

Towards a Non-equilibrium Bethe Ansatz for the Kondo Model

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Statistical Mechanics, Integrability and Combinatorics

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GGI, Florence Italy

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- The Bethe ansatz gives the spectrum in the thermodynamic limit, and provides methods to calculate thermodynamic potentials.

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- The Bethe ansatz gives the spectrum in the thermodynamic limit, and provides methods to calculate thermodynamic potentials.
- The methods to study the wave function itself in this limit are still evolving.

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- The Bethe ansatz gives the spectrum in the thermodynamic limit, and provides methods to calculate thermodynamic potentials.
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- The wavefunction features in overlaps:
 - ✗ Characterizing a state $\langle \psi | \mathcal{O} | \psi \rangle$

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- The wavefunction features in overlaps:
 - ✗ Characterizing a state $\langle \psi | \mathcal{O} | \psi \rangle$
 - ✗ Fermi golden rule (kinetic equation):
$$W = \delta(E_{\text{in}} - E_{\text{out}} - \hbar\omega) |\langle \text{in} | \mathcal{O} | \text{out} \rangle|^2$$

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- The wavefunction features in overlaps:
 - ✗ Characterizing a state $\langle \psi | \mathcal{O} | \psi \rangle$
 - ✗ Fermi golden rule (kinetic equation):
$$W = \delta(E_{\text{in}} - E_{\text{out}} - \hbar\omega) |\langle \text{in} | \mathcal{O} | \text{out} \rangle|^2$$
 - ✗ Coherent evolution:
$$\langle \psi | e^{\frac{i}{\hbar} H t} J e^{-\frac{i}{\hbar} H t} | \psi \rangle = \sum_{i,j} \langle \psi | i \rangle \langle j | \psi \rangle \langle i | J | j \rangle e^{\frac{i}{\hbar} (E_i - E_j) t}$$

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- All models considered are related to the inhomogeneous $XX_{1/2}$ Heisenberg chain in several physically relevant situations.

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- All models considered are related to the inhomogeneous $XX_{1/2}$ Heisenberg chain in several physically relevant situations.
- The Bethe ansatz solution involves finding a set of N complex rapidities λ_i to the Bethe ansatz equations, given a set of inhomogeneities, z_i , the twist, κ , and the shift η .

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- Denote Bethe states

$$|\boldsymbol{\lambda}\rangle = B(\lambda_1)B(\lambda_2) \dots B(\lambda_N)|\Omega\rangle.$$

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 $|\boldsymbol{\lambda}\rangle = B(\lambda_1)B(\lambda_2) \dots B(\lambda_N)|\Omega\rangle.$
- $|\boldsymbol{a}\rangle$ satisfies the Bethe equations with inhomogeneities, w .
- $|\boldsymbol{b}\rangle$ is generic.

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- $|\mathbf{a}\rangle$ satisfies the Bethe equations with inhomogeneities, w .
- $|\mathbf{b}\rangle$ is generic.
- Slavnov (extending Korepin, Gaudin) ($Q_\alpha(x) = \prod_i(x - \alpha_i)$):

$$\langle \mathbf{a} | \mathbf{b} \rangle = \det_{i,j} \frac{1}{a_i - b_j} - \frac{1}{a_i - b_j + \eta} +$$
$$+ \frac{Q_w(b_j - \eta)Q_a(b_j + \eta)}{Q_w(b_j)Q_a(b_j - \eta)} \left[\frac{1}{a_i - b_j} - \frac{1}{a_i - b_j - \eta} \right]$$

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+ $\langle i|\mathcal{O}|f\rangle$, $\langle i|\mathcal{O}|i\rangle$, are usually Slavnov overlaps
 $\langle i|(\mathcal{O}|f\rangle)$.

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- + $\langle i|\mathcal{O}|f\rangle$, $\langle i|\mathcal{O}|i\rangle$, are usually Slavnov overlaps $\langle i|(\mathcal{O}|f)\rangle$.
- A determinant is computationally difficult to compute.
- The form is not very illuminating – even asymptotics are hard to extract.
- Formation of densities of rapidities in thermo' limit do not have a direct interpretation.

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- Formation of densities of rapidities in thermo' limit do not have a direct interpretation.
- ⇒ Slavnov det may serve as a starting point, on which additional formalism must be built.

Alternative Approaches

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- An axiomatic approach may be taken instead of a direct approach F. A. Smirnov *Form Factors Completely Integrable Models QFT.*

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- An axiomatic approach may be taken instead of a direct approach F. A. Smirnov *Form Factors Completely Integrable Models QFT*.
- In certain cases overlaps satisfy enough conditions to fix them entirely. Worked through in the case of Sine-Gordon, q KdV and reductions.

