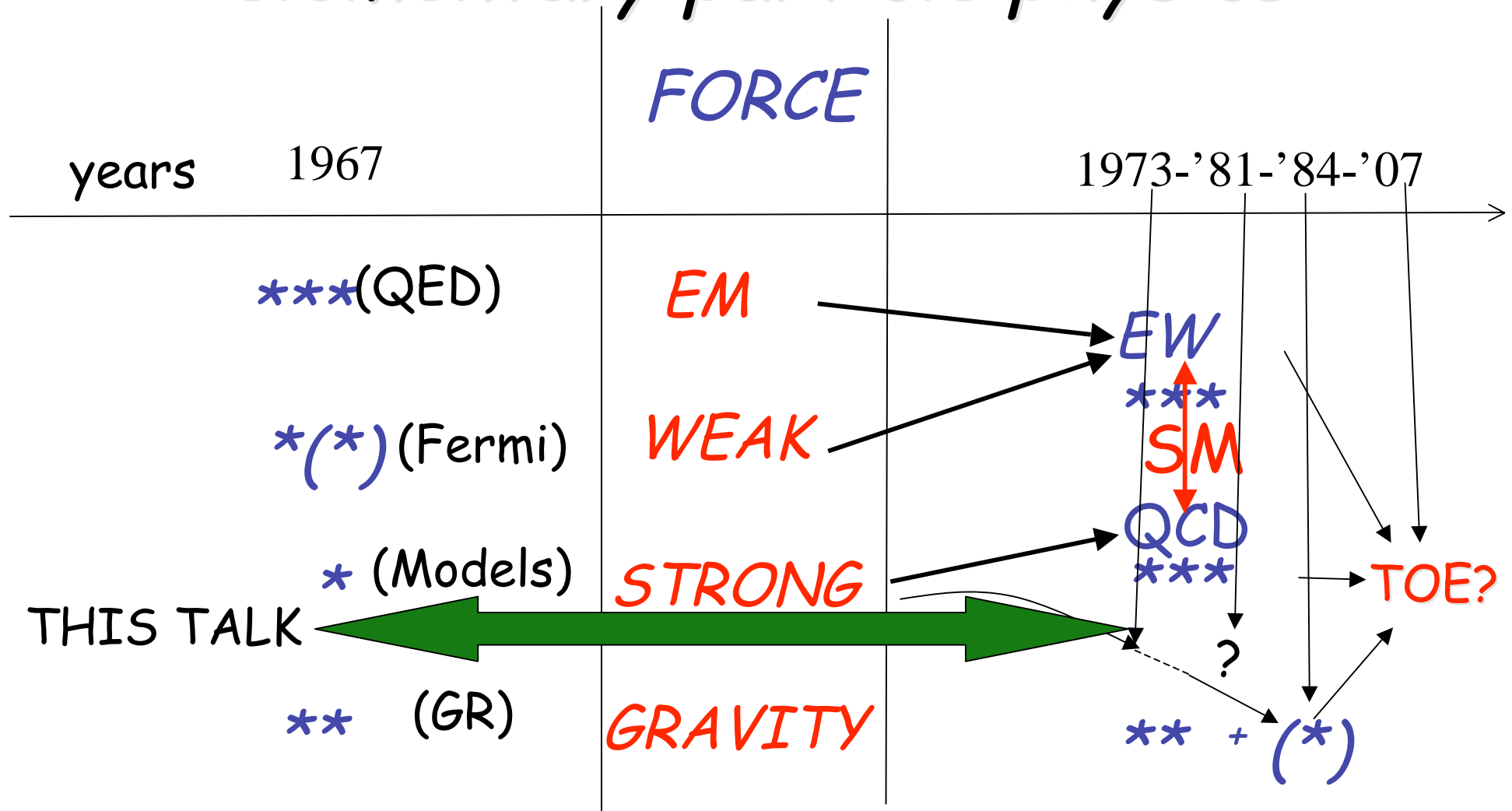




# THE BIRTH OF STRING THEORY (Florence, 18-19 May 2007)

Rise and Fall of the Hadronic String  
(Gabriele Veneziano)

# An evolving "Michelin guide" of elementary particle physics



# Outline

- Prehistory
- Dual Resonance Models
- Hints of a string
- Good and bad news
- QCD takes over

With apologies for some inevitable overlap with previous & next talk!

# Prehistory (see also previous talk)

## STRONG INTERACTIONS ~ 1967

No Theory, rather:

A handful of models capturing **one or another** aspect of hadronic physics e.g.

- Short range i.e. **no massless** particles
- **Symmetries**, conservation laws (P, C, T, I, SU(3),...)
- Many metastable states (**resonances**) extending to **large J**: an ever increasing zoo?

# Why did we take the (a posteriori) wrong way?

A **QFT** approach looked **hopeless**:

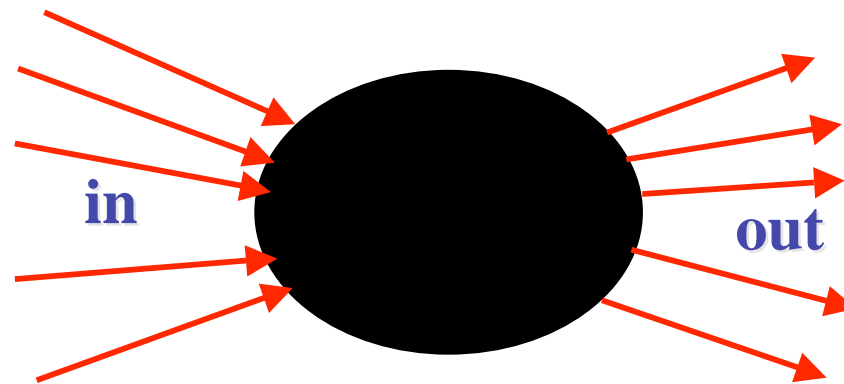
1. **Too many** d.o.f. => too many fields
2. **High-J** QFT's are pathological

An **S-matrix** approach looked more promising:

# The S-Matrix (Heisenberg 1943)

$$\langle out|S|in\rangle = S(in \rightarrow out) = \text{complex number}$$

$$|S(in \rightarrow out)|^2 = \text{Prob. for } in \rightarrow out$$



- **Symmetries:** easy to implement on  $S$
- **Causality**  $\Rightarrow$  analyticity, dispersion relations
- **Conservation of Prob**  $\Rightarrow$  Unitarity constraint:  $SS^\dagger = 1$

