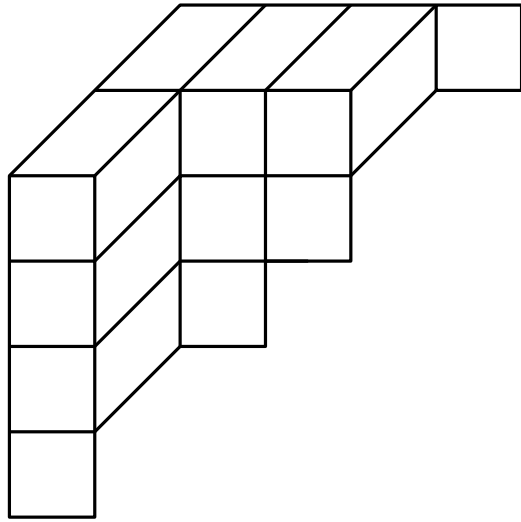


α

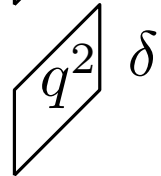
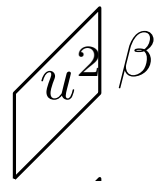
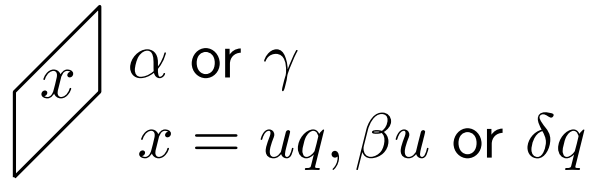
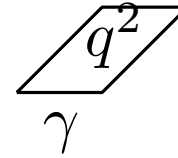
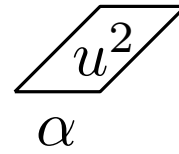


γ

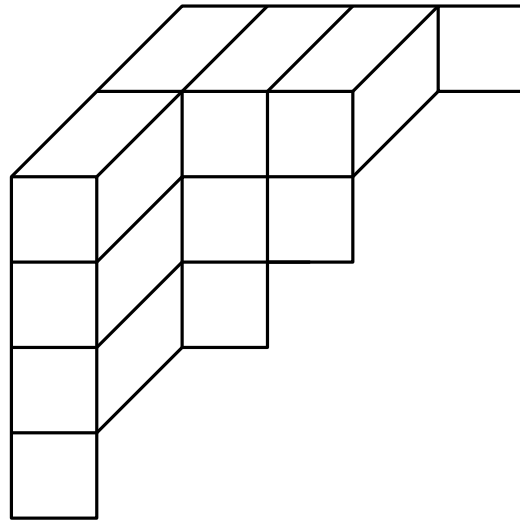
Triangular staircase tableaux [C., Mandelshtam, Williams 15]



$$x = uq, \alpha u \text{ or } \gamma q$$



Triangular staircase tableaux [C., Mandelshtam, Williams 15]



