

Shocks and surprises

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Based on work with Peter Bowcock and Cristina Zambon:

- P. Bowcock, EC, C. Zambon, IJMPA 19 (Suppl) 2004

(Text of a talk at the Landau Institute 2002)

- P. Bowcock, EC, C. Zambon, JHEP 0401 2004
- EC, C. Zambon, JPA 37L 2004
- P. Bowcock, EC, C. Zambon, JHEP 0508 2005
- EC, C. Zambon, JHEP 0707 2007

See also

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and, for an alternative algebraic setting

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- eg flow flips from supersonic to subsonic,
- eg abrupt change of depth in a channel.

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Consider the x -axis with a shock located at x_0



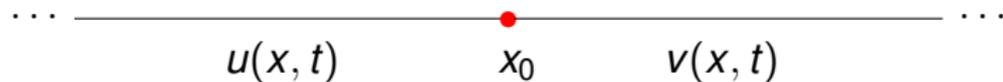
How to sew the two fields together at x_0 ?

Expect, in a Lagrangian description,

$$\mathcal{L}(u, v) = \theta(x_0 - x)\mathcal{L}(u) + \theta(x - x_0)\mathcal{L}(v) + \delta(x - x_0)B(u, v),$$

where $B(u, v)$ could depend on u, v, u_t, v_t, \dots

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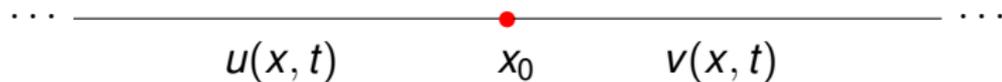
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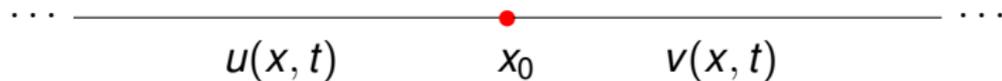
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Example: u, v are free Klein-Gordon fields with mass m

$$\mathcal{B}(u, v) = -\frac{\lambda}{2}uv + \frac{(u_x + v_x)}{2}(u - v)$$

leading to

$$(\partial^2 + m^2)u = 0 \quad x < 0$$

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$$u = v \quad x = x_0$$

$$v_x - u_x = \lambda u \quad x = x_0$$

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Defects of shock-type

Start with a single selected point on the x -axis, say $x = 0$, and as before denote the field to the left of it ($x < 0$) by u , and to the right ($x > 0$) by v , with field equations in their respective domains:

$$\partial^2 u = -\frac{\partial U}{\partial u}, \quad x < 0$$
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- How can the fields be ‘sewn’ together in a manner preserving integrability?
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- Potential problem: there is a distinguished point, translation symmetry is lost and the conservation laws - at least some of them - (for example, momentum), are violated unless the impurity has the property of adding by compensating terms.

Consider the field contributions to momentum:

$$\mathcal{P} = - \int_{-\infty}^0 dx u_t u_x - \int_{-\infty}^0 dx v_t v_x.$$

Then, using the field equations, $2\dot{\mathcal{P}}$ is given by

$$\begin{aligned} &= - \int_{-\infty}^0 dx \left[u_t^2 + u_x^2 - 2U(u) \right]_x - \int_0^{\infty} dx \left[v_t^2 + v_x^2 - 2V(v) \right]_x \\ &= - \left[u_t^2 + u_x^2 - 2U(u) \right]_{x=0} + \left[v_t^2 + v_x^2 - 2V(v) \right]_{x=0} \\ &= -2 \frac{d\mathcal{P}_s}{dt} (?). \end{aligned}$$

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If there are 'sewing' conditions for which the last step is valid then $\mathcal{P} + \mathcal{P}_S$ will be conserved, with \mathcal{P}_S a function of u, v , and possibly derivatives, evaluated at $x = 0$.

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Next, consider the energy density and calculate

$$\dot{\mathcal{E}} = [u_x u_t]_0 - [v_x v_t]_0.$$

Setting $u_x = v_t + X(u, v)$, $v_x = u_t + Y(u, v)$ we find

$$\dot{\mathcal{E}} = u_t X - v_t Y.$$

This is a total time derivative provided for some S

$$X = -\frac{\partial S}{\partial u}, \quad Y = \frac{\partial S}{\partial v}.$$

Then

$$\dot{\mathcal{E}} = -\frac{dS}{dt},$$

and $\mathcal{E} + S$ is conserved, with S a function of the fields evaluated at the shock.