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- In certain cases overlaps satisfy enough conditions to fix them entirely. Worked through in the case of Sine-Gordon, qKdV and reductions.
- This approach may be combined with semiclassics (classical KdV) to obtain results.

Babelon, Bernard, Smirnov, *Comm. Math. Phys.* 182,186 (1996). Smirnov hep-th/9802132

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Functional-analytic Approach to Slavnov Determinants

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- A symmetric representation can be given I. Kostov, Y. Matsuo, JHEP 1210 (2012) 168
EB, I. Kostov JPhysA 47(2014) 25401.
Take $u = a \cup b$, $Q_a(x) = \prod_i (x - a_i)$:

$$\langle \mathbf{a} | \mathbf{b} \rangle = \det_{i,j} \left(\delta_{i,j} + \frac{Q_z(u_i) Q_u(u_i + \eta)}{Q_z(u_i + \eta) Q'_u(u_i)} \frac{1}{u_i - u_j + \eta} \right)$$

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Take $\mathbf{u} = \mathbf{a} \cup \mathbf{b}$, $Q_{\mathbf{a}}(x) = \prod_i (x - a_i)$:

$$\langle \mathbf{a} | \mathbf{b} \rangle = \det_{i,j} \left(\delta_{i,j} + \frac{Q_{\mathbf{z}}(u_i) Q_{\mathbf{u}}(u_i + \eta)}{Q_{\mathbf{z}}(u_i + \eta) Q'_{\mathbf{u}}(u_i)} \frac{1}{u_i - u_j + \eta} \right)$$

- We must take det of $\mathbb{1} + K$, where

$$K = \frac{Q_{\mathbf{z}}(u_i) Q_{\mathbf{u}}(u_i + \eta)}{Q'_{\mathbf{u}}(u_i) Q_{\mathbf{z}}(u_i + \eta)} \frac{1}{u_i - u_j + \eta}$$

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- $$K = \frac{Q_z(u_i)Q_u(u_i+\eta)}{Q'_u(u_i)Q_z(u_i+\eta)} \frac{1}{u_i - u_j + \eta}$$

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- $$K = \frac{Q_z(u_i)Q_u(u_i+\eta)}{Q'_u(u_i)Q_z(u_i+\eta)} \frac{1}{u_i - u_j + \eta}$$
- $$\vec{\psi} \rightarrow \psi(x) = \sum \frac{\psi_j}{x - u_j},$$

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- $$\vec{\psi} \rightarrow \psi(x) = \sum \frac{\psi_j}{x - u_j}, \quad K\vec{\psi} \rightarrow \mathcal{K}\psi = \sum \frac{(K\vec{\psi})_j}{x - u_j}$$

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- $$K = \frac{Q_z(u_i)Q_u(u_i+\eta)}{Q'_u(u_i)Q_z(u_i+\eta)} \frac{1}{u_i - u_j + \eta}$$
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- Then
$$\sum_j \frac{\psi_j}{x - u_j + \eta} = \psi(x + \eta).$$

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- $$K = \frac{Q_z(u_i)Q_u(u_i+\eta)}{Q'_u(u_i)Q_z(u_i+\eta)} \frac{1}{u_i-u_j+\eta}$$
- $$\vec{\psi} \rightarrow \psi(x) = \sum \frac{\psi_j}{x-u_j}, \quad K\vec{\psi} \rightarrow \mathcal{K}\psi = \sum \frac{(K\vec{\psi})_j}{x-u_j}$$
- Then
$$\sum_j \frac{\psi_j}{x-u_j+\eta} = \psi(x+\eta).$$
- $$\frac{Q_z(x)Q_u(x+\eta)}{Q'_u(x)Q_z(x+\eta)} \psi(x+\eta)$$
 is a candidate for $\mathcal{K}\psi$.

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- $K = \frac{Q_z(u_i)Q_u(u_i+\eta)}{Q'_u(u_i)Q_z(u_i+\eta)} \frac{1}{u_i-u_j+\eta}$
- $\vec{\psi} \rightarrow \psi(x) = \sum \frac{\psi_j}{x-u_j}, \quad K\vec{\psi} \rightarrow \mathcal{K}\psi = \sum \frac{(K\vec{\psi})_j}{x-u_j}$
- Then $\sum_j \frac{\psi_j}{x-u_j+\eta} = \psi(x+\eta)$.
- $\frac{Q_z(x)Q_u(x+\eta)}{Q'_u(x)Q_z(x+\eta)} \psi(x+\eta)$ is a candidate for $\mathcal{K}\psi$.
- More precisely:

$$(\mathcal{K}\psi)(y) = \oint_u \frac{1}{y-x} \frac{Q_z(x)Q_u(x+\eta)}{Q_u(x)Q_z(x+\eta)} \psi(x+\eta)$$