# Organizing the hadronic zoo

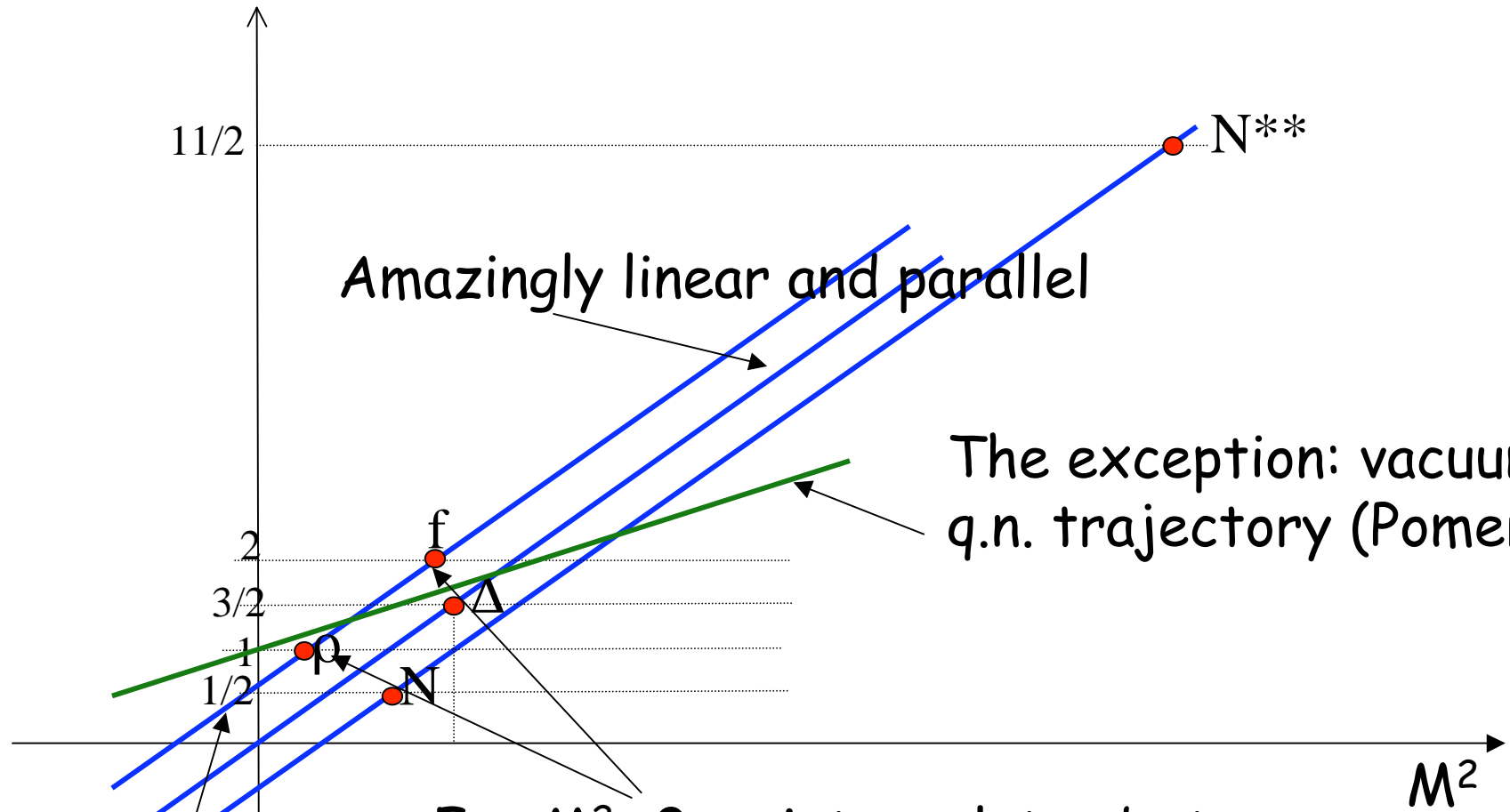
## A) Group theory:

- $SU(2)_I$ ,  $SU(3)_F$ , same- $J$  particles
- $SU(6)$ .. combining  $\Delta J \leq 1$  particles

## B) Regge theory of complex $J$

- For combining different- $J$  particles (Regge)
- For describing high-energy scattering (Chew-Mandelstam)

$J/h = \alpha(M^2) = \text{Regge trajectory}$



Amazingly linear and parallel

The exception: vacuum q.n. trajectory (Pomeron)

For  $M^2 > 0$ ,  $\alpha$  interpolates between different physical states (Regge)

For  $M^2 < 0$ ,  $\alpha$  controls high-energy scattering at momentum transfer  $\sim |M|$  (Chew-Mandelstam)



# Chew's "expensive" bootstrap...

Add to the general constraints of symmetry, causality, unitarity that of **Nuclear Democracy**

"All hadrons lie on Regge trajectories @  $M^2 > 0$ ;

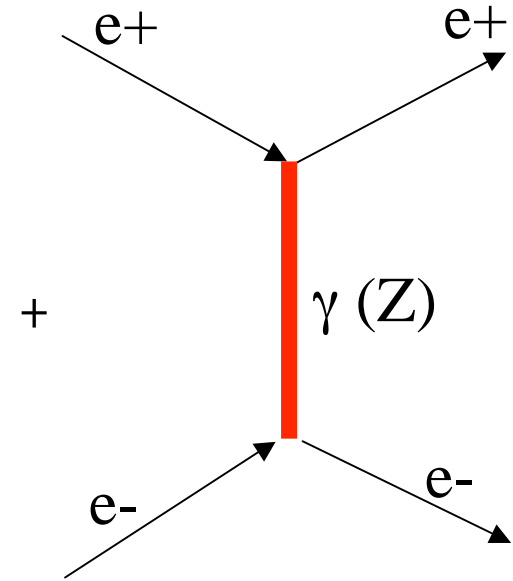
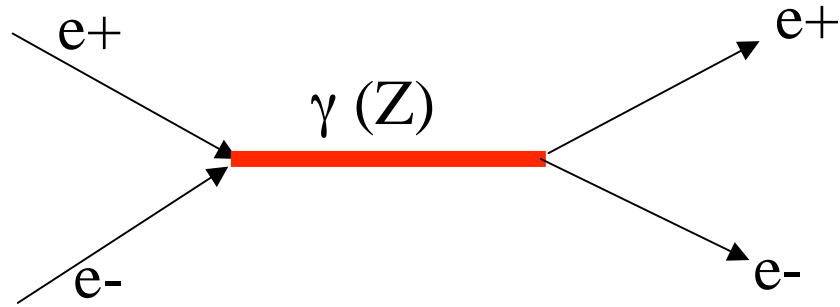
All asymptotics fixed by same trajectories @  $M^2 < 0$ "

Will this give a unique S-matrix?

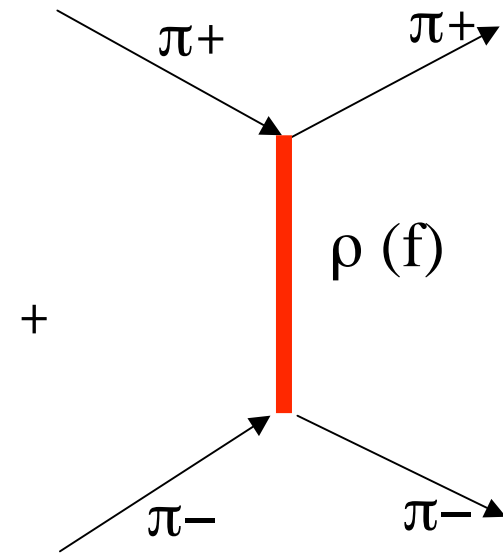
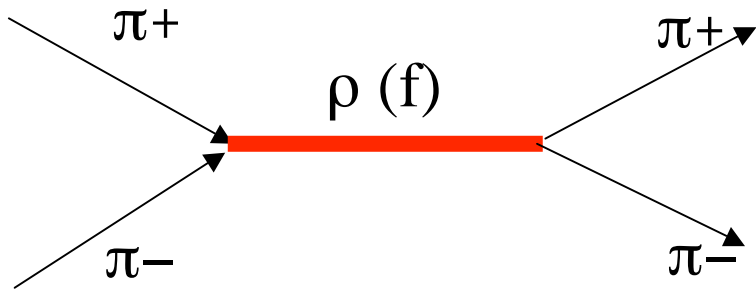
The S-matrix knew about Regge-Chew-Maldestam...twice:

$$S = S_{s\text{-channel}} + S_{t\text{-channel}}$$

Cf. QED:  $e^+ e^- \rightarrow e^+ e^-$  is given (to lowest order in  $\alpha$ ) by the coherent **sum of two Feynman diagrams**



Likewise...

