

# Holography for $\mathcal{N} = 1^*$ on $S^4$

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# Supergravity was born in 1976

PHYSICAL REVIEW D

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15 JUNE 1976

## Progress toward a theory of supergravity\*

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(Received 29 March 1976)

As a new approach to supergravity, an action containing only vierbein and Rarita-Schwinger fields ( $V_{ab}$  and  $\psi_a$ ) is presented together with supersymmetry transformations for these fields. The action is explicitly shown to be invariant except for a  $\delta^2$  term in its variation. This term may also vanish, depending on a complicated calculation. (Added note: This term has now been shown to vanish by a computer calculation, so that the action presented here does possess full local supersymmetry.)

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It has inspired many important developments in theoretical physics over the past 40 years!

The Large N Limit of Superconformal field  
theories and supergravity

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Supersymmetric QFT on curved space

Rigid Supersymmetric Theories  
in Curved Superspace

*Guido Festuccia and Nathan Seiberg*

School of Natural Sciences  
Institute for Advanced Study  
Einstein Drive, Princeton, NJ 08540

## GAUGED $N=8$ $d=5$ SUPERGRAVITY

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Received 19 November 1984

## 5d $\mathcal{N} = 8$ gauged $SO(6)$ supergravity

## COMPACT AND NON-COMPACT GAUGED SUPERGRAVITY THEORIES IN FIVE DIMENSIONS\*

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Received 3 December 1985

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*California Institute of Technology, Pasadena, CA 91125, USA*

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- ▶ Apply gauge/gravity duality to this setup and test holography in a non-conformal setup.
- ▶ Study the dynamics of  $\mathcal{N} = 1$  theories holographically. Localization on  $S^4$  has not been successful (so far!) for these theories!

# Synopsis

- ▶  $\mathcal{N} = 1^*$  SYM is a theory of an  $\mathcal{N} = 1$  vector multiplet and 3 massive chiral multiplets in the adjoint of the gauge group. It is a massive deformation of  $\mathcal{N} = 4$  SYM. There is a unique supersymmetric Lagrangian on  $S^4$ . [Pestun], [Festuccia-Seiberg], [NB-Elvang-Freedman-Pufu]

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$$F_{S^4}^{\mathcal{N}=2^*} = -\log \mathcal{Z}_{S^4}^{\mathcal{N}=2^*} = -\frac{N^2}{2} (1 + (mR)^2) \log \frac{\lambda(1 + (mR)^2) e^{2\gamma + \frac{1}{2}}}{16\pi^2},$$

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- ▶ Precision test of holography! In  $AdS_5/CFT_4$  one typically compares numbers. Here we have a whole function to match.
- ▶ Previous results from holography for  $\mathcal{N} = 1^*$  and  $\mathcal{N} = 2^*$  on  $\mathbb{R}^4$ . [Freedman-Gubser-Pilch-Warner], [Girardello-Petrini-Porrati-Zaffaroni], [Pilch-Warner], [Buchel-Peet-Polchinski], [Evans-Johnson-Petrini], [Polchinski-Strassler], ... On  $S^4$  the holographic construction is more involved.



# Plan

- ▶  $\mathcal{N} = 1^*$  SYM theory on  $S^4$
- ▶ The supergravity dual
- ▶ Holographic calculations
- ▶ Outlook

$\mathcal{N} = 1^*$  SYM theory on  $S^4$

$\mathcal{N} = 1^* \text{ SYM on } \mathbb{R}^4$

The field content of  $\mathcal{N} = 4 \text{ SYM}$  is

$$A_\mu, \quad X_{1,2,3,4,5,6}, \quad \lambda_{1,2,3,4}.$$

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Organize this into an  $\mathcal{N} = 1$  vector multiplet

$$A_\mu, \quad \psi_1 \equiv \lambda_4,$$

and 3 chiral multiplets

$$\chi_j = \lambda_j, \quad Z_j = \frac{1}{\sqrt{2}}(X_j + iX_{j+3}), \quad j = 1, 2, 3.$$

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The  $\mathcal{N} = 1^*$  theory is obtained by turning on (independent) mass terms for the chiral multiplets.