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This argument strongly suggests that the only chance will be sewing conditions of the form

$$u_x = v_t - \frac{\partial S}{\partial u}, \quad v_x = u_t + \frac{\partial S}{\partial v},$$

where S depends on both fields evaluated at $x = 0$, leading to

$$\dot{\mathcal{P}} = v_t \frac{\partial S}{\partial u} + u_t \frac{\partial S}{\partial v} - \frac{1}{2} \left(\frac{\partial S}{\partial u} \right)^2 + \frac{1}{2} \left(\frac{\partial S}{\partial v} \right)^2 + (U - V).$$

This is a total time derivative provided the first piece is a perfect differential and the second piece vanishes. Thus,

$$\frac{\partial S}{\partial u} = -\frac{\partial \mathcal{P}_s}{\partial v}, \quad \frac{\partial S}{\partial v} = -\frac{\partial \mathcal{P}_s}{\partial u} \dots$$

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$$\frac{\partial^2 S}{\partial v^2} = \frac{\partial^2 S}{\partial u^2}, \quad \frac{1}{2} \left(\frac{\partial S}{\partial u} \right)^2 - \frac{1}{2} \left(\frac{\partial S}{\partial v} \right)^2 = U(u) - V(v).$$

- By setting $S = f(u + v) + g(u - v)$ and differentiating the left hand side of the functional equation with respect to u and v one finds:

$$f''' g' = g''' f'.$$

If neither of f or g is constant we also have

$$\frac{f'''}{f'} = \frac{g'''}{g'} = \gamma^2,$$

where γ is constant (possibly zero). Thus....

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$$\begin{aligned}f'(u+v) &= f_1 e^{\gamma(u+v)} + f_2 e^{-\gamma(u+v)} \\g'(u-v) &= g_1 e^{\gamma(u-v)} + g_2 e^{-\gamma(u-v)},\end{aligned}$$

for $\gamma \neq 0$, and quadratic polynomials for $\gamma = 0$. Various choices of the coefficients will provide sine-Gordon, Liouville, massless free ($\gamma \neq 0$); or, massive free ($\gamma = 0$).

In the latter case, setting $U(u) = m^2 u^2/2$, $V(v) = m^2 v^2/2$, the shock function S turns out to be

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- Note: there is a Lagrangian description of this type of 'shock':

$$\mathcal{L} = \theta(-x)\mathcal{L}(u) + \delta(x) \left(\frac{uv_t - u_t v}{2} - S(u, v) \right) + \theta(x)\mathcal{L}(v)$$

The usual E-L equations provide both the field equations for u, v in their respective domains and the 'sewing' conditions.

- Note:

In the free case, with a wave incident from the left half-line

$$u = \left(e^{ikx} + R e^{-ikx} \right) e^{-i\omega t}, \quad v = T e^{ikx} e^{-i\omega t}, \quad \omega^2 = k^2 + m^2,$$

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sine-Gordon

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$$S(u, v) = 2 \left(\sigma \cos \frac{u+v}{2} + \sigma^{-1} \cos \frac{u-v}{2} \right)$$

to find

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- What happens to a soliton when it encounters a shock of this kind?

Consider a soliton incident from $x < 0$ (any point will do), then it will not be possible to satisfy the sewing conditions (in general) unless a similar soliton emerges into the region $x > 0$.

$$e^{iu/2} = \frac{1 + iE}{1 - iE}, \quad e^{iv/2} = \frac{1 + izE}{1 - izE}, \quad E = e^{ax+bt+c},$$
$$a = \cosh \theta, \quad b = -\sinh \theta.$$

Here z is to be determined. As previously, set $\sigma = e^{-\eta}$.

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$$z = \coth \left(\frac{\eta - \theta}{2} \right).$$

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Comments and questions....