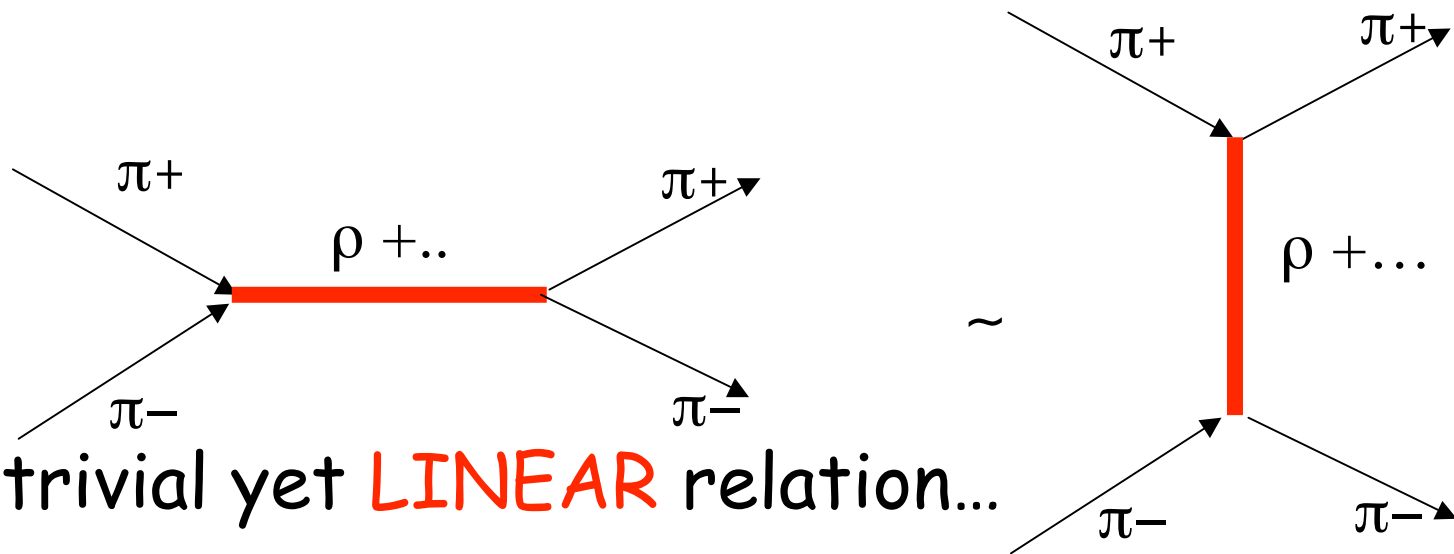


# ...and a cheap one

Erice, 1967: Gell Mann bringing news from Caltech:

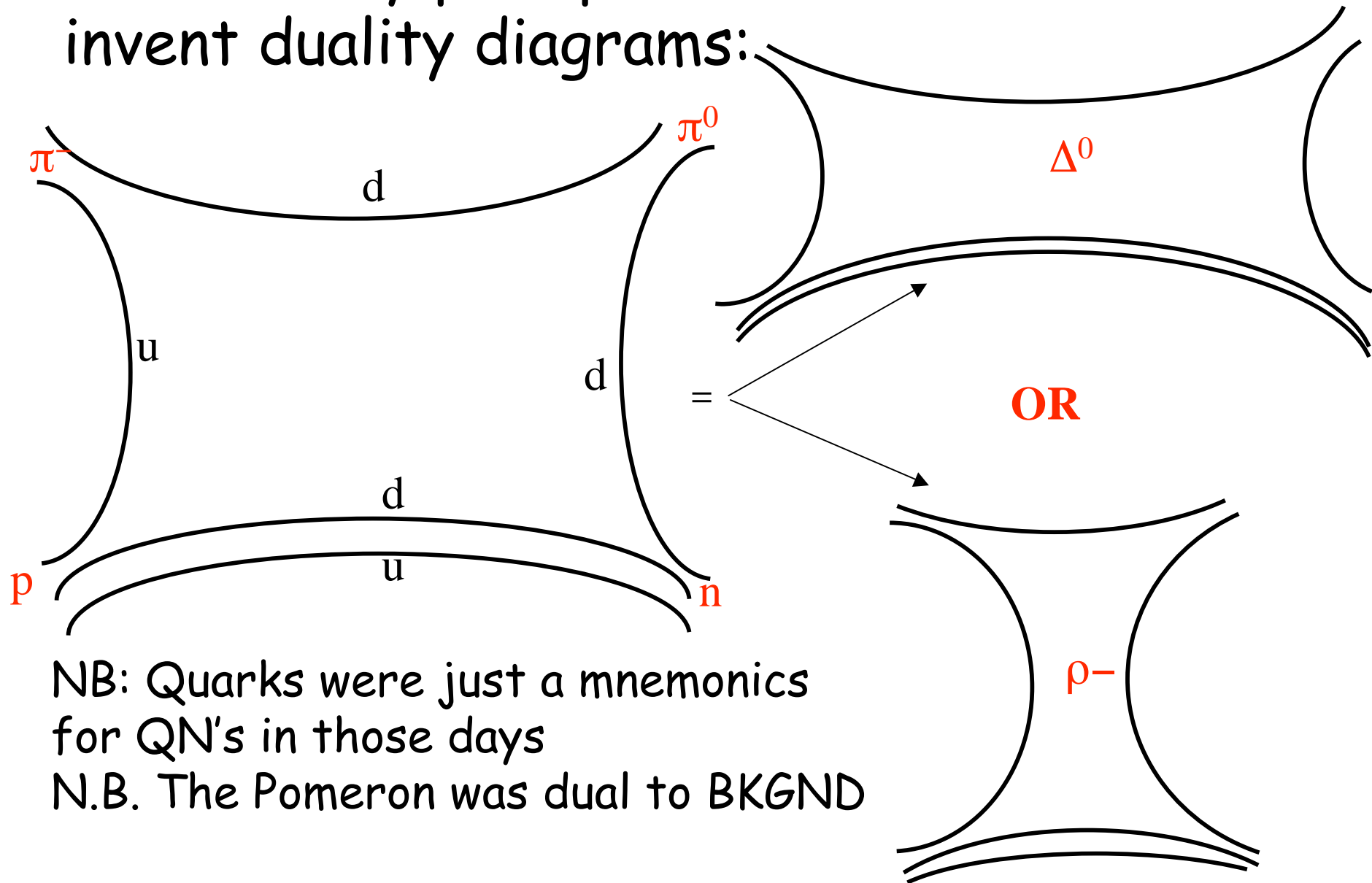
Dolen-Horn-Schmit duality: s- and t-channel descriptions are roughly equivalent, complementary, **DUAL** (Cf. QM)

Adding them = double counting!