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When there is a will there is a way! [Pestun], [Festuccia-Seiberg], ...

$$\begin{aligned}\mathcal{L}_{\mathcal{N}=1^*}^{S^4} &= \mathcal{L}_{\mathcal{N}=4}^{S^4} \\ &+ \frac{2}{R^2} \text{tr} \left( Z_1 \tilde{Z}_1 + Z_2 \tilde{Z}_2 + Z_3 \tilde{Z}_3 \right) \\ &+ \text{tr} \left( m_1 \tilde{m}_1 Z_1 \tilde{Z}_1 + m_2 \tilde{m}_2 Z_2 \tilde{Z}_2 + m_3 \tilde{m}_3 Z_3 \tilde{Z}_3 \right) \\ &- \frac{1}{2} \text{tr} \left( m_1 \chi_1 \chi_1 + m_2 \chi_2 \chi_2 + m_3 \chi_3 \chi_3 + \tilde{m}_1 \tilde{\chi}_1 \tilde{\chi}_1 + \tilde{m}_2 \tilde{\chi}_2 \tilde{\chi}_2 + \tilde{m}_3 \tilde{\chi}_3 \tilde{\chi}_3 \right) \\ &- \frac{1}{\sqrt{2}} \text{tr} \left[ m_i \epsilon^{ijk} Z_i \tilde{Z}_j \tilde{Z}_k + \tilde{m}_i \epsilon^{ijk} \tilde{Z}_i Z_j Z_k \right] \\ &+ \frac{i}{2R} \text{tr} \left( m_1 Z_1^2 + m_2 Z_2^2 + m_3 Z_3^2 + \tilde{m}_1 \tilde{Z}_1^2 + \tilde{m}_2 \tilde{Z}_2^2 + \tilde{m}_3 \tilde{Z}_3^2 \right).\end{aligned}$$

15 (real) relevant operators in the Lagrangian + 1 complex gaugino vev + 1 complexified gauge coupling. Only 18 of these operators are visible as modes in IIB supergravity.

For  $m_3 = \tilde{m}_3 = 0$ ,  $m_1 = m_2 \equiv m$  and  $\tilde{m}_1 = \tilde{m}_2 \equiv \tilde{m}$  we get the  $\mathcal{N} = 2^*$  theory.



## Results from localization for $\mathcal{N} = 2^*$

After supersymmetric localization the path integral for the theory on  $S^4$  reduces to a finite dimensional integral over the Coulomb branch moduli. [Pestun]

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The scheme independent quantity is

$$\boxed{\frac{d^3 F_{S^4}}{d(mR)^3} = -2N^2 \frac{mR((mR)^2 + 3)}{((mR)^2 + 1)^2}}$$

This is the unambiguous result one can aim to compute holographically.

The supergravity dual

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- ▶ It is a consistent truncation of IIB supergravity on  $S^5$  with fields dual to the lowest dimension operators in  $\mathcal{N} = 4$  SYM. [Lee-Strickland-Constable-Waldram], [Baguet-Hohm-Samtleben]
- ▶ The gravity dual of  $\mathcal{N} = 1^*$  and  $\mathcal{N} = 2^*$  on  $\mathbb{R}^4$  was constructed first in 5d. [Girardello-Petrini-Porrati-Zaffaroni], [Pilch-Warner]



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There are special cases which allow for an explicit analysis. We fix  $\tilde{m}_j = m_j$

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- ▶  $m_1 = m_2 = m_3$  - on  $\mathbb{R}^4$  this is the GPPZ/PS flow. [Girardello-Petrini-Porrati-Zaffaroni], [Polchinski-Strassler]. On  $S^4$  we need 4 scalars.
- ▶  $m_2 = m_3 = 0$  - on  $\mathbb{R}^4$  this is the  $\mathcal{N} = 2^*$  PW flow. [Pilch-Warner] On  $S^4$  we need 3 scalars.

## The gravity dual of $\mathcal{N} = 2^*$ on $S^4$

The Euclidean Lagrangian is

$$\mathcal{L} = \frac{1}{2\kappa^2} \left[ -\mathcal{R} + 12 \frac{\partial_\mu \eta \partial^\mu \eta}{\eta^2} + 4 \frac{\partial_\mu z \partial^\mu \tilde{z}}{(1 - z\tilde{z})^2} + \mathcal{V} \right],$$
$$\mathcal{V} \equiv -\frac{4}{L^2} \left( \frac{1}{\eta^4} + 2\eta^2 \frac{1 + z\tilde{z}}{1 - z\tilde{z}} + \frac{\eta^8}{4} \frac{(z - \tilde{z})^2}{(1 - z\tilde{z})^2} \right).$$