x α or γ
 $x = uq, \beta u$ or δq

u^2 β

q^2 δ

x $x = uq, \alpha u$ or γq
 β or δ
 u^2 α q^2 γ

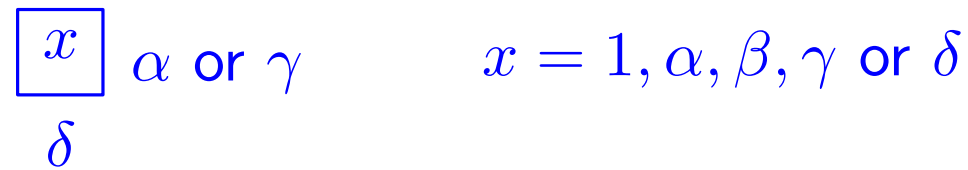
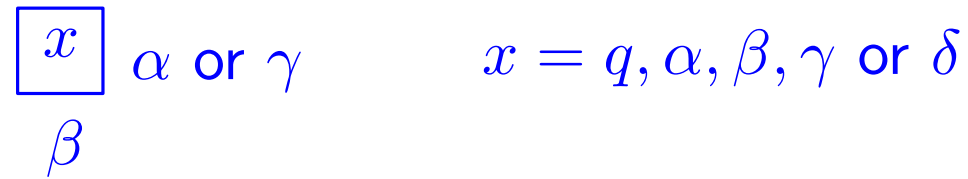
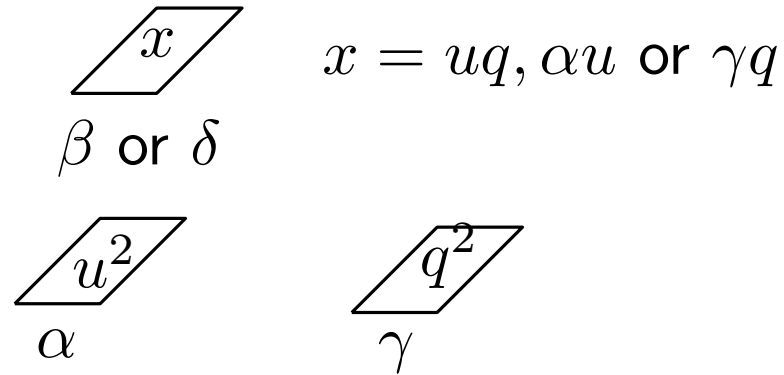
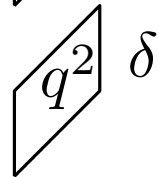
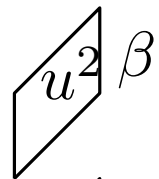
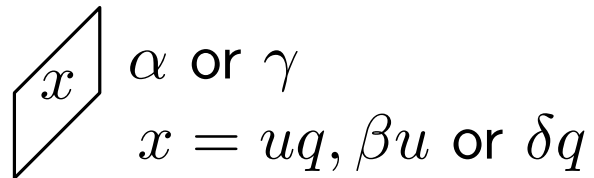
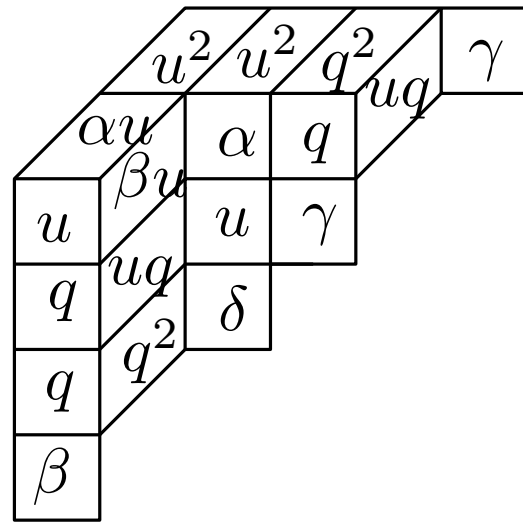
x α or γ $x = q, \alpha, \beta, \gamma$ or δ
 β

x α or γ $x = 1, \alpha, \beta, \gamma$ or δ
 δ

q δ u β

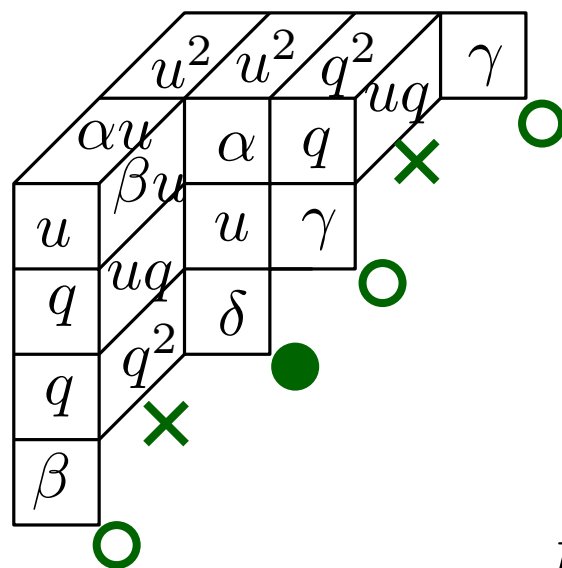
Staircase tableaux [C., Williams 09]

Triangular staircase tableaux [C., Mandelshtam, Williams 15]



Staircase tableaux [C., Williams 09]

Type



$$Z_\tau = \sum_{T \text{ type } \tau} W(T)$$

$$Z_n(\alpha, \beta, \gamma, \delta, q, u) = \sum_\tau Z_\tau$$

$$P(\tau) = Z_\tau / Z_n$$

$$Z_n(\alpha, \beta, \gamma, \delta, 1, 1) = \binom{n}{r} \prod_{i=r}^{n-1} ((\alpha + \gamma)(\beta + \delta)i + \alpha + \beta + \gamma + \delta)$$

$$4^{n-r} (n-r)! \binom{n}{r}^2 \text{ tableaux}$$

Bijjective proof?

More to do?

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