A non-trivial yet **LINEAR** relation...

- DHS duality prompted Harari and Rosner to invent duality diagrams:



NB: Quarks were just a mnemonics for QN's in those days  
 N.B. The Pomeron was dual to BKGND

$\pi N$  scattering looked too complicated  
We\* decided to consider a simpler case:

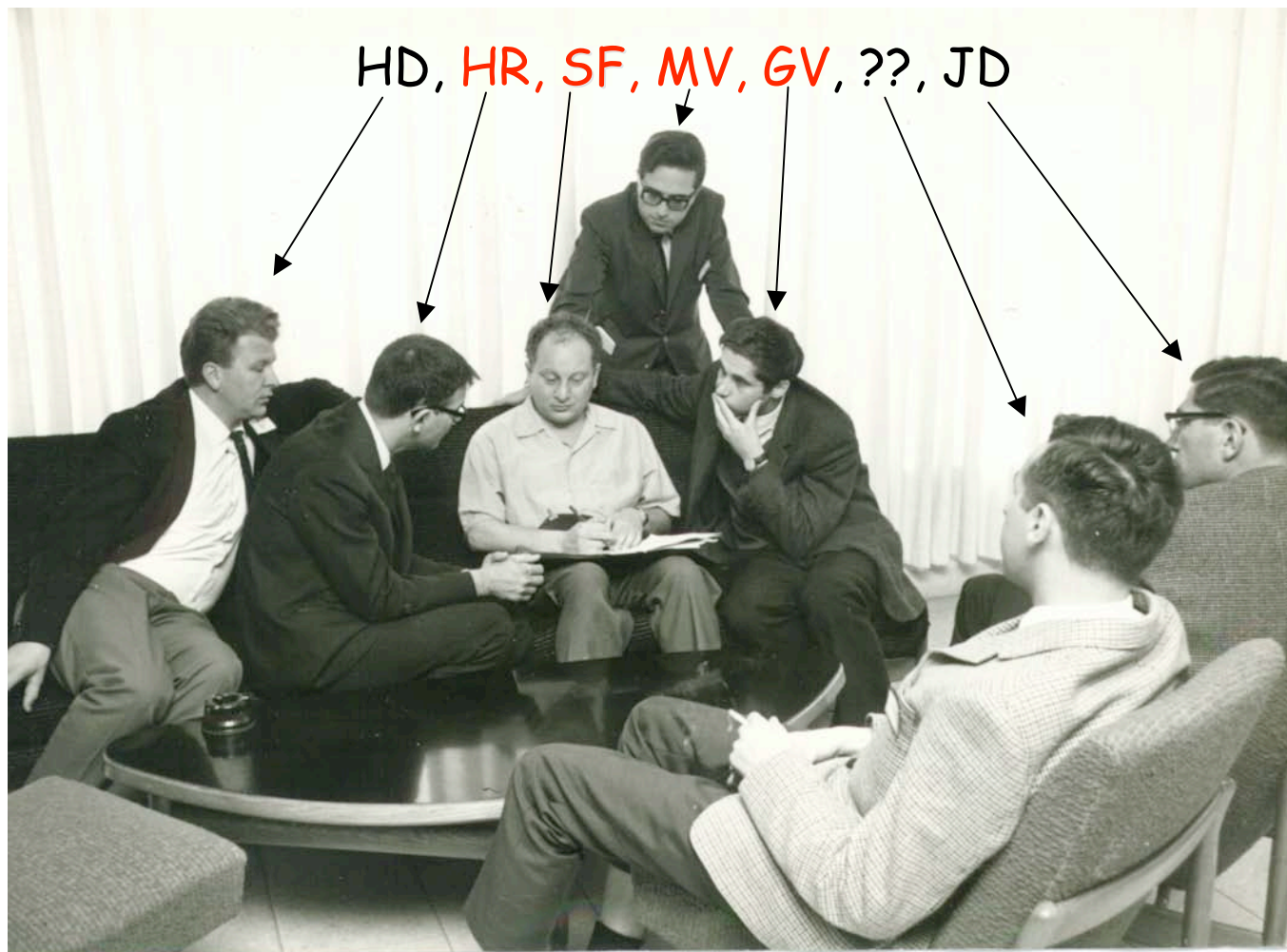
$$\pi \pi \rightarrow \pi \omega$$

Very symmetric & very selective in QN's ( $\rho, \rho^*$ ..)

Between the fall of 1967 and the summer of 1968 we  
made much progress in finding solutions to this  
"Easy Bootstrap".

\*) **Ademollo, Rubinstein, Virasoro, GV (+Bishari & Schwimmer)**  
with advice and encouragement of Sergio Fubini

# Weizmann Institute, 1967



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## A cheap solution to a cheap bootstrap

The ARVV ansatz that worked amazingly well for the DHS bootstrap in  $\pi\pi \rightarrow \pi\omega$  was simply:

$$\text{Im } A(s, t) = \frac{\beta(t)}{\Gamma(\alpha(t))} (\alpha' s)^{\alpha(t)-1} (1 + O(1/s))$$

with:  $\beta(t) \sim \text{const.}, \alpha(t) = \alpha_0 + \alpha' t$

i.e. a **linear** leading Regge **trajectory** accompanied by parallel “daughter” trajectories. The latter, if suitably tuned, were improving the agreement in an increasingly large range of  $t$

Which was the road that led from the above ansatz to an “exact solution”? **Three** main **ingredients** (besides the boat trip..) were used:

1. Look at **A** rather than at  $\text{Im } A$  ( $A = \text{analytic function}$ )
2. Impose exact **crossing** symmetry :  $A(s,t) = A(t,s)$
3. Emphasize **resonances** over Regge ( $A \sim \text{meromorphic}$ )

1. Easy to show that  $\text{Im } A(s,t) = \frac{\beta(t)}{\Gamma(\alpha(t))} (\alpha' s)^{\alpha(t)-1} (1 + O(1/s))$

corresponds to  $A(s,t) = \beta(t) \Gamma(1 - \alpha(t)) (-\alpha' s)^{\alpha(t)-1} (1 + O(1/s))$

3.  $A(s,t)$  already exhibits resonances (poles) in the  $t$ -channel but still only a smooth Regge behaviour in  $s$ : However, using