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To preserve the isometries of  $S^4$  take the “domain-wall” Ansatz

$$ds^2 = L^2 e^{2A(r)} ds_{S^4}^2 + dr^2, \quad \eta = \eta(r), \quad z = z(r), \quad \tilde{z} = \tilde{z}(r).$$

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It is convenient to use

$$\eta = e^{\phi/\sqrt{6}}, \quad z = \frac{1}{\sqrt{2}}(\chi + i\psi), \quad \tilde{z} = \frac{1}{\sqrt{2}}(\chi - i\psi).$$

The masses of the scalars around the  $AdS_5$  vacuum are

$$m_\phi^2 L^2 = m_\chi^2 L^2 = -4, \quad m_\psi^2 L^2 = -3.$$

The 3 scalars,  $\{\phi, \psi, \chi\}$ , are dual to 3 relevant operators

$$\mathcal{O}_\phi, \quad \Delta_{\mathcal{O}_\phi} = 2; \quad \mathcal{O}_\psi, \quad \Delta_{\mathcal{O}_\psi} = 3; \quad \mathcal{O}_\chi, \quad \Delta_{\mathcal{O}_\chi} = 2.$$

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$$\mathcal{L} = \frac{1}{2\kappa^2} \left[ -\mathcal{R} + 12 \frac{\partial_\mu \eta \partial^\mu \eta}{\eta^2} + 4 \frac{\partial_\mu z \partial^\mu \tilde{z}}{(1 - z\tilde{z})^2} + \mathcal{V} \right],$$
$$\mathcal{V} \equiv -\frac{4}{L^2} \left( \frac{1}{\eta^4} + 2\eta^2 \frac{1 + z\tilde{z}}{1 - z\tilde{z}} + \frac{\eta^8}{4} \frac{(z - \tilde{z})^2}{(1 - z\tilde{z})^2} \right).$$

To preserve the isometries of  $S^4$  take the “domain-wall” Ansatz

$$ds^2 = L^2 e^{2A(r)} ds_{S^4}^2 + dr^2, \quad \eta = \eta(r), \quad z = z(r), \quad \tilde{z} = \tilde{z}(r).$$

It is convenient to use

$$\eta = e^{\phi/\sqrt{6}}, \quad z = \frac{1}{\sqrt{2}}(\chi + i\psi), \quad \tilde{z} = \frac{1}{\sqrt{2}}(\chi - i\psi).$$

The masses of the scalars around the  $AdS_5$  vacuum are

$$m_\phi^2 L^2 = m_\chi^2 L^2 = -4, \quad m_\psi^2 L^2 = -3.$$

The 3 scalars,  $\{\phi, \psi, \chi\}$ , are dual to 3 relevant operators

$$\mathcal{O}_\phi, \quad \Delta_{\mathcal{O}_\phi} = 2; \quad \mathcal{O}_\psi, \quad \Delta_{\mathcal{O}_\psi} = 3; \quad \mathcal{O}_\chi, \quad \Delta_{\mathcal{O}_\chi} = 2.$$

For  $\chi = 0$  recover the truncation for  $\mathcal{N} = 2^*$  on  $\mathbb{R}^4$ . [Pilch-Warner]

# The BPS equations

Plug the Ansatz in the supersymmetry variations of the 5d  $\mathcal{N} = 8$  theory and use the “conformal Killing spinors” on  $S^4$

$$\hat{\nabla}_\mu \zeta = \frac{1}{2} \gamma^5 \gamma_\mu \zeta ,$$

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$$z' = \frac{3\eta'(z\tilde{z} - 1) [2(z + \tilde{z}) + \eta^6(z - \tilde{z})]}{2\eta[\eta^6(\tilde{z}^2 - 1) + \tilde{z}^2 + 1]} ,$$

$$\tilde{z}' = \frac{3\eta'(z\tilde{z} - 1) [2(z + \tilde{z}) - \eta^6(z - \tilde{z})]}{2\eta[\eta^6(z^2 - 1) + z^2 + 1]} ,$$

$$(\eta')^2 = \frac{[\eta^6(z^2 - 1) + z^2 + 1] [\eta^6(\tilde{z}^2 - 1) + \tilde{z}^2 + 1]}{9L^2\eta^2(z\tilde{z} - 1)^2} ,$$

$$e^{2A} = \frac{(z\tilde{z} - 1)^2 [\eta^6(z^2 - 1) + z^2 + 1] [\eta^6(\tilde{z}^2 - 1) + \tilde{z}^2 + 1]}{\eta^8(z^2 - \tilde{z}^2)^2} .$$



## UV and IR expansion

The (constant curvature) metric on  $\mathbb{H}^5$  is

$$ds_5^2 = dr^2 + L^2 \sinh^2 \left( \frac{r}{L} \right) ds_{S^4}^2 .$$

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Solving the BPS equations iteratively, order by order in the asymptotic expansion as  $r \rightarrow \infty$  (UV), we find that the expansion is fully controlled by two integration constants  $\mu$  and  $v$  which can be thought of as the “source” and “vev” for the operator  $\mathcal{O}_\chi$ . Compare to field theory to identify  $\mu = imR$ .