- The shock is local so there could be several shocks located at $x = x_1 < x_2 < x_3 < \dots < x_n$; these behave independently each contributing a factor z_i for a total 'delay' of $z = z_1 z_2 \dots z_n$.
- When several solitons pass a defect each component is affected separately
 - This means that at most one of them can be 'filtered out' (since the components of a multisoliton in the sine-Gordon model must have different rapidities).
- Can solitons be controlled? (Eg see EC, Zambon, 2004.)
- Since a soliton can be absorbed, can a starting configuration with $u = 0$, $v = 2\pi$ decay into a soliton?
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- Checking integrability

Adapt an idea from Bowcock, EC, Dorey, Rietdijk, 1995.

Two regions overlapping the shock location: $x > a$, $x < b$ with $a < x_0 < b$.



In each region, write down a Lax pair representation:

$$\hat{a}_t^{(a)} = a_t^{(a)} - \frac{1}{2}\theta(x-a) \left(u_x - v_t + \frac{\partial S}{\partial u} \right)$$

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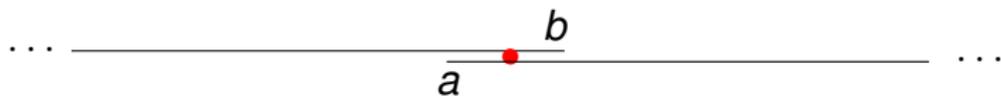
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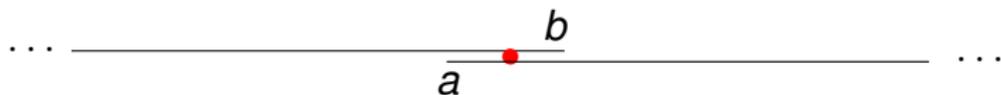
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$$\hat{a}_x^{(a)} = \theta(a-x)a_x^{(a)}$$

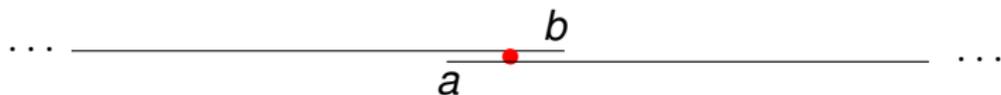
$$\hat{a}_t^{(b)} = a_t^{(b)} - \frac{1}{2}\theta(b-x) \left(v_x - u_t - \frac{\partial S}{\partial u} \right)$$

$$\hat{a}_x^{(b)} = \theta(x-b)a_x^{(b)}$$

- Checking integrability

Adapt an idea from [Bowcock, EC, Dorey, Rietdijk, 1995](#).

Two regions overlapping the shock location: $x > a$, $x < b$ with $a < x_0 < b$.



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Where,

$$\begin{aligned} a_t^{(a)} &= u_x H/2 + \sum_i e^{\alpha_i u/2} (\lambda E_{\alpha_i} - \lambda^{-1} E_{\alpha_i}) \\ a_x^{(a)} &= u_t H/2 + \sum_i e^{\alpha_i u/2} (\lambda E_{\alpha_i} + \lambda^{-1} E_{\alpha_i}), \end{aligned}$$

$\alpha_0 = -\alpha_1$ are the two roots of the extended $su(2)$ (ie $a_1^{(1)}$) algebra, and H, E_{α_i} are the usual generators of $su(2)$.

There are similar expressions for $a_t^{(b)}, a_x^{(b)}$.

Then

$$\partial_t a_x^{(a)} - \partial_x a_t^{(a)} + [a_t^{(a)}, a_x^{(a)}] = 0 \Leftrightarrow \text{sine Gordon}$$

The zero curvature condition for the components of the Lax pairs \hat{a}_t, \hat{a}_x in the two regions imply:

- The field equations for u, v in $x < a$ and $x > b$, respectively,
- The shock conditions at a, b ,
- For $a < x < b$ the fields are constant,
- For $a < x < b$ there should be a 'gauge transformation' κ so that

$$\partial_t \kappa = \kappa a_t^{(b)} - a_t^{(a)} \kappa$$

This setup requires the previous expression for $S(u, v)$ when

$$\kappa = e^{-vH/2} \tilde{\kappa} e^{uH/2} \text{ and } \tilde{\kappa} = |\alpha_1| H + \frac{\sigma}{\lambda} (E_{\alpha_0} + E_{\alpha_1}).$$

That is

$$S(u, v) = \sigma \sum_0^1 e^{\alpha_1(u+v)/2} + \sigma^{-1} \sum_0^1 e^{\alpha_1(u-v)/2}.$$

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Assume $\sigma > 0$ then...