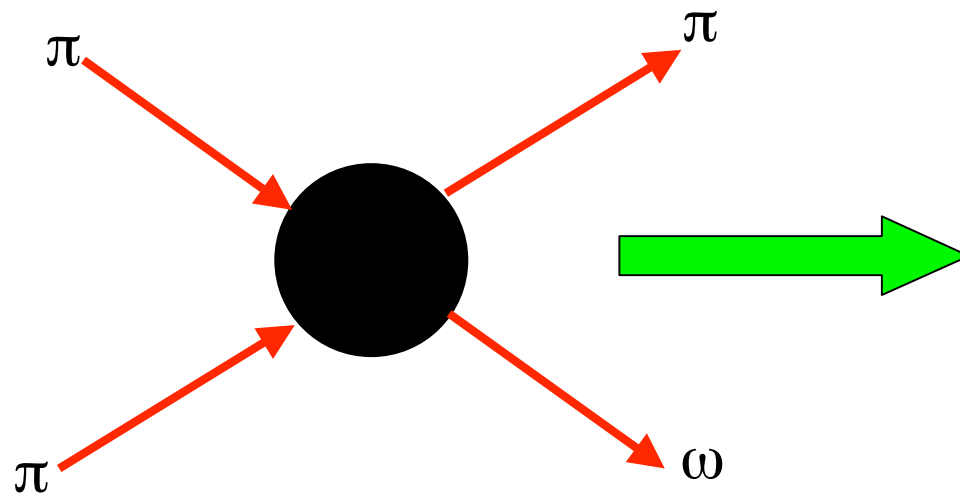
$$\frac{\Gamma(1 - \alpha(s))}{\Gamma(2 - \alpha(s) - \alpha(t))} \rightarrow (-\alpha' s)^{\alpha(t)-1} (1 + O(1/s))$$

We can **satisfy both 2. and 3.** by simply writing:

$$A(s,t) = \beta \frac{\Gamma(1 - \alpha(s)) \Gamma(1 - \alpha(t))}{\Gamma(2 - \alpha(s) - \alpha(t))} = \beta B(1 - \alpha(s), 1 - \alpha(t))$$



Exact DHS duality is implied by analyticity, resonance dominance ( $\Rightarrow$  duality between two infinite sets of resonances in different channels!), and good (Regge) asymptotics!



$$A(\pi\pi \rightarrow \pi\omega) =$$

$$g^2 \frac{\Gamma(1 - \alpha(s)) \Gamma(1 - \alpha(t))}{\Gamma(2 - \alpha(s) - \alpha(t))} +$$

$$(s \leftrightarrow u) + (t \leftrightarrow u) =$$

$$g^2 B(1 - \alpha(s), 1 - \alpha(t)) +$$

$$(s \leftrightarrow u) + (t \leftrightarrow u)$$

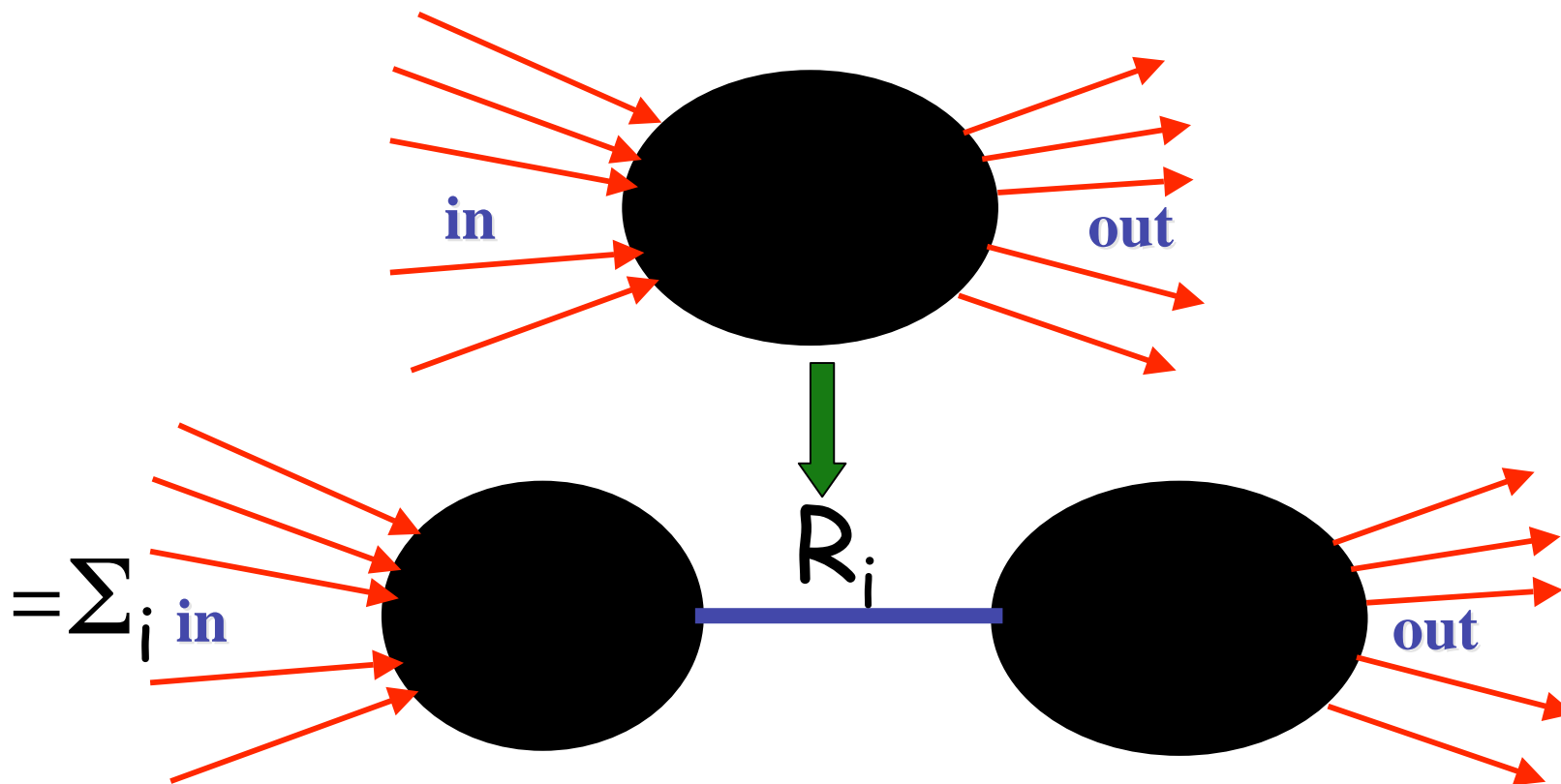
# Dual Resonance Models (see also next talk)

# Counting states

- There was a big worry based on previous experience: possibly, in order to satisfy all the constraints, the model had to contain "ghosts", states produced with negative probability. If so the model would have been inconsistent.
- To answer that question one had to identify first all the states. The way to do so was via a property of  $S$ , known as factorization. It is basically what unitarity reduces to in the single-particle-exchange approximation

# Factorization

Q: How many terms are needed (in the sum over  $i$ ) in order to have, for all **in** and **out** states,



- This could not be done using just the Beta function, but, after a short while, in the fall of 1968, several people (BR, V, GS, CT, CP, KN) had found its (pretty unique) **generalization** to multi-particle initial and final states.
- The result of the counting of states (FV, BM, 1969) turned out to be **very surprising**.
- Because of the parallel daughters, we were expecting a mild degeneracy (increasing, say, like a power of  $M$ ). Instead, the number of states grew much faster, like  **$\exp(b M)$** , with  $b$  some constant (with dimensions  $1/\text{mass}$  and of order  $(\alpha')^{1/2}$ ).