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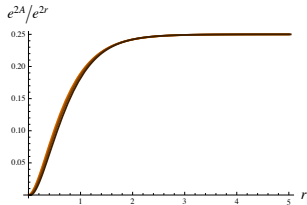
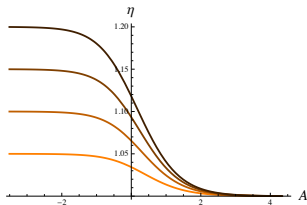
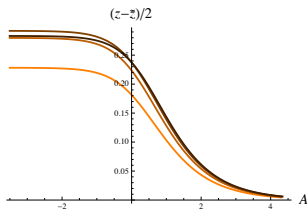
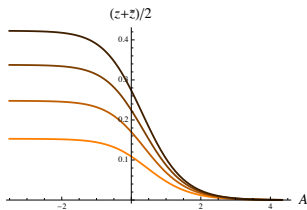
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Impose that at  $r = r_*$  (IR) the  $S^4$  shrinks to zero size. Solve the BPS equations close to  $r = r_*$ , and **require that the solution is smooth**. There is only one free parameter,  $\eta_0$ , controlling this expansion.

# Numerical solutions

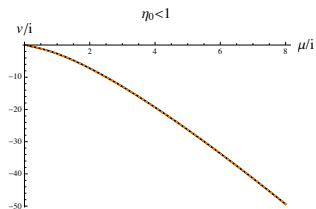
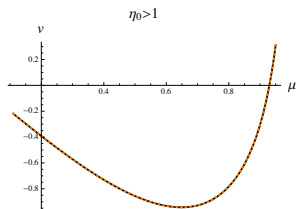
One can find numerical solutions by “shooting” from the IR to the UV. There is a one (complex) parameter family parametrized by  $\eta_0$ , so

$$v = v(\eta_0), \quad \text{and} \quad \mu = \mu(\eta_0).$$



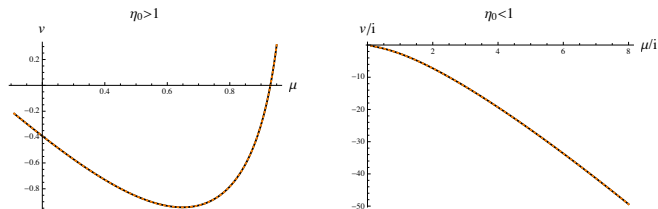
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From the numerical results one can extract the following dependence

$$v(\mu) = -2\mu - \mu \log(1 - \mu^2)$$

# Holographic calculations

## Calculating $F_{S^4}^{\mathcal{N}=1^*}$ from supergravity

- ▶ By the holographic dictionary the partition function of the field theory is mapped to the on-shell action of the supergravity dual. [Maldacena], [GKP], [Witten]
- ▶ The on-shell action diverges and one has to regularize it using holographic renormalization. [Skenderis], ...



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- ▶ The on-shell action diverges and one has to regularize it using holographic renormalization. [Skenderis], ...
- ▶ There is a subtlety here. If we insist on using a **supersymmetric regularization scheme** there is a particular finite counterterm that has to be added. Only with it one can successfully compare  $\frac{d^3 F}{d\mu^3}$  with the field theory result.
- ▶ Without knowing this finite counterterm we can only hope to match  $\frac{d^5 F}{d\mu^5}$  with field theory.