- Expect Pure transmission compatible with the bulk S-matrix;
- **Shock** Two different solitonlike particles, hence the topological charge on a defect can only change by ± 2 (or ± 2 antionon-soliton pairs).
- **Shock** Transmission matches with even shocklike defects, i.e. is unitary, the transmission matrix with odd number of shocks can be
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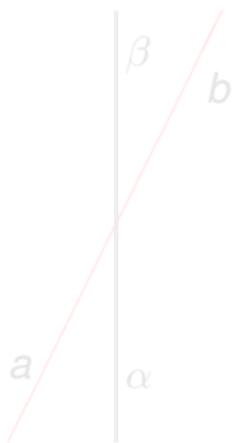
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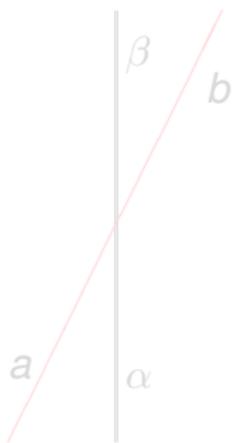
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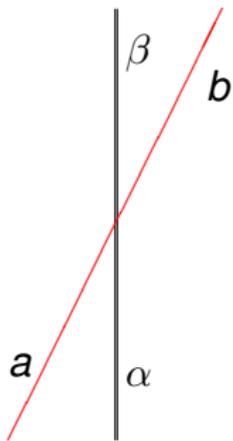
$$a + \alpha = b + \beta, \quad |\beta - \alpha| = 0, 2, \quad a, b = \pm 1, \quad \alpha, \beta \in \mathbb{Z}$$

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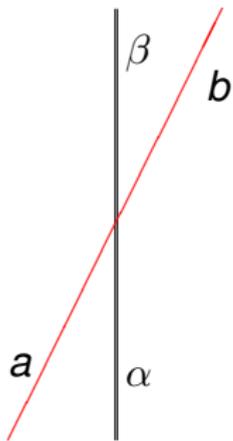
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Schematic triangle relation



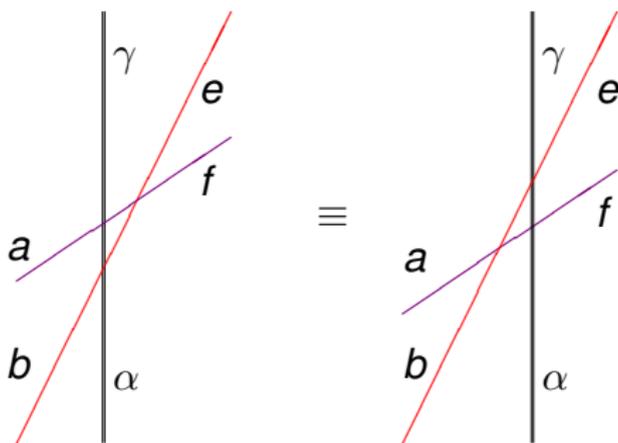
$$S_{ab}^{cd}(\Theta) T_{d\alpha}^{f\beta}(\theta_a) T_{c\beta}^{e\gamma}(\theta_b) = T_{b\alpha}^{d\beta}(\theta_b) T_{a\beta}^{c\gamma}(\theta_a) S_{cd}^{ef}(\Theta)$$

With $\Theta = \theta_a - \theta_b$ and sums over the 'internal' indices β, c, d .

- Satisfied separately by *even* T and *odd* T .