- Although unexpected, this was just the behaviour postulated by **Hagedorn** a few years earlier (~1965) on more phenomenological basis (e.g. a Boltzmann factor in final particle spectra)
- Taken at face value, such a density of states leads to a **limiting** (maximal, Hagedorn) **temperature**  $T_H$  given by
 
$$k_B T_H = c^2/b \ (\sim 150 \text{ MeV})$$
- And, sure enough, there were some ghosts!
- The FV-BM factorization procedure was cumbersome. It was soon replaced by a much more handy operator formalism (FGV, Nambu)

- In that formalism a **sufficient** set of states consisted of the energy levels of an **infinite** set of **decoupled** harmonic oscillators with **quantized** frequencies:

$$|N_{n,\mu}\rangle \sim \prod_{n,\mu} (a_{n,\mu}^\dagger)^{N_{n,\mu}} |0\rangle, \quad (n = 1, 2, \dots; \mu = 0, 1, 2, 3)$$

$$\alpha' M^2 = \sum_{n,\mu} n a_{n,\mu}^\dagger a_{n,\mu} \equiv L_0 - p^2$$

$$[a_{n,\mu}, a_{m,\nu}^\dagger] = \delta_{n,m} \eta_{\mu\nu}, \quad \eta_{\mu\nu} = \text{diag}(-1, 1, 1, 1)$$

Because of the **"wrong" sign** of the timelike c.r., states created by an odd number of timelike operators were **ghosts**. Was the DRM doomed? Well, almost. One (tiny?) hope remained: all those states were **sufficient** but perhaps only a (ghost-free) subset was **necessary**

In FV's original paper the following (so-called "spurious") states were found to be **unnecessary**

$$L_{-1}|X\rangle \equiv \left( p \cdot a_1^\dagger + \sum_n \sqrt{n(n+1)} a_{n+1}^\dagger \cdot a_n \right) |X\rangle$$

(with  $|X\rangle$  any state)

This was probably sufficient to eliminate the ghosts created by the time component of  $a_1$ . But what about all others? The situation looked almost desperate...until **Virasoro** (1969) made a crucial discovery. Iff  **$\alpha(0) = 1$**  one could enlarge enormously the space of "spurious" states to:

$$L_{-m}|X\rangle \equiv \left( p \cdot a_m^\dagger + \sum_n \sqrt{n(n+m)} a_{n+m}^\dagger \cdot a_n \right) |X\rangle$$

(with  $m=1,2,\dots$ )

=> for  **$\alpha(0) = 1$** , there was a chance to eliminate all the ghosts!!  
 $\alpha(0) = 1$  gives **a massless  $J=1$  state** but people kept hoping...



# Formal developments

Between the summer of 1969 and the spring of 1970 several developments took place within the **operator formalism**:

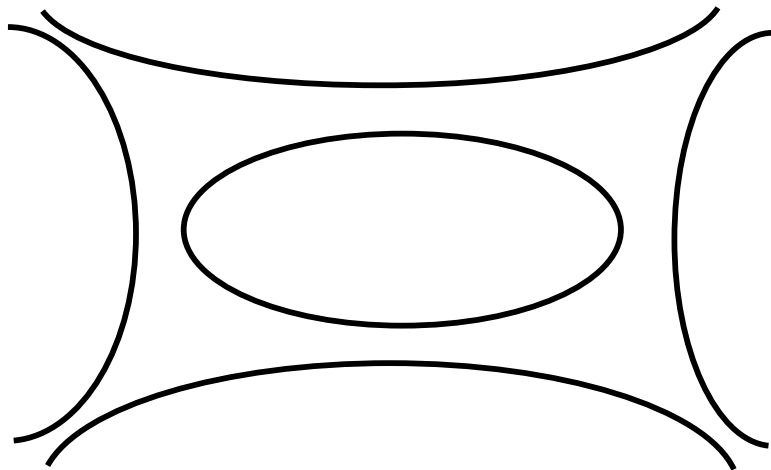
1. Sciuto's vertex and the Caneschi-Schwimmer-V twist
2. Discovery (Gliozzi & Chiu-Matsuda-Rebbi) that  $(L_0, L_{\pm 1})$  satisfy an  **$SU(1,1)$  algebra**.
3. Construction (FV and Gervais, 1969) of fields  $(Q(z))$  and «**Vertex Operators**»,  $V(k)$ ; their correlators,  $SU(1,1)$  action on them, as a result:
4. Duality, factorization and spurious/physical-state conditions all came out **algebraically**
5. After Virasoro's work, FV (1970) extended all this to the whole set of  $L_n$  and «quickly» guessed their **algebra**... missing the crucial «**central charge**», soon discovered by J. Weis (Cf. FV's NAIP => **Virasoro algebra**)

# The no-ghost theorem

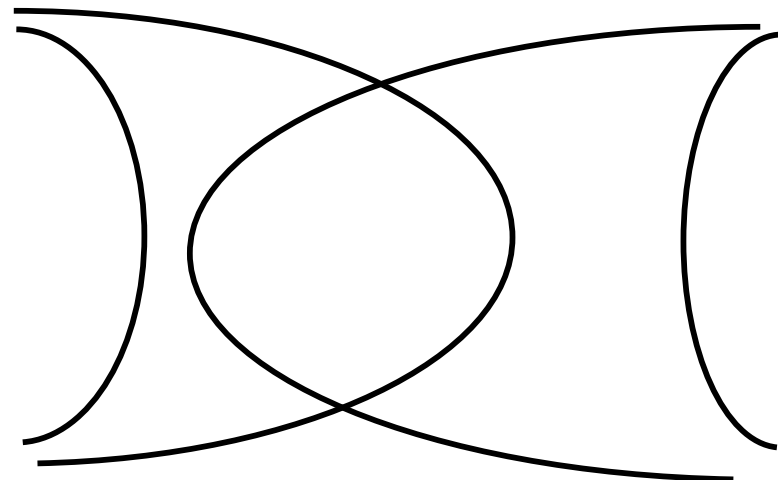
- At this point the machinery was almost ready for a final assault to the ghost-killing program
- An essential step turned out to be the construction of the **DDF** (Di Vecchia, Del Giudice, Fubini) positive-norm states. They were in one-to-one correspondence with  $(D-2)$  sets of harmonic oscillators ( $D = \text{dimensionality of spacetime} = 4?$ )
- A talk to the MIT mathematicians: no proof came out of them, but **Kac-Moody** algebras etc.
- The **no-ghost theorem** was proven instead by R. Brower and by P. Goddard & C. Thorn
- It only worked for  **$\alpha(0) = 1$  and  $D \leq 26!$**  At  $D=26$  the DDF states were both **necessary and sufficient**. At  $D < 26$  some other positive norm states were needed. At  $D > 26$  ghosts were still present among the physical states.