## Calculating $F_{S^4}^{\mathcal{N}=2^*}$ from supergravity

The full renormalized 5d action is

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Finally we arrive at the supergravity result

$$\frac{d^3 F^{\text{SUGRA}}}{d\mu^3} = -N^2 v''(\mu) = -2N^2 \frac{\mu(3 - \mu^2)}{(1 - \mu^2)^2} .$$

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Lo and behold!

$$\boxed{\frac{d^3 F_{S^4}^{\mathcal{N}=2^*}}{d(mR)^3} = \frac{d^3 F_{S^4}^{\text{SUGRA}}}{d\mu^3}}$$

# Holography for $\mathcal{N} = 1^*$

For the  $\mathcal{N} = 1^*$  theory (and more general massive  $\mathcal{N} = 1$  theories) we have performed a counterterm analysis (in “old minimal” rigid 4d supergravity) and showed that there is an ambiguity in  $F_{S^4}$

$$F_{S^4} \rightarrow F_{S^4} + f_1(\tau, \bar{\tau}) + f_2(\tau, \bar{\tau}) \sum_{j=1}^3 m_j \tilde{m}_j R^2 .$$

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At order  $m_j^4$  the dependence of  $F_{S^4}$  for small  $m_i$  must be

$$F_{S^4} \approx N^2 \left[ A \sum_{j=1}^3 m_j^4 + B \left( \sum_{j=1}^3 m_j^2 \right)^2 \right] .$$

We can aim at computing the constants  $A$  and  $B$  holographically.

No results from localization to guide us!



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$$F_{\mathcal{N}=2^*} \approx -\frac{m^4 N^2}{4}, \quad F_{1\text{-mass}} \approx -0.235 m^4 N^2, \quad F_{m_1=m_2=m_3} \approx -0.043 m^4 N^2.$$

These 3 results are compatible with  $A \approx -0.346$  and  $B \approx 0.111$  and we have  $A + 2B = -\frac{1}{8}$ .

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For the equal mass model the supergravity results predict that the gaugino condensate is

$$\langle \text{Tr}(\lambda\lambda + \tilde{\lambda}\tilde{\lambda}) \rangle = \frac{4}{\pi^2} m^3 N^2 \quad \rightarrow \quad \langle \text{Tr}(\lambda\lambda + \tilde{\lambda}\tilde{\lambda}) \rangle = \frac{4}{\pi^2} m_1 m_2 m_3 N^2.$$

This is just a glimpse of the detailed holographic results we have extracted from our supergravity solutions!

# Summary

- ▶ We found a 5d supegravity dual of  $\mathcal{N} = 2^*$  SYM on  $S^4$ .
- ▶ After careful holographic renormalization we computed the universal part of the free energy of this theory.
- ▶ The result is in exact agreement with the supersymmetric localization calculation in field theory.
- ▶ This is a precision test of holography in a non-conformal Euclidean setting.
- ▶ Extension of these results to  $\mathcal{N} = 1^*$  SYM where our results can be viewed as supergravity “lessons” for the dynamics of the gauge theory.
- ▶ The results generalize readily to  $\mathcal{N} = 2^*$  mass deformations of quiver gauge theories obtained by  $\mathbb{Z}_k$  orbifolds of  $\mathcal{N} = 4$  SYM.

[Azeyanagi-Hanada-Honda-Matsuo-Shiba]

# Outlook

- ▶ Uplift of the  $\mathcal{N} = 1^*$  solutions to IIB supergravity. Relation to Polchinski-Strassler? Holographic calculation of Wilson or 't Hooft line vevs. Study probe D3- and D7-branes. [in progress]
- ▶ Holography for  $\mathcal{N} = 1^*$  on other 4-manifolds. [Cassani-Martelli], ...
- ▶ Extensions to other  $\mathcal{N} = 2$  theories in 4d with holographic duals, e.g. pure  $\mathcal{N} = 2$  SYM? [Gauntlett-Kim-Martelli-Waldram], [in progress]
- ▶ Extensions to other dimensions.

# Outlook

- ▶ Can we see some of the large  $N$  phase transitions argued to exist by Russo-Zarembo in IIB string theory?
- ▶ Revisit supersymmetric localization for  $\mathcal{N} = 1$  theories on  $S^4$ . Can one find the exact partition function (modulo ambiguities)?
- ▶ For 4d  $\mathcal{N} = 2$  conformal theories  $Z_{S^4}$  leads to the Zamolodchikov metric. What is the “meaning” of  $Z_{S^4}$  for “gapped” theories?
- ▶ Understand the role of  $SL(2, \mathbb{Z})$  in  $\mathcal{N} = 1^*$ ? [Vafa-Witten], [Donagi-Witten], [Dorey], [Aharony-Dorey-Kumar]
- ▶ Systematic understanding of supersymmetric finite counterterms in holographic renormalization? [Assel-Cassani-Martelli], ...
- ▶ Broader lessons for holography from localization?

Happy Birthday Supergravity!