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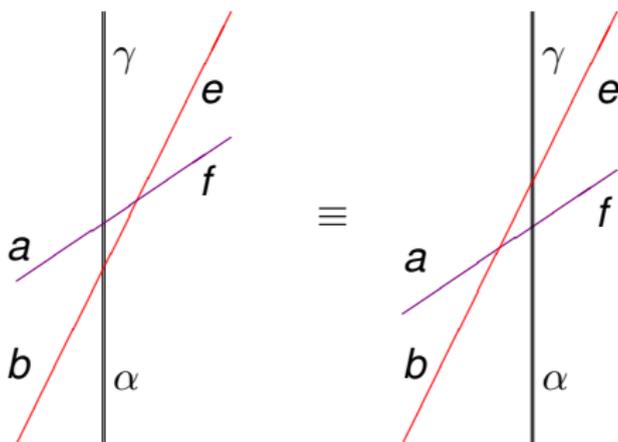


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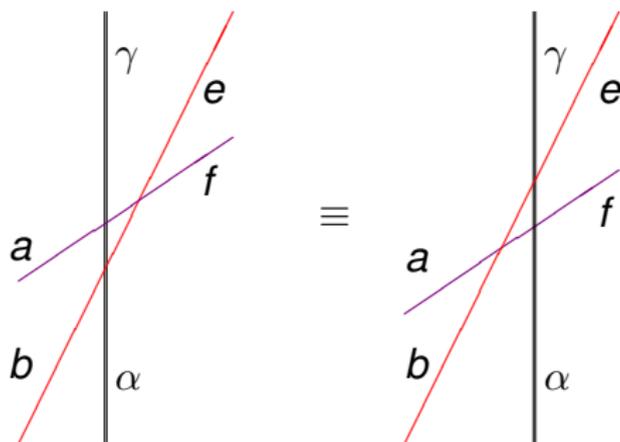


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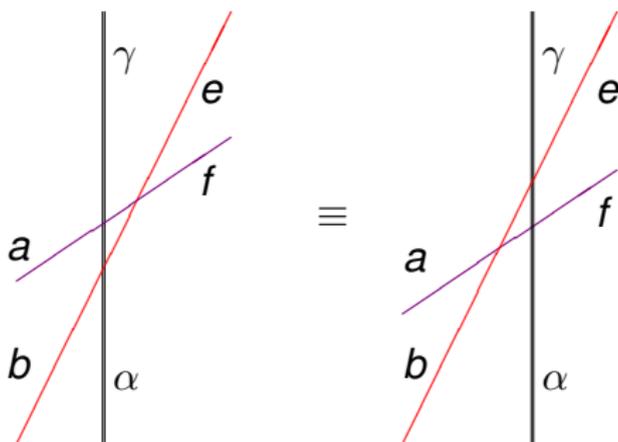
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Zamolodchikov's sine-Gordon S-matrix - reminder

$$S_{ab}^{cd}(\Theta) = \rho(\Theta) \begin{pmatrix} A & 0 & 0 & 0 \\ 0 & C & B & 0 \\ 0 & B & C & 0 \\ 0 & 0 & 0 & A \end{pmatrix}$$

where

$$A(\Theta) = \frac{qx_2}{x_1} - \frac{x_1}{qx_2}, \quad B(\Theta) = \frac{x_1}{x_2} - \frac{x_2}{x_1}, \quad C(\Theta) = q - \frac{1}{q}$$

and

$$\rho(\Theta) = \frac{\Gamma(1+z)\Gamma(1-\gamma-z)}{2\pi i} \prod_1^{\infty} R_k(\Theta) R_k(i\pi - \Theta)$$

$$R_k(\Theta) = \frac{\Gamma(2k\gamma+z)\Gamma(1+2k\gamma+z)}{\Gamma((2k+1)\gamma+z)\Gamma(1+(2k+1)\gamma+z)}, \quad z = i\gamma/\pi.$$

The Zamolodchikov S-matrix depends on the rapidity variables θ and the bulk coupling β via

$$x = e^{\gamma\theta}, \quad q = e^{i\pi\gamma}, \quad \gamma = \frac{8\pi}{\beta^2} - 1,$$

and it is also useful to define the variable

$$Q = e^{4\pi^2 i/\beta^2} = \sqrt{-q}.$$

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$$\begin{aligned}\bar{f}(q, x) &= f(q, qx) \\ f(q, x)f(q, qx) &= \left(1 + e^{2\gamma(\theta-\eta)}\right)^{-1}\end{aligned}$$

A slightly alternative discussion of these points is given in [Bowcock, EC, Zambon, 1995](#), where most of the properties noted below are also described.

- A 'minimal' solution has the following form

$$f(q, x) = \frac{e^{i\pi(1+\gamma)/4} r(x)}{1 + ie^{\gamma(\theta-\eta)} \bar{r}(x)},$$

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Remarks ($\theta > 0$): it is tempting to suppose η (possibly renormalized) is the same parameter as in the classical model.

- $\eta < 0$ - the off-diagonal entries dominate;
- $\eta = 0$ - the off-diagonal entries dominate;
- $\eta > 0 > 0$ - the diagonal entries dominate;
- These are the same features we saw in the classical soliton-shock scattering.
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Remarks ($\theta > 0$): it is tempting to suppose η (possibly renormalized) is the same parameter as in the classical model.

- $\eta < 0$ - the off-diagonal entries dominate;
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and a 'width' proportional to $\sin(\pi/2\gamma)$.

Using this pole and a bootstrap to define ^{odd} T leads to a non-unitary transmission matrix - interpret as the instability corresponding to the classical feature noted at $\theta = \eta$.

- The Zamolodchikov S-matrix has 'breather' poles corresponding to soliton-anti-soliton bound states at

$$\Theta = i\pi(1 - n/\gamma), \quad n = 1, 2, \dots, n_{\max};$$

use the bootstrap to define the transmission factors for breathers and find for the lightest breather:

$$T(\theta) = -i \frac{\sinh\left(\frac{\theta-\eta}{2} - \frac{i\pi}{4}\right)}{\sinh\left(\frac{\theta-\eta}{2} + \frac{i\pi}{4}\right)}$$

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Consider the x-axis with a shock located at x_0 and asymptotic values of the fields



A horizontal line representing the x-axis. A red dot is placed on the line at a point labeled x_0 . To the left of x_0 , the text $u = 2a\pi/\beta$ is written. To the right of x_0 , the text $v = 2b\pi/\beta$ is written. Ellipses (\dots) are placed at both ends of the line, indicating it extends infinitely in both directions.

$$\dots \quad u = 2a\pi/\beta \quad x_0 \quad v = 2b\pi/\beta \quad \dots$$

Compare $(0, 0)$ and (a, b) in functional integral representations:

$$u \rightarrow u - 2a\pi/\beta, \quad v \rightarrow v - 2b\pi/\beta, \quad A \rightarrow A + \delta A$$

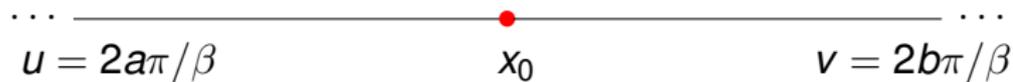
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Soliton: $(a, b) \rightarrow (a - 1, b - 1)$, so $\delta u = \delta v = -2\pi/\beta$

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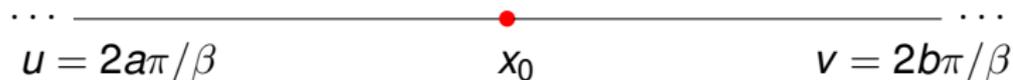
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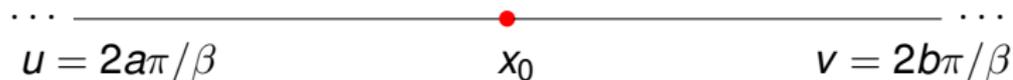
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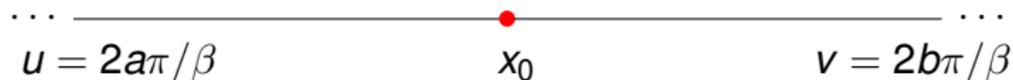
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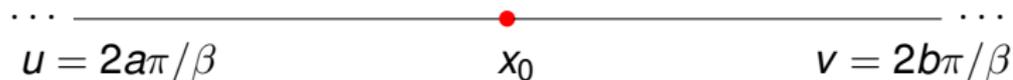
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Note: the labelling of states by the integers representing the 'vacuum' states at $x = \pm\infty$ leads to a slightly different representation of the transmission matrix than that shown before. However they are related by a change of basis
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