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$$(\mathcal{K}\psi)(y) = \oint_u \frac{1}{y-x} \frac{Q_z(x)Q_u(x+\eta)}{Q_u(x)Q_z(x+\eta)} \psi(x+\eta)$$

The Slavnov matrix is just $1 + \mathcal{K}$ with:

$$\mathcal{K} = \mathcal{P} e^{-\Phi} e^{\eta\partial} e^{\Phi}$$

with

$$e^{\Phi} = \frac{Q_u}{Q_z}, \quad (\mathcal{P}f)(x) = \oint \frac{f(y)}{x-y}$$

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- We consider the Kondo model with the Hamiltonian:

$$\int \psi_{\sigma}^{\dagger}(x) (-i\hbar\partial_x) \psi_{\sigma}(x) + g\psi_{\sigma}^{\dagger}(0)\vec{\sigma}_{\sigma\sigma'}\psi_{\sigma'}(0) \cdot \vec{S}.$$

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- Standard Representation: $\tilde{\psi}_{\sigma \otimes s}(\mathbf{x})$.
 $\sigma \otimes s = (\sigma_1, \sigma_2, \dots, \sigma_N, s).$

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- Standard Representation: $\tilde{\psi}_{\sigma \otimes s}(\mathbf{x})$.

$$\sigma \otimes s = (\sigma_1, \sigma_2, \dots, \sigma_N, s).$$

- Let Q order x : $x_{Q(1)} < x_{Q(2)} < \dots < x_{Q(N)}$.
Define Non-standard representation: $\psi_{\sigma \otimes s}(\mathbf{x})$

$$\psi_{Q\sigma \otimes s}(\mathbf{x}) = \tilde{\psi}_{\sigma \otimes s}(\mathbf{x}),$$

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- The Bethe Ansatz solution:

$$\psi_{\sigma \otimes s}(\mathbf{x}) = \sum_{P \in S_N} \text{sign}(P) \Psi_{P \circ Q}(\sigma \otimes s) e^{i\mathbf{x} \cdot P\mathbf{k}}.$$

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- In **Kondo** $\Psi_P = \Psi$, and factorizes:

$$\psi_{\sigma}(\mathbf{x}) = \left(\det_{i,j} e^{ik_i x_j} \right) \Psi(\sigma \otimes s).$$

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$$\psi_{\sigma}(\mathbf{x}) = \left(\det_{i,j} e^{ik_i x_j} \right) \Psi(\sigma \otimes s).$$

- Ψ is an *inhomogeneous* Heisenberg Bethe ansatz wavefunction.

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- Denote Bethe states $|\lambda\rangle$, where $\lambda_j = \frac{e^{ik_j - i}}{e^{ik_j + i}}$.

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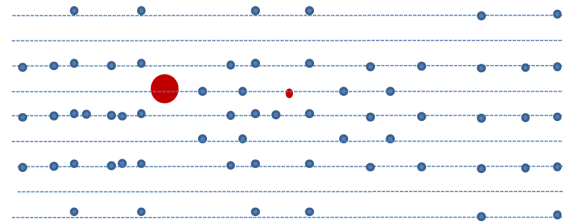
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- In the thermodynamic limit strings form:



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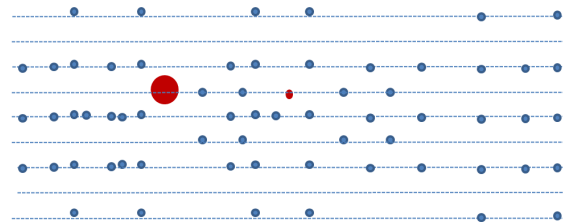
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- Denote Bethe states $|\lambda\rangle$, where $\lambda_j = \frac{e^{ik_j - i}}{e^{ik_j + i}}$.

- In the thermodynamic limit strings form:



- Independent density $\sigma(\lambda)$ and dependent density $\sigma_h(\lambda)$.