# Loops

- The DRM was the analogue of the tree-level approximation of a QFT. In order to implement fully unitarity (e.g. give a finite widths to the resonances) loops had to be added.
- Having identified the physical states, this was (almost) a technical problem. One had just to be careful not letting ghosts circulate in the loops.
- **Planar and non-planar** loops were needed:



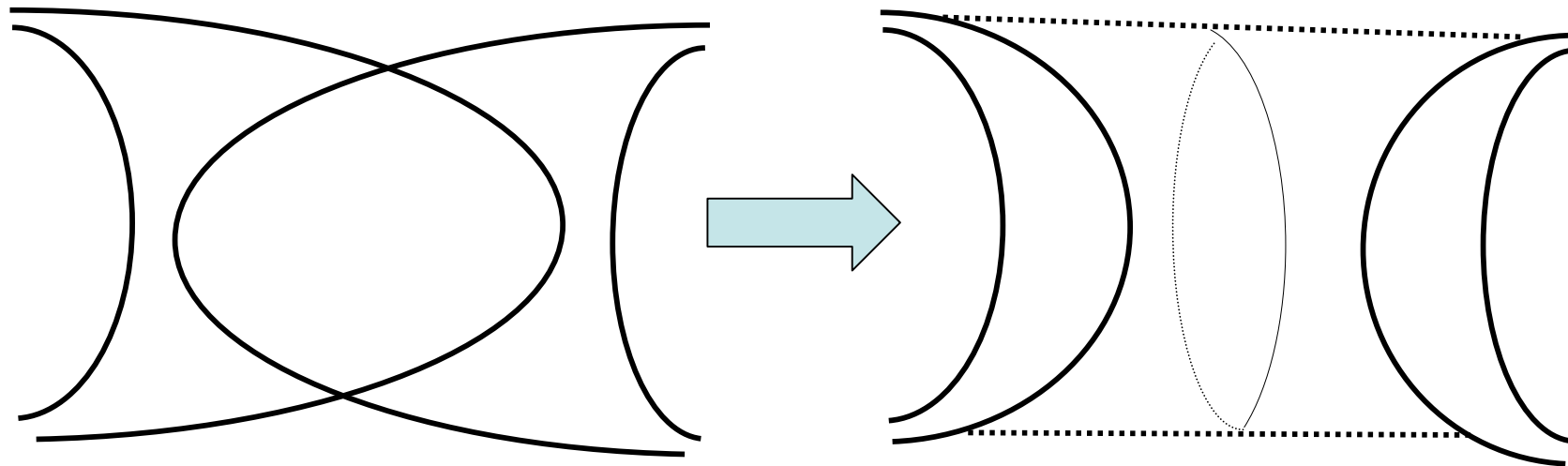
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The non-planar loop reserved some surprise..



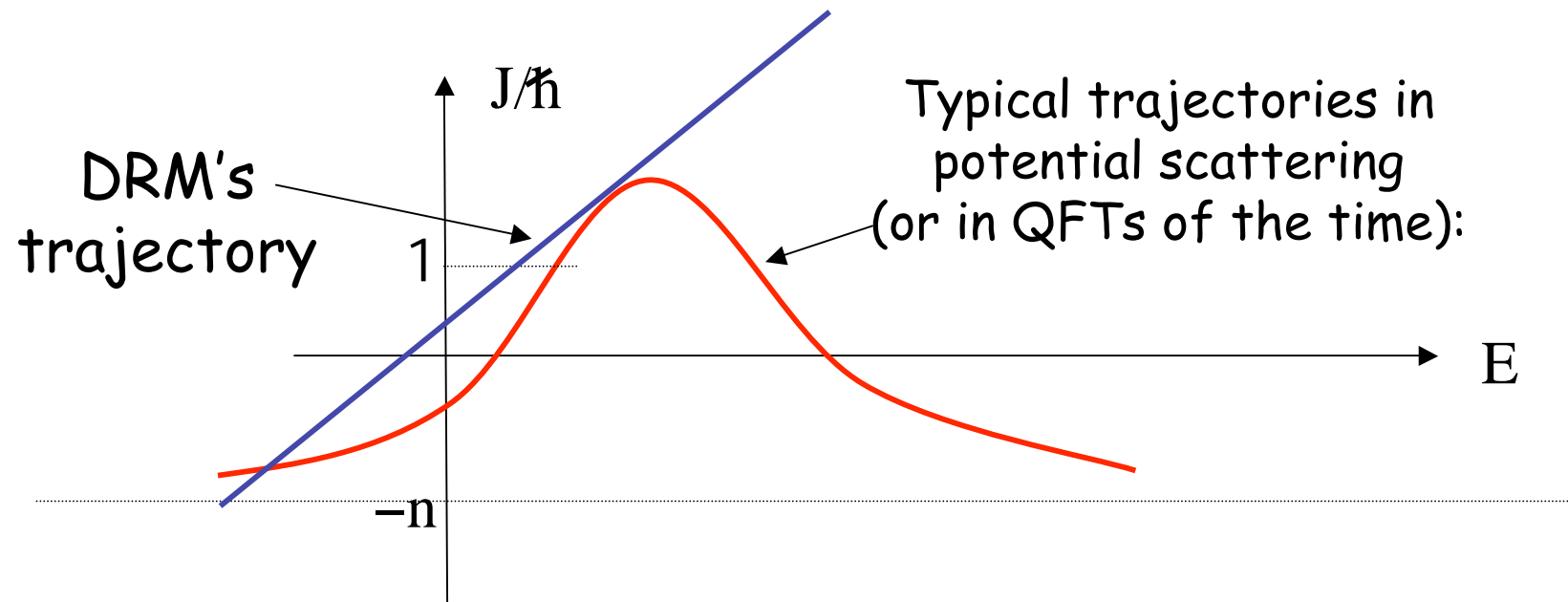
Lovelace (1970) discovered that, for  $D \neq 26$ , this loop gave a **non-sensical singularity** in the vacuum channel. For  $D = 26$  it gave new positive-norm physical states with vacuum QN. Those were just the (already known) states of the Shapiro-Virasoro DRM (later interpreted as a closed-string).

For a theory of hadrons this was a candidate **Pomeron** trajectory but its intercept was wrong, again by a factor 2! Actually the first time a **critical D** (alas  $\neq 4$ ) was found!

# (Partly missed) hints of a string?

1. From **linear** Regge trajectories
2. From duality and **duality diagrams**
3. From the harmonic **oscillators**
4. From  **$Q(z)$**  and its correlators
5. From **DDF** «transverse» states

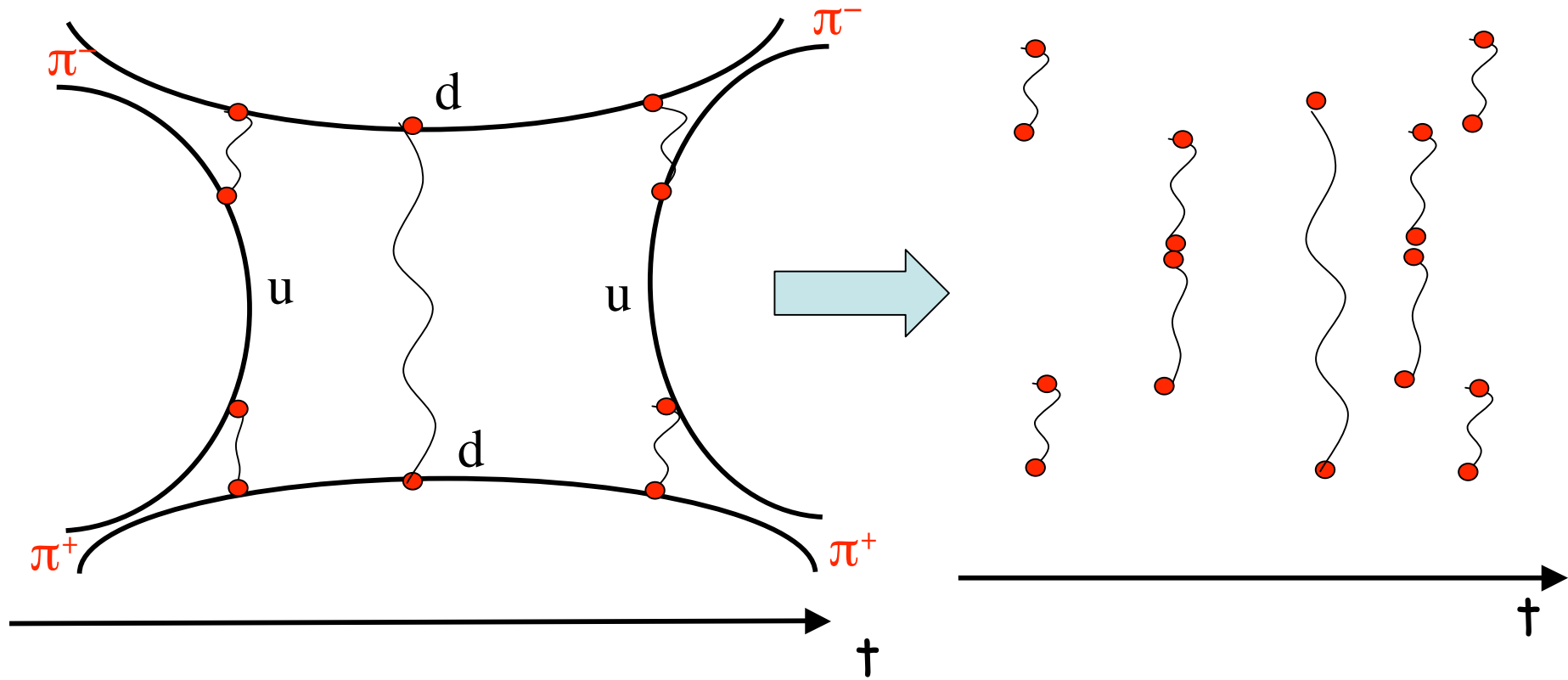
## A first missed hint?



$\alpha' = dJ/dM^2 \sim 10^{-13} \text{ cm/GeV} \sim \text{cnst.}$

Its inverse,  $\mathbb{T} = 10^{13} \text{ GeV/cm}$  has dimensions of a **string** tension (NB,  $c=1$  but no  $\hbar$  needed)!

# A second missed hint?



# It's a string...but not the right one!

- The remaining hints were not missed (Nambu, Susskind, Nielsen,..) but identification remained qualitative for sometime
- Eventually, around 1972, the connection with **strings** was established on solid grounds, in particular through the work of GGRT (deriving, once more, the  $\alpha(0) = 1$  and  $D=26$  constraints)
- Paradoxically, now that the DRM had been raised to the level of a **Theory**, it became apparent that it was **not** the **right** one for strong interactions



# Good and bad news

1. The **good** (theoretical) **news** (see talks by AN, PR, FG, MBG)  
NS and R extensions,  
GSO projection and tachyon elimination (1977)  
⇒ **Fully consistent** superstring theories did exist!  
GS (1984) Consistent **realistic** superstring theories **may** exist!
2. The **bad** (phenomenological) **news** (for the hadronic string)  
D ≠ 4  
m=0 states with J = 0, ..2  
Softness, whereas...  
Scaling in  $R = \sigma(e^+ e^- \rightarrow \text{hadrons}) / \sigma(e^+ e^- \rightarrow \mu^+ \mu^-)$   
Bj scaling  
Large  $p_+$  at the ISR  
were all showing evidence for point-like structure in the hadrons

# Competition from QFT

QCD came about with its

1. Proven ultraviolet **freedom**
2. Conjectured infrared **slavery** i.e. confinement

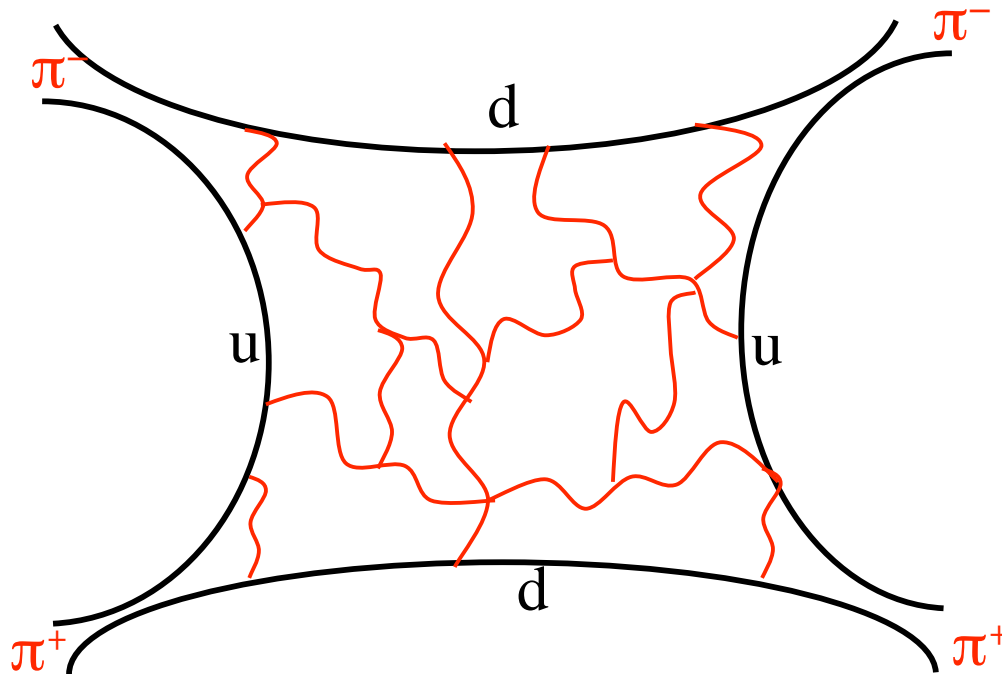
**Not** the kind of QFT we had discarded..

I kept trying some phenomenology with string theory using its **topological structure** very unlike that of any QFT

(planar Reggeon vs. non-planar Pomeron)

I **gave up** in 1974, when, 't Hooft showed that even topology comes out of QCD, provided one considers a **1/N expansion**....

- In large- $N$  QCD duality diagrams take up a precise meaning, they are **planar Feynmann diagrams** bounded by **quark propagators** & filled with **gluons**



- They give naturally the **narrow-resonance** approximation we had been using all the time..
- At sub-leading order the **non-planar** diagrams give new bound states, the **glueballs**, and presumably the **Pomeron** as the Regge trajectory they lie on
- The **Hagedorn** temperature is re-interpreted as a **deconfining** temperature for quarks and gluons
- It all seems to fall beautifully into place...
- Except that we **still do not know** which is **the** string theory that **Nature used** to deceive us (a question that has become once more fashionable)

I hope we'll find out one day...