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- The partition function

$$Z(T) = \sum_f e^{-\frac{E_f - E_i}{T}} = \int e^{-\beta F} \mathcal{D}\sigma$$

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- Saddle point'' Weigmann/Andrei-Lowenstein (1980)

$$\frac{\delta F}{\delta \sigma(\lambda)} = 0, \quad F = E(\sigma) - TS(\sigma)$$

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- $E = \int \varepsilon(\lambda) \sigma(\lambda)$

$$S = \int (\sigma + \sigma_h) \log(\sigma + \sigma_h) - \sigma \log(\sigma) - \sigma_h \log(\sigma_h).$$

$$\frac{\delta \sigma_h(\lambda)}{\delta \sigma(\lambda')} = \mathbf{K}(\lambda - \lambda')$$

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- We wish to compute a non-equilibrium version, e.g., the amount of energy absorbed:

$$P(T) = \sum_f |\langle i|f\rangle|^2 e^{-\frac{E_f - E_i}{T}}.$$

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$$P(T) = \sum_f |\langle i|f\rangle|^2 e^{-\frac{E_f - E_i}{T}}.$$
$$= \int e^{-\beta(F - T \log |\langle i|\sigma\rangle|^2)} \mathcal{D}\sigma$$

- Saddle point: $\frac{\delta F}{\delta \sigma(\lambda)} = T \frac{\delta \log |\langle i|\sigma\rangle|^2}{\delta \sigma(\lambda)}$. Caux J-S and Essler (PRL

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- Saddle point: $\frac{\delta F}{\delta \sigma(\lambda)} = T \frac{\delta \log |\langle i|\sigma\rangle|^2}{\delta \sigma(\lambda)}$. Caux J-S and Essler (PRL

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- We write integral equations for $\frac{\delta \log |\langle i|\sigma\rangle|^2}{\delta \sigma(\lambda)}$, the ‘*Non-equilibrium source*’.

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- $\frac{\delta \log \det(1+\mathcal{K})}{\delta \sigma} = \text{tr}(1 + \mathcal{K})^{-1} \frac{\delta \mathcal{K}}{\delta \sigma}$, where
 $\mathcal{K} = \mathcal{P} e^{-\Phi} e^{\eta \partial} e^{\Phi}, \quad \mathcal{P} = \oint \frac{1}{x-y}$

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 $\mathcal{K} = \mathcal{P} e^{-\Phi} e^{\eta \partial} e^{\Phi}, \quad \mathcal{P} = \oint \frac{1}{x-y}$

- Find $\mathcal{R} = (1 + \mathcal{K})^{-1}$ by solving EB, JPhysA (2015)

$$(1 + \mathcal{K})\mathcal{R}(x, y) = \frac{1}{x - y},$$

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 $\mathcal{K} = \mathcal{P} e^{-\Phi} e^{\eta \partial} e^{\Phi}$, $\mathcal{P} = \oint \frac{1}{x-y}$

- Find $\mathcal{R} = (1 + \mathcal{K})^{-1}$ by solving EB, JPhysA (2015)

$$(1 + \mathcal{K})\mathcal{R}(x, y) = \frac{1}{x - y},$$

explicitly:

$$\mathcal{R}(x, y) - \oint_u \frac{Q_u(x' + \iota) Q_z(x') \mathcal{R}(x' + \iota, y)}{Q_u(x') Q_z(x' + \iota)} \frac{1}{(x' - x)} = \frac{1}{x - y}$$

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- Write $\frac{\delta F}{\delta \sigma(\lambda)} = T \frac{\delta \log |\langle i | \sigma \rangle|^2}{\delta \sigma(\lambda)}$ as an integral equation with a source.

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- Write $\frac{\delta F}{\delta \sigma(\lambda)} = T \frac{\delta \log |\langle i | \sigma \rangle|^2}{\delta \sigma(\lambda)}$ as an integral equation with a source.
- Compute source as $\text{tr} \mathcal{R} \frac{\delta \mathcal{K}}{\delta \sigma}$, with $\mathcal{K} = \mathcal{P} e^{-\Phi} e^{\eta \partial} e^{\Phi}$, $\mathcal{R} = (1 + \mathcal{K})^{-1}$ by solving a linear integral equation depending on σ .

Summary

Indoctrination

Slavnov Det

Functional Approach

Kondo

-Kondo

-Kondo&Heisenberg

-TBA

-Quench Action

-Noneq. Sources

-Summary

End

- Write $\frac{\delta F}{\delta \sigma(\lambda)} = T \frac{\delta \log |\langle i | \sigma \rangle|^2}{\delta \sigma(\lambda)}$ as an integral equation with a source.
- Compute source as $\text{tr} \mathcal{R} \frac{\delta \mathcal{K}}{\delta \sigma}$, with $\mathcal{K} = \mathcal{P} e^{-\Phi} e^{\eta \partial} e^{\Phi}$, $\mathcal{R} = (1 + \mathcal{K})^{-1}$ by solving a linear integral equation depending on σ .
- Fast convergence? Validity? Numerics?

Indoctrination

Slavnov Det

Functional Approach

Kondo

End